

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

U.S. Patent No. 6,417,175

Inventors:

Tomoyasu Ishikawa, Shohei Hashiguchi, Yuji Iizawa

Assignee:

Takeda Pharmaceutical Company Limited

Title:

PHOSPHONOCEPHEM DERIVATIVES, PROCESS FOR THE PREPARATION

OF THE SAME, AND USE THEREOF

Issue Date:

July 9, 2002

# REQUEST FOR EXTENSION OF PATENT TERM UNDER 35 U.S.C. § 156

Mail Stop: **Hatch-Waxman PTE**Office of Patent Legal Administration
Room MDW 7D55
600 Dulany Street (Madison Building)
Alexandria, VA 22314

12/14/2010 LNGUYEN1 00000038 6417175 01 FC:1457 1120.00 OP

Sir:

Foley and Lardner LLP, acting under a general power of attorney for the patent owner Takeda Pharmaceutical Company Limited (Takeda) hereby requests an extension of the term of U.S. Patent No. 6,417,175 ("the '175 patent") pursuant to 35 U.S.C. § 156. A copy of the '175 patent is attached as Exhibit A. The assignment of the '175 patent from the inventors to Takeda Chemical Industries Limited has been recorded at Reel 010902, Frame 0251 on June 6, 2000. The change of name from Takeda Chemical Industries Limited to Takeda Pharmaceutical Company Limited (Takeda) has been recorded at Reel 015612, Frame 0101. A copy of the recorded assignment and change of name to Takeda is attached as Exhibit B. A Limited Power of Attorney that appoints the undersigned to act on behalf of Takeda before the U.S. Patent and Trademark Office for the purpose of filing this Request is attached as Exhibit C.

A total of three copies of this Request are submitted in compliance with 37 C.F.R. §

1.740(b) and as suggested by MPEP § 2753.

As permitted by 37 C.F.R. § 1.785(b) and MPEP § 2761, Foley and Lardner LLP is

filing a request for patent term extension of U.S. Patent No. 6,906,055 based upon the same

regulatory review period. Enclosed as Exhibit 1 is a copy of the Forest Laboratories, Inc. regulatory

activity authorization letter in support of Application for Extension of Patent Term Under 35 USC

§156.

The following information is submitted in accordance with 35 U.S.C. § 156(d) and

37 C.F.R. § 1.740, and follows the numerical format set forth in 37 C.F.R. § 1.740(a):

(1) A complete identification of the approved product as by appropriate chemical and

generic name, physical structure or characteristics.

The approved product will be marketed under the trademark TEFLARO<sup>TM</sup> in 400

mg, 600 mg vials for injection for the treatment of:

(1) Acute bacterial skin and skin structure infections (ABSSSI) caused by susceptible

isolates of the following Gram-positive and Gram-negative microorganisms: Staphylococcus aureus

(including methicillin-susceptible and -resistant isolates), Streptococcus pyogenes, Streptococcus

agalactiae, Escherichia coli, Klebsiella pneumoniae, and Klebsiella oxytoca; and

(2) Community-acquired bacterial pneumonia (CABP) caused by susceptible isolates

of the following Gram-positive and Gram-negative microorganisms: Streptococcus pneumoniae

(including cases with concurrent bacteremia), Staphylococcus aureus (methicillin-susceptible

isolates only), Haemophilus influenzae, Klebsiella pneumoniae, Klebsiella oxytoca, and Escherichia

coli.

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A copy of the approved package insert for TEFLARO<sup>TM</sup> is attached as Exhibit D. The active ingredient of TEFLARO<sup>TM</sup> has

- (a) the chemical name (6R,7R)-7- $\{(2Z)$ -2-(ethoxyimino)-2-[5-(phosphonoamino)-1,2,4-thiadiazol-3-yl]acetamido}-3- $\{[4-(1-methylpyridin-1-ium-4-yl)-1,3-thiazol-2-yl]sulfanyl\}$ -8-oxo-5-thia-1-azabicyclo[4.2.0]oct-2-ene-2-carboxylate monoacetate monohydrate;
  - (b) the generic name ceftaroline fosamil;
  - (c) the structural formula:

- (d) the empirical formula  $C_{22}H_{21}N_8O_8PS_4$ .  $C_2H_4O_2$ .  $H_2O$ ; and
- (e) a molecular weight of 762.75.
- (2) A complete identification of the Federal statute including the applicable provision of law under which the regulatory review occurred.

The regulatory review occurred under Section 505(b) of the Federal Food, Drug and Cosmetic Act (FFDCA), which is codified at 21 U.S.C. § 355(b). Section 505(b) (21 U.S.C. § 355(b)) provides for the submission and approval of New Drug Applications (NDAs).

(3) An identification of the date on which the product received permission for commercial marketing or use under the provision of law under which the applicable regulatory review period occurred.

TEFLARO<sup>TM</sup> received permission for commercial marketing from the Food and

Drug Administration (FDA) pursuant to Section 505(b) of the FFDCA (21 U.S.C. § 355(b)) on

October 29, 2010. A copy of the letter from the FDA approving marketing of TEFLARO<sup>TM</sup> is

attached as Exhibit E.

In the case of a drug product, an identification of each active ingredient in the product and as to each active ingredient, a statement that it has not been previously approved for

commercial marketing or use under the Federal Food, Drug, and Cosmetic Act, the Public

Health Service Act, or the Virus-Serum-Toxin Act, or a statement of when the active

ingredient was approved for commercial marketing or use (either alone or in combination with other active ingredients), the use for which it was approved, and the provision of law

under which it was approved.

The active ingredient in the approved product is ceftaroline fosamil. Ceftaroline

fosamil was not previously approved for commercial marketing or use under the FFDCA, the Public

Health Service Act, or the Virus-Serum-Toxin Act prior to the approval on October 29, 2010.

A statement that the application is being submitted within the sixty day period

permitted for submission pursuant to § 1.720(f) and an identification of the date of the last

day on which the application could be submitted.

TEFLARO<sup>TM</sup> was approved for commercial marketing on October 29, 2010. The

sixty day period expires on Monday, December 27, 2010, assuming October 29 is the first day of

the sixty day period. The present application, therefore, is timely filed within the sixty day period.

A complete identification of the patent for which an extension is being sought by the

name of the inventor, the patent number, the date of issue, and the date of expiration.

Inventors:

Tomoyasu ISHIKAWA, Shohei HASHIGUCHI, Yuji IIZAWA

Patent No.:

6,417,175

Issue Date:

July 9, 2002

Request for Extension of Patent Term U.S. Patent No. 6,417,175

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Expiration Date: December 17, 2018

(7) A copy of the patent for which an extension is being sought, including the entire specification (including claims) and drawings.

A copy of the '175 patent is attached as Exhibit A.

(8) A copy of any disclaimer, certificate of correction, receipt of maintenance fee payment, or reexamination certificate issued in the patent.

No disclaimers or certificates of correction have been submitted or issued for the '175 patent.

The 3½ and 7½ year maintenance fees for the '175 patent have been timely paid. A copy of the receipt showing payment of the 3½ and 7½ year fees is attached as Exhibit F.

- (9) A statement that the patent claims the approved product, or a method of using or manufacturing the approved product, and a showing which lists each applicable patent claim and demonstrates the manner in which at least one such patent claim reads on:
  - (i) The approved product, if the listed claims include any claim to the approved product;
  - (ii) The method of using the approved product, if the listed claims include any claim to the method of using the approved product; and
  - (iii) The method of manufacturing the approved product, if the listed claims include any claim to the method of manufacturing the approved product.

The '175 patent claims the approved product, ceftaroline fosamil; compositions of the approved product, methods for producing compositions of the approved product; and methods of using the approved product for treatment of bacterial infections. Each applicable patent claim is set forth below together with a showing of the manner in which each applicable patent claim reads on the approved product.

## 1. A compound of the formula:

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wherein R<sup>1</sup> is a phosphono group;

 $R^2$  is a hydrogen atom, an optionally substituted  $C_{1-6}$  alkyl group or a  $C_{3-5}$  cycloalkyl group;

each of Q and X is a nitrogen atom or CH;

Y is S:

n is 0 or 1:

one of R<sup>3</sup> and R<sup>4</sup> is a pyridinium group which may be substituted and the other is a hydrogen atom or a hydrocarbon group which may be substituted, or R<sup>3</sup> and R<sup>4</sup> taken together may form a quaternized nitrogen-containing heterocyclic ring which may be substituted, wherein when R<sup>3</sup> and R<sup>4</sup> are taken together, the group of the formula

wherein R<sup>5</sup> is an optionally substituted hydrocarbon group; or salt thereof.

Independent claim 1 is directed to a chemical genus encompassing the approved product, ceftaroline fosamil (where  $R^1$  is a phosphono group;  $R^2$  is ethyl (i.e., a  $C_{1-6}$  alkyl group); Y is S, Q is N, X is N, n is 0; and one of  $R^3$  and  $R^4$  is a pyridinium group while the other is hydrogen). See Exhibit D, Section 11.

2.  $7\beta$ -[2(Z)-ethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate.

Independent claim 2 is directed to the approved product (ceftaroline fosamil). See Exhibit D, Section 11.

3. A method for producing a pharmaceutical composition comprising mixing a compound of claim 1 with a pharmaceutically acceptable carrier, diluent or bulking agent.

Dependent claim 3 is directed to methods for producing compositions of a chemical genus encompassing the approved product (ceftaroline fosamil). See Exhibit D, Section 11.

4.  $7\beta$ -[2(Z)-ethoxyimino-2-(5-phosphonoamino 1,2,4-thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolythio]-3-cephem-4-carboxylate or its salt.

Independent claim 4 is directed to the approved product (ceftaroline fosamil). See Exhibit D, Section 11.

5. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 1 to a patient suffering from the bacterial infection.

Dependent claim 5 is directed to methods for using a chemical genus encompassing the approved product (ceftaroline fosamil) for the treatment of bacterial infections. The approved product is indicated for the treatment of acute bacterial skin and skin structure infections (ABSSSI) caused by susceptible isolates of the following Gram-positive and Gram-negative microorganisms:

Staphylococcus aureus (including methicillin-susceptible and -resistant isolates), Streptococcus pyogenes, Streptococcus agalactiae, Escherichia coli, Klebsiella pneumoniae, and Klebsiella oxytoca. The approved product is also indicated for the treatment of community-acquired bacterial pneumonia (CABP) caused by susceptible isolates of the following Gram-positive and Gram-negative microorganisms: Streptococcus pneumoniae (including cases with concurrent bacteremia), Staphylococcus aureus (methicillin-susceptible isolates only), Haemophilus influenzae, Klebsiella pneumoniae, Klebsiella oxytoca, and Escherichia coli. See Exhibit D; Section 1.

6. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 1 together with at least one of pharmaceutically acceptable carriers, diluents and excipients to a patient suffering from the bacterial infection.

Dependent claim 6 is directed to methods for using a chemical genus encompassing the approved product (ceftaroline fosamil) for the treatment of bacterial infections. The methods include administering the claimed genus together with a carrier, diluent and/or excipient. The approved product is administered together with a carrier, diluent and/or excipient and is indicated for the treatment of specified bacterial infections. *See* Exhibit D; Sections 1-2 and 11.

7. A method as claimed in claim 5, wherein the bacterial infection is a MRSA infection.

Dependent claim 7 is directed to methods of using a chemical genus encompassing the approved product (ceftaroline fosamil) for treating methicillin-resistant *Staphylococcus aureus* (MRSA) infections. The approved product is indicated for the treatment of an MRSA infection. More specifically, the approved product is indicated for the treatment of acute bacterial skin and skin structure infections (ABSSSI) caused by susceptible isolates of *Staphylococcus aureus* (including methicillin-susceptible and -resistant isolates). *See* Exhibit D; Section 1.1.

8. A compound as claimed in claim 1, wherein  $R^3$  is a pyridinium group which may be substituted and  $R^4$  is a hydrogen atom.

Dependent claim 8 is directed to a chemical genus encompassing the approved product (where  $R^1$  is a phosphono group;  $R^2$  is ethyl (i.e., a  $C_{1-6}$  alkyl group); Y is S, Q is N, X is N, n is 0;  $R^3$  is a pyridinium group and  $R^4$  is hydrogen). See Exhibit D, Section 11.

- 9. A compound as claimed in claim 1, wherein Q is a nitrogen atom. Dependent claim 9 is directed to a chemical genus encompassing the approved product (where  $R^1$  is a phosphono group;  $R^2$  is ethyl (i.e., a  $C_{1-6}$  alkyl group); Y is S, **Q** is N, X is N, n is 0;  $R^3$  is pyridinium group and  $R^4$  is hydrogen). See Exhibit D, Section 11.
- 10. A compound as claimed in claim 1, wherein X is a nitrogen atom.

  Dependent claim 10 is directed to a chemical genus encompassing the approved product (where R<sup>1</sup> is a phosphono group; R<sup>2</sup> is ethyl (i.e., a C<sub>1-6</sub> alkyl group); Y is S, Q is N, X is N, n is 0; R<sup>3</sup> is pyridinium group and R<sup>4</sup> is hydrogen). See Exhibit D, Section 11.
  - 11. A compound as claimed in claim 1, wherein n is 0.

Dependent claim 11 is directed to a chemical genus encompassing the approved product (where  $R^1$  is a phosphono group;  $R^2$  is ethyl (i.e., a  $C_{1-6}$  alkyl group); Y is S, Q is N, X is N, **n** is **0**;  $R^3$  is pyridinium group and  $R^4$  is hydrogen). See Exhibit D, Section 11.

12. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 4 to a patient suffering from the bacterial infection.

Dependent claim 12 is directed to methods of using the approved product (ceftaroline fosamil) for treating bacterial infections. The approved product is indicated for the treatment of bacterial infections (as specified in the approved package insert). See Exhibit D; Section 1, 2 and 11.

15. A method as claimed in claim 5, wherein the compound is administered by injection.

Dependent claim 15 is directed to methods of using a chemical genus encompassing the approved product for treating bacterial infections. The methods include administering the claimed genus by injection. The approved product is an injectable for the treatment of specified bacterial infections. *See* Exhibit D; Sections 1-2 and 11.

16. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 4 together with at least one of pharmaceutically acceptable carriers, diluents and excipients to a patient suffering from the bacterial infection.

Dependent claim 16 is directed to methods for using the approved product (ceftaroline fosamil) for the treatment of bacterial infections. The methods include administering the approved product together with a carrier, diluent and/or excipient. The approved product is indicated for the treatment of specified bacterial infections and is administered together with a carrier, diluent and/or excipient and. *See* Exhibit D; Sections 1, 2 and 11.

17. A pharmaceutical composition containing the compound shown in claim 1 and at least one of pharmaceutically acceptable carriers, diluents and bulking agents.

Dependent claim 17 is directed to compositions of chemical genus encompassing the approved product. See Exhibit D, Sections 2.3 and 11.

18. A pharmaceutical composition containing the compound of claim 4 and at least one of pharmaceutically acceptable carriers, diluents and bulking agents.

Dependent claim 18 is directed to compositions of the approved product (ceftaroline fosamil). See Exhibit D, Sections 2.3 and 11.

19. A method for producing a pharmaceutical composition comprising mixing a compound of claim 4 with a pharmaceutically acceptable carrier, diluent or bulking agent.

Dependent claim 19 is directed to methods for producing compositions of the approved product (ceftaroline fosamil). See Exhibit D, Sections 2.3 and 11.

20. A method as claimed in claim 12, wherein the compound is administered by injection.

Dependent claim 20 is directed to methods of using the approved product for treating bacterial infections. The methods include administering the approved product by injection. The approved product is an injectable for the treatment of specified bacterial infections. *See* Exhibit D; Sections 1-2 and 11.

21. A method as claimed in claim 12, wherein the bacterial infection is a MRSA infection.

Dependent claim 21 is directed to methods of using the approved product for the treatment of methicillin-resistant *Staphylococcus aureus* (MRSA) infections. The approved product is indicated

for the treatment of an MRSA infection. More specifically, the approved product is indicated for the treatment of acute bacterial skin and skin structure infections (ABSSSI) caused by susceptible isolates of *Staphylococcus aureus* (including methicillin-susceptible and -resistant isolates). The approved product is indicated for the treatment of an MRSA infection (as specified in the approved package insert). *See* Exhibit D; Section 1.1.

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- (10) A statement beginning on a new page of the relevant dates and information pursuant to 35 U.S.C. 156(g) in order to enable the Secretary of Health and Human Services or the Secretary of Agriculture, as appropriate, to determine the applicable regulatory review period as follows:
  - (i) For a patent claiming a human drug, antibiotic, or human biological product:
    - (A) The effective date of the investigational new drug (IND) application and the IND number;
    - (B) The date on which a new drug application (NDA) application or a Product License Application (PLA) was initially submitted and the NDA or PLA number; and
    - (C) The date on which the NDA was approved or the Product License issued;

Takeda licensed the rights to develop ceftraoline fosamil to Peninsula Pharmaceuticals, Inc. (Peninsula). Peninsula filed the investigational new drug (IND) application on December 10, 2004 (Exhibit G). The IND was assigned Application No. 71,371 (Exhibit H) The IND was received by the FDA on December 13, 2004 (Exhibit H) and became effective on January 12, 2005 (thirty days after the FDA receipt date). *See* 21 U.S.C. § 355(i)(2). Peninsula transferred ownership of the IND to Cerexa, Inc. (Cerexa), a wholly-owned subsidiary of Forest Laboratories, Inc. (Forest), as of June 30, 2005 (Exhibit I), which was acknowledged by the FDA on July 14, 2005 (Exhibit J).

The NDA for ceftaroline fosamil, NDA 20-0327, was submitted to the FDA by Cerexa on December 30, 2009 (Exhibit K).

NDA 20-0327 was approved by the FDA on October 29, 2010 (Exhibit E).

A chronology of regulatory review of ceftaroline fosamil is attached as Exhibit L.

(11) A brief description beginning on a new page of the significant activities undertaken by the marketing applicant during the applicable regulatory review period with respect to the approved product and the significant dates applicable to such activities.

Takeda licensed the rights to develop ceftraoline fosamil to Peninsula Pharmaceuticals, Inc. (Peninsula). Peninsula submitted an IND application for ceftaroline fosamil on December 10, 2004 (Exhibit G). The IND was assigned Application No. 71,371. The IND was received by the FDA on December 13, 2004 (Exhibit H). 21 U.S.C. § 355(i)(2) provides that clinical investigation of a drug may begin thirty days after receipt of the IND application by the FDA. The IND, therefore, became effective on January 12, 2005.

Peninsula transferred ownership of the IND to Cerexa, Inc. (Cerexa), a wholly-owned subsidiary of Forest Laboratories, Inc. (Forest), as of June 30, 2005 (Exhibit I).

On December 30, 2009, Cerexa submitted an NDA for ceftaroline fosamil, which was assigned number 20-0327 (Exhibit K). The NDA was approved on October 29, 2010 (Exhibit E). A chronology of regulatory review of ceftaroline fosamil is attached as Exhibit L. Several significant dates are also summarized below. Applicants reserve the right to supplement the activity described in Exhibit L if further clarification is needed.

DATE	DESCRIPTION OF ACTIVITIES		
December 13, 2004	FDA receipt of IND submission		
January 12, 2005	IND effective date		
December 30, 2009	NDA 200327 submitted to and received by FDA		
October 29, 2010	FDA approves NDA 200327		

(12)A statement beginning on a new page that in the opinion of the applicant the patent is eligible for the extension and a statement as to the length of the extension claimed, including

how the length of the extension was determined.

It is the opinion of the Applicant that the '175 patent is eligible for patent term

extension under 35 U.S.C. § 156(a). The Applicant claims an extension of 1211 days, which would

extend the expiration date of the '175 patent to at least April 11, 2022.

Statement of Eligibility of the Patent for Extension

<u>Under 35 U.S.C. § 156(a)</u>

Section 156(a) provides in relevant part, that the term of a patent which claims a

product, a method of using a product, or a method of manufacturing a product shall be extended if

(1) the term of the patent has not expired before an application for extension is submitted; (2) the

term of the patent has never been extended under 35 U.S.C. § 156(e)(1); (3) the application for

extension is submitted by the owner of record of the patent or its agent and in accordance with 35

U.S.C. § 156(d)(1)-(4); (4) the product has been subject to a regulatory review period before its

commercial marketing or use; and (5) except for 35 U.S.C. §§ 156(a)(5)(B) and 156(a)(5)(C), the

permission for the commercial marketing or use of the product after such regulatory review period

is the first permitted commercial marketing or use of the product under the provision of law under

which such regulatory review period occurred.

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Each of these elements is satisfied:

(1) The term of the '175 patent expires on December 17, 2018. This application has, therefore, been submitted before the expiration of the patent term.

(2) The term of the '175 patent has never been extended under 35 U.S.C. § 156(e)(1).

(3) The application is submitted by Foley and Lardner LLP, attorney for Takeda, which has been appointed under a general power of attorney to act for the owner of the '175 patent for the purpose of filing this Request. This application is submitted in accordance with 35 U.S.C. § 156(d) within the sixty-day period beginning October 29, 2010 when the product received permission for marketing under the FFDCA and contains the information required under 35 U.S.C. §§ 156(d)(1)(A)-(E).

(4) The product was the subject of IND 71,371 (filed on December 10, 2004; and effective on January 12, 2005), and NDA 20-0327 (filed on December 30, 2009 and approved on October 29, 2010). Thus, the product was subject to a regulatory review period under § 505(b) of the FFDCA before its commercial marketing or use.

(5) Finally, the permission for the commercial marketing of the approved product after regulatory review under FFDCA § 505(b) is the first permitted commercial marketing of the approved product in the United States. This is confirmed by the absence of any approved NDA under which the approved product could be commercially marketed prior to October 29, 2010.

## Statement as to the Length of the Extension Claimed

## In Accordance with 37 C.F.R. 1.775

The term of the '175 patent should be extended by 1211 days. The extension was determined according to 37 C.F.R. § 1.775 and the PTO worksheet "Calculation of Length for Patent Term Extension for a Human Drug Product" as follows:

(1) 1814

The number of days in the period beginning on the effective date of the IND (January 12, 2005) and ending on the date the NDA was initially submitted (December 30, 2009). This is the "testing phase" as defined in 37

## C.F.R. § 1.775(c)(1).

(2)	304	The number of days in the period beginning on the date the NDA was initially submitted (December 30, 2009) and ending on the date of NDA approval (October 29, 2010). This is the "approval phase" as defined in 37 C.F.R. § 1.775(c)(2).
(3)	2118	The sum of (1) and (2). This is the regulatory review period as defined in 37 C.F.R. § 1.775(c).
(4)	0	The number of days in the approval phase (2) which were on and before issuance of the '175 patent. 37 C.F.R. § 1.775(d)(1)(i).
(5)	0	The number of days in the approval phase (2) during which the Applicant did not act with due diligence. 37 C.F.R. § 1.775(d)(1)(ii).
(6)	0	The sum of (4) and (5).
(7)	2118	The difference between the regulatory review period (3) and (6). 37 C.F.R. § 1.775(d)(1)(ii).
(8)	0	The number of days of the period of the testing phase (1) which occurred prior to the issuance of the '175 patent. 37 C.F.R. § 1.775(d)(1)(i).
(9)	0	The number of days of the period of the testing phase (1) during which the Applicant failed to act with due diligence 37 C.F.R. § 1.775(d)(1)(ii).
(10)	0	The sum of (8) and (9).
(11)	2118	The difference between the regulatory review period (7) and (10).
(12)	1814	The number of days of the testing phase (1).
(13)	0	The number of days from (10).
(14)	1814	Subtract line (13) from line (12)
(15)	907	One half of (14) 37 C.F.R. § 1.775(d)(1)(iii) <sup>1</sup>
(16)	1211	Subtract line (15) from line (11)
(17)	December 17, 2018	The original expiration date of the '175patent.
(18)	April 11, 2022	The expiration date of the '175 patent if the original expiration date is extended by the number of days in line (16). 37 C.F.R. § 1.775(d)(2)

<sup>37</sup> C.F.R. § 1.775(d)(1) provides that for purposes of subtraction, half days are ignored.

(19)	October 29, 2010	The date of approval of the application under § 505(b) of the FFDCA.
(20)	14 years	The limitation of 37 C.F.R. § 1.775(d)(3).
(21)	October 29, 2024	The number of years in (20) plus the date on (19). 37 C.F.R. § 1.775(d)(3).
(22)	April 11, 2022	The earlier of line (18) or line (21)
(23)	December 17, 2018	The original expiration date of the '175 patent.
(24)	5 years	The applicable limitation of 37 C.F.R. § 1.775(d)(5)
(25)	December 17, 2023	The number of years on (24) plus the date on (23).
(26)	April 11, 2022	The earlier of line (22) or line (25)
(27)	December 17, 2018	The original expiration date of the '175 patent
(28)	1211	The number of days which is the difference between the date on line (27) and the date on line (26)

(13) A statement that the Applicant acknowledges a duty to disclose to the Commission of Patents and Trademarks and to the Secretary of Health and Human Services or the Secretary of Agriculture any information which is material to the determination of entitlement to the extension sought.

Applicant acknowledges a duty to disclose to the Commissioner of Patents and Trademarks and to the Secretary of Health and Human Services any information which is material to the determination of entitlement to the extension sought for the '175 patent by this Request as required by 37 C.F.R. § 1.765.

## (14) Prescribed Fee:

A credit card authorization form for the prescribed fee is submitted herewith.

Authorization is given to charge Deposit Account 19-0741 any deficiency in fees.

(15) The name, address and telephone number of the person to whom inquiries and correspondence relating to the application for patent term extension are to be directed

Inquiries and correspondence relating to this Application should be directed to the registered practitioner authorized to act on behalf of the patent owner in connection with this Application:

Stephen B. Maebius Foley & Lardner LLP 3000 K Street, N.W. Washington, D.C. 20007-5143 Tel: 202-672-5300

(16) Certification Under 37 C.F.R. § 1.740(b)

Two additional copies of this Application and Exhibits are submitted herewith in accordance with 37 C.F.R. § 1.740(b).

In view of the foregoing, Foley and Lardner LLP, acting under a general power of attorney for the patent owner, Takeda Pharmaceutical Company Limited, requests that the Commissioner grant an extension of 1211 days to U.S. Patent No. 6,417,175.

Favorable action is earnestly solicited.

Respectfully submitted,

Date

Dec. 13, 2010

FOLEY & LARDNER LLP Foley & Lardner LLP 3000 K Street, N.W. Washington, D.C. 20007-5143

Tel: 202-672-5300 Facsimile: 202-672-5399 Stephen B. Maebius

Registration No.: 35,264 Attorney for Applicant Takeda Pharmaceutical

Company Limited

## **List of Exhibits**

- Exhibit 1 Forest Laboratories, Inc. regulatory activity authorization letter in support of Application for Extension of Patent Term Under 35 USC §156.
- Exhibit A U.S. Patent No. 6,417,175
- Exhibit B Assignment of the '175 patent from the inventors to Takeda
- Exhibit C Copies of a General Power of Attorney and Statement under 37 C.F.R. 3.73(b), filed concurrently herewith, authorizing Foley and Lardner LLP to act on behalf of Takeda Pharmaceutical Company Limited.
- Exhibit D Approved package insert for TEFLARO™
- Exhibit E FDA Approval Letter
- Exhibit F Receipt showing payment of the 3½ and 7½ year maintenance fees for the '175 patent
- Exhibit G Letter dated December 10, 2004 submitting IND 71,371
- Exhibit H Letter from FDA acknowledging receipt of IND on December 13, 2004
- Exhibit I Letter dated June 30, 2005 informing FDA of transfer of IND 71,371 from Peninsula Pharmaceuticals, Inc. to Cerexa, Inc.
- Exhibit J Letter from FDA acknowledging transfer of IND from Peninsula Pharmaceuticals, Inc. to Cerexa, Inc.
- Exhibit K Letter dated December 30, 2009 submitting NDA 20-0327 to FDA
- Exhibit L Chronology of Regulatory Review of TEFLARO<sup>TM</sup> (FDA interactions; Clinical Studies; and IND/NDA submission/correspondence log)

# **EXHIBIT 1**

909 Third Avenue, New York, NY 10022-4731 Main: 212.421.7850 Fax: 212.750.9152

Charles S. Ryan, J.D., Ph.D.
Senior Vice President
Chief Intellectual Property Counsel
Direct: 212.224.6633
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December 8, 2010

Mr. Yoichi Okumura Takeda Pharmaceutical Company Limited Intellectual Property Department 17-85, Jusohonmachi 2-Chome Yodogawa-KU Osaka 532-8686 JAPAN

Re: Teflaro<sup>TM</sup> Approval

Dear Mr. Okumura,

Concerning the approval by the Federal Food and Drug Administration (FDA) in the United States on October 29, 2010 of NDA 200327 for Teflaro<sup>TM</sup>. (NDA 200327 was submitted on December 30, 2009 and references IND No. 71,371 submitted on December 10, 2004), Cerexa, Inc. ("Cerexa"), a wholly owned subsidiary of Forest Laboratories and a sponsor of this research, confirms by this letter our prior and continuing authorization for Takeda Pharmaceutical Company Limited to rely upon the regulatory activities of Cerexa before the FDA for purposes of recently filed applications to extend the patent term of US Patent Nos. US 6,906,055 and US 6,417,175 based on the Teflaro<sup>TM</sup> approval.

Please feel free to submit this correspondence to the US Patent and Trademark Office as a confirmation of our authorization.

Sincerely,

Charles S. Ryan, J.D., Ph.D.

# **EXHIBIT A**



## (12) United States Patent

Ishikawa et al.

(10) Patent No.:

US 6,417,<del>1</del>75 B1

(45) Date of Patent:

Jul. 9, 2002

## (54) PHOSPHONOCEPHEM DERIVATIVES, PROCESS FOR THE PREPARATION OF THE SAME, AND USE THEREOF

(75) Inventors: Tomoyasu Ishikawa, Otsu; Shohei

Hashiguchi, Toyonaka; Yuji Iizawa,

Muko, all of (JP)

Assignce: Takeda Chemical Industries, Ltd.,

Osaka (JP)

(\*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

(21) Appl. No.: 09/555,949

(22) PCT Filed: Dec. 17, 1998

(86) PCT No.: PCT/JP98/05709

§ 371 (c)(1),

(2), (4) Date: Jun. 6, 2000

(87) PCT Pub. No.: WO99/32497

PCT Pub. Date: Jul. 1, 1999

#### (30)Foreign Application Priority Data

Dec.	. 19, 1997 (JP)	9-351499
(51)	Int. Cl. <sup>7</sup>	C07D 9/6561; A61K 31/675
(52)	U.S. Cl	514/80; 540/225; 540/227
(58)	Field of Search	540/227, 225;
		514/90

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\* cited by examiner

JP

Primary Examiner-Mark L. Berch (74) Attorney, Agent, or Firm-Mark Chao; Elaine M. Ramesh

#### (57)ABSTRACT

A novel cephem compound of the formula:

$$R^{1}$$
—NH  $S$   $Q$   $CO$ —NH  $Y$   $CCH$ =
 $COO$ 
 $COO$ 
 $R^{3}$ 
 $CH$ 

wherein R<sup>1</sup> is a phosphono group or a group convertible to a phosphono group; R2 is a hydrogen atom or a group having a linkage through a carbon atom; each of Q and X is a nitrogen atom or CH; Y is S, O or CH2; n is 0 or 1; one of R³ and R⁴ is a pyridinium group which may be substituted and the other is a hydrogen atom or hydrocarbon group which may be substituted, or R3 and R4 taken together may form a quaternalized nitrogen-containing heterocyclic ring which may be substituted, or its ester or its salt, which has a superior anti-bacterial activity, stability, absorbability, etc., a production thereof and a pharmaceutical composition containing it, is provided.

## 21 Claims, No Drawings

## PHOSPHONOCEPHEM DERIVATIVES, PROCESS FOR THE PREPARATION OF THE SAME, AND USE THEREOF

This application is the National Stage of International 5 Application No. PCT/JP98/05709, filed on Dec. 17, 1998.

## TECHNICAL FIELD

This invention relates to a novel cephem compound having excellent antibacterial activities on a broad range of the Gram-positive and Gram-negative bacteria, especially Staphylococus aureus, methicillin-resistant Staphylococus aureus (MRSA) and a bacteria belonging to Pseudomonas and being sufficiently water-soluble, to a method of producing the compound and to a medicine, especially an antibacterial composition containing the compound.

## **BACKGROUND ART**

Various cephem compounds having, at the 7-position, 2-(5-amino-1,2,4-thiadiazole -3-yl)-2(Z)-alkoxy-iminoacetamido group, and having, at the 3-position, 3- or 4-(pyridinium) thiazole-4-ylthio group or condensed heterocyclic ring-thio group containing N\* as a ring constituting atom, have been reported in JPA H9(1997)-100283. However these compounds are not sufficiently soluble in water, and it is preferable to use solubilizing, agents when these compounds are dissolved in water. Thus these compounds are sufficiently satisfactory when they are used in a pharmaceutical preparation, especially for injection.

And various cephem compounds having, at the 7-position, 2-(5-phosphonoamino-1,2,4thiadiazole-3-yl)-2 (Z)-methoxyiminoacetamido group, and having at the 3-position, a substituted methyl, i.e., pyridiniummethyl group or 1-methylpyridiniumthiomethyl group which are different from substituted —(CH=CH)<sub>n</sub>—S-group in chemical structure, have also been reported in JPA S59 (1984)-31791.

Though some recently developed cephalosporin compounds have sufficient activity against methicillin-resistant

$$-(CH=CH)n-S \left( \begin{array}{c} X \\ \\ \\ \\ \\ \end{array} \right)$$

wherein one of R<sup>3</sup> and R<sup>4</sup> is a pyridinium group which may be substituted and the other is a hydrogen atom or hydrocarbon group which may be substituted, or R<sup>3</sup> and R<sup>4</sup> taken together may form a quaternalized nitrogen-containing heterocyclic ring which may be substituted; X is a nitrogen atom or CH; and n is 0 or 1, and, at the 7-position, a group of the formula:

wherein R<sup>1</sup> is a phosphono group or a group convertible to a phosphono group; R<sup>2</sup> is a hydrogen atom or a group having a linkage through a carbon atom; Q is a nitrogen atom or CH, or an ester or salt thereof, and further found that the compound thus synthesized showed good solubility to water and has excellent medicinal properties such as antibacterial activity.

Based on these findings, the present invention was accomplished.

More specifically, the present invention relates to.

(1) A compound of the formula:

$$R^{1}$$
—NH  $S$   $Q$   $CO$ —NH  $C$   $CH$   $CH$   $CH$   $CH$   $R^{3}$   $R^{4}$   $COO$ 

staphylococcus aureus (MRSA), they are poorly soluble in water or physiologically acceptable saline, which is necessary for administration, and have not been put into practical use. Thus creation of novel compounds, overcoming these problems has been desired.

## DISCLOSURE OF INVENTION

Taking the foregoing circumstances into consideration, the present inventors diligently conducted extensive studies and synthesized, for the first time, a cephem compound 65 characterized by having, at the 3-position of its cephem, oxacephem or carbacephem nucleus, a group of the formula:

wherein R<sup>1</sup> is a phosphono group or a group convertible to a phosphono group; R<sup>2</sup> is a hydrogen atom or a group having a linkage through a carbon atom; each of Q and X is a nitrogen atom or CH; Y is S, O or CH<sub>2</sub>; n is 0 or 1; one of R<sup>3</sup> and R<sup>4</sup> is a pyridinium group which may be substituted and the other is a hydrogen atom or hydrocarbon group which may be substituted, or R<sup>3</sup> and R<sup>4</sup> taken together may form a quaternalized nitrogen-containing heterocyclic ring which may be substituted, salt or ester thereof;

(2) A compound according to the above (1), wherein R<sup>1</sup> is a phosphono group which may be protected;

(3) A compound according to the above (1), wherein R<sup>1</sup> is phosphono, dialkoxy-phosphoryl, O-alkyl-phosphono, diaminophosphoryl, (amino)(hydroxy)phosphoryl, (alkoxy)(morpholino)phosphoryl or dihalophosphoryl;

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(4) A compound according to the above (1), wherein R<sup>1</sup> is a phosphono group;

(5) A compound according to the above (1), wherein y is S;

(6) A compound according to the above (1), wherein R<sup>2</sup> is

 a C<sub>1.6</sub> alkyl group which may be substituted or a 5
 C<sub>3.5</sub> cycloalkyl group;

(7) A compound according to the above (1), wherein R³ is a pyridinium group which may be substituted and R⁴ is a hydrogen atom;

(8) A compound according to the above (1), wherein

$$\left(\begin{array}{c} X \\ X \\ \end{array}\right)$$
 is  $\left(\begin{array}{c} R^{5} \\ X \\ \end{array}\right)$ 

(14) A method for producing a compound shown in the above (1) which comprises reacting a compound of the formula:

$$\begin{array}{c} \text{NH}_2 \\ \text{O} \\ \text{COO} \end{array}$$

wherein each symbol has the meaning given above, its ester or its salt, with a compound of the formula:

wherein each symbol has the meaning given above, its salt or its reactive derivative, if necessary, followed by converting R<sup>1</sup> to a phosphono group;

(15) A method for producing a compound shown in the above (1) which comprises subjecting a compound of the formula:

wherein R<sup>5</sup> is a hydrocarbon group which may be sub- <sup>50</sup> stituted:

(9) A compound according to the above (1) wherein Q is a nitrogen atom;

(10) A compound according to the above (1), wherein X is a nitrogen atom;

(11) A compound according to the above (1), wherein n is 0;

(12) A compound according to the above (1), which is 7β-[2(Z)-ethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate, its ester or its salt;

(13) A compound according to the above (1), which is 7β-[2(Z)-fluoromethoxyimino-2-(5-phosphonoamino-1, 2,4-thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4- 65 pyridinio)-2-thiazolylthio)-3-cephem-4carboxylate, its ester or its salt;

wherein one of R<sup>3</sup> and R<sup>4</sup> is a pyridyl group which may be substituted, and the other is a hydrogen atom or a hydrocarbon group which may be substituted, or R<sup>3</sup> and R<sup>4</sup>, taken together, represent a nitrogen-containing heterocyclic ring which may be substituted, and the other symbols have the meanings given above, its ester or its salt to the nitrogen quaternalization reaction in which quaternalized-ammonium is formed, if necessary, followed by converting R<sup>1</sup> to a phosphono group;

(16) A pharmaceutical composition containing the compound as shown in the above (1);

(17) A pharmaceutical composition containing the compound shown in the above (1) and at least one of pharmaceutically acceptable carriers, diluents and bulking agents;

- (18) A pharmaceutical composition as shown in the above
  - (16) which is an anti-bacterial composition;
- (19) A pharmaceutical composition as shown in the above (16) which is an anti-MRSA agent;
- (20) A pharmaceutical composition as shown in the above 5 (16) which is an injectable composition;
- (21) Use of the compound as shown in the above (1) for producing a pharmaceutical composition;
- (22) Use as shown in the above (21), wherein the pharmaceutical composition is an antibacterial agent;
- (23) Use as shown in the above (21), wherein the pharmaceutical composition is an anti-MRSA agent;
- (24) Use as shown in the above (21), wherein the pharmaceutical composition is an injectable composition;
- (25) A method for treating a bacterial infection which 15 comprises administering an effective amount of a compound as shown in the above (1) to a patient suffering from the bacterial infection;
- (26) A method for treating a bacterial infection which comprises administering an effective amount of a compound as shown in the above (1) together with at least one of pharmaceutically acceptable carriers, diluents and excipients to a patient suffering from the bacterial infection;
- (27) A method as shown in the above (25), wherein the 25 bacterial infection is a MRSA infection; and
- (28) A method as shown in the above (25), wherein the compound is administered by injection.

# BEST MODE FOR CARRYING OUT THE INVENTION

The cephem compound in the present specification includes a group of compounds named on the basis of "cepham" disclosed in "The Journal of The American Chemical Society" Vol. 84, p.3400 (1962), which means a compound, among the cepham compounds, having a double bond at the 3, 4-positions.

Incidentally, the compounds of this invention include the compound of the formula (I) showing the free form or an ester or salt thereof (a salt of the compound (I) or a salt of 40 the ester of the compound (I)). In the present specification, hereinafter, unless otherwise specified, the compound of the formula (I) shown in the free form or an ester or salt thereof is simply referred to as the compound (I) or the antibacterial compound (I). Accordingly, the compound (I) in the present specification includes, usually, the free form as well as an ester or salt thereof.

R<sup>1</sup> is a phosphono group or a group convertible to a phosphono group. The group convertible to a phosphono group is a group which can be converted to a phosphono group, for example, by hydrolysis, substitution reaction, etc. Examples of the group convertible to phosphono group include, for example, dihalophosphoryl such as di-chlorophosphoryl, etc. in addition to a protected-phosphono group.

The protected-phosphono group is a phosphono group protected by a phosphono-protective group. In the field of nucleic acid, phosphono-protective groups have been sufficiently studied, and the method of a protecting phosphono group has been established. In the present invention also, conventional phosphono-protective groups can be adequately employed. Examples of protected-phosphono groups include mono-or di-ester phosphono group (e.g., dihalophosphoryl such as di-chlorophosphoryl, etc.; dialkoxy-phosphoryl group such as di-methoxyphosphoryl, di-ethoxyphosphoryl, di-propoxyphosphoryl, etc.; O-alkyl-phosphono group such as O-methyl phosphono, O-ethyl phosphono, etc.), mono-esterified mono-amidated

phosphono group (e.g., mono-or di-amidated phosphono group such as diaminophosphoryl, (amino)(hydroxy) phosphoryl, etc.; (alkoxy)(amino)phosphoryl group such as (methoxy)(amino)phosphoryl, (ethoxy)(amino)phosphoryl, etc.; (alkoxy)(morpholino)phosphoryl group such as (methoxy)(morpholino)phosphoryl, (ethoxy)(morpholino) phosphoryl, etc.), etc. As R¹, phosphono, dialkoxy-phosphoryl, O-alkyl-phosphono, diaminophosphoryl, (amino)(hydroxy)phosphoryl, (alkoxy)(morpholino) phosphoryl or dihalophosphoryl are preferable, and phosphono is the most preferable.

R<sup>2</sup> is a hydrogen atom or a group having a linkage through a carbon atom. Examples of the group having a linkage through a carbon atom represented by R<sup>2</sup> include, for example, a hydrocarbon group which may be substituted (for example, an alkyl group which may be substituted, an alkenyl group which may be substituted, an alkynyl group which may be substituted, an aralkyl group which may be substituted, a cyclic hydrocarbon group which may be substituted), an acyl group or a non-aromatic heterocyclic group (having linkage at a carbon atom) which may be substituted. Among them, an alkyl group which may be substituted, an alkenyl group which may be substituted, a cyclic hydrocarbon group which may be substituted etc. are preferable. As the alkyl group in "an alkyl group which may be substituted", a C<sub>1-6</sub>alkyl group, etc., are preferable, and methyl, ethyl, isopropyl, etc. are the most preferable. As the alkenyl group in "an alkenyl group which may be substituted", a C<sub>2-6</sub>alkenyl group is preferable. As the alkynyl group in "an alkynyl group which may be substituted", 30 a C<sub>2-6</sub>alkynyl group is preferable. As the aralkyl group in "an aralkyl group which may be substituted", a C7-20aralkyl group is preferable. Examples of the cyclic hydrocarbon group in "a cyclic hydrocarbon group which may be substituted" include, a 3 to 7 membered non-aromatic cyclic hydrocarbon group such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, 2-cyclopentene-1-yl, 3-cyclopentene-1-yl, 2-cyclohexene-1-yl, 3-cyclohexene-1yl, etc., etc. Among them, a C<sub>3.7</sub>cycloalkyl group such as cyclobutyl, cyclopentyl, etc. are preferable. Examples of the acyl group include, for example, a C<sub>1-6</sub>alkanoyl group which may be substituted, a C<sub>3-5</sub>alkenoyl group which may be substituted, a C<sub>6-10</sub>aryl-carbonyl group which may be substituted, a heterocyclic carbonyl group, etc.

As the "optionally substituted  $C_{1-6}$ alkanoyl group", use is made of, for example, a  $C_{1-6}$ alkanoyl group which may optionally be substituted with 1 to 3 substituents selected from a halogen, oxo, a  $C_{1-6}$ alkoxy, a  $C_{1-6}$ alkanoyl, a  $C_{6-10}$ aryl, a  $C_{6-10}$ aryloxy, and a  $C_{6-10}$ arylthio. More specifically, use is made of, for example, formyl, acetyl, propionyl, butyryl, valeryl, pivaloyl, succinyl, glutaryl, monochloroacetyl, dichloroacetyl, trichloroacetyl, monobromoacetyl, monofluoroacetyl, difluoroacetyl, trifluoroacetyl, monoidoacetyl, acetoacetyl, 3-oxobutyryl, 4-chloro-3-oxobutyryl, phenylacetyl, p-chlorophenylacetyl, phenoxyacetyl and p-chlorophenoxyacetyl.

As the "optionally substituted  $C_{3-5}$ alkenoyl group", use is made of, for example, a  $C_{3-5}$ alkenoyl group optionally substituted with 1 to 3 substituents selected from a halogen and a  $C_{6-10}$ aryl, more specifically, for example, acryloyl, crotonoyl, maleoyl, cinnamoyl, p-chlorocinnamoyl and  $\beta$ -phenylcinnamoyl.

As the "optionally substituted  $C_{6-10}$  aryl-carbonyl group", use is made of, for example, al  $C_{6-10}$  aryl-carbonyl group optionally substituted with 1 to 3 substituents selected from a halogen, nitro, hydroxy, a  $C_{1-6}$  alkyl and a  $C_{1-6}$  alkoxy, more specifically, for example, benzoyl, naphthoyl, phthaloyl, p-toluoyl, p-tert-butylbenzoyl, p-hydroxybenzoyl, p-methoxybenzoyl, p-tert-butoxybenzoyl, p-chlorobenzoyl and p-nitrobenzoyl.

The "heterocyclic group" in "heterocyclic carbonyl group" means a group formed by removing one hydrogen atom linked to carbon atom of the heterocyclic ring. The heterocyclic ring means a 5- to 8-membered ring containing 1 to several, preferably 1 to 4 hetero-atoms such as a nitrogen atom which may be oxidized, oxygen atom and a sulfur atom, or a condensed ring thereof. As such a heterocyclic group, for example, 2- or 3-pyrrolyl; 3-, 4- or 5-pyrazolyl; 2-, 4- or 5-imidazolyl; 1,2,3- or 1,2,4-triazolyl; 111- or 2H-tetrazolyl; 2- or 3-furyl; 2- or 3-thienyl; 2-, 4- or 5-oxazolyl; 3, 4- or 5-isoxazolyl; 1,2,3-oxadiazol-4-yl or 1,2,3-oxadiazol-5-yl; 1,2,4-oxadiazol-3-yl or 1,2,4oxadiazol-5-yl; 1,2,5- or 1,3,4-oxadiazolyl; 2-, 4- or 5-thiazolyl; 3-, 4- or 5-isothiazolyl; 1,2,3-thiadiazol-4-yl or 1,2,3-thiadiazol-5-yl; 1,2,4-thiadiazol-3-yl or 1,2,4-thiadiazol-5-yl; 1,2,5- or 1,3,4-thiadiazolyl; 2- or 15 3-pyrrolidinyl; 2-, 3- or 4-pyridyl; 2-, 3- or 4-pyridyl-Noxido; 3- or 4-pyridazinyl; 3- or 4-pyridazinyl-N-oxido; 2-, 4- or 5-pyrimidinyl; 2-, 4- or 5-pyrimidinyl-N-oxido; pyrazinyl; 2-, 3- or 4-piperidinyl; piperazinyl; 3H-indol-2-yl or 3H-indol-3-yl; 2-, 3- or 4-pyranyl; 2-, 3- or 4thiopyranyl; 20 benzopyranyl; quinolyl; pyrido[2,3-d]pyrimidyl; 1,5-, 1,6-, 1,7-, 1,8-, 2,6- or 2,7-naphthyridyl; thieno[2,3-d]pyridyl; pyrimidopyridyl; pyrazinoquinolyl; and benzopyranyl can

Examples of the non-aromatic heterocyclic group in "non-aromatic heterocyclic group having a linkage at a carbon atom, which may be substituted" preferably include a 3 to 6 membered non-aromatic heterocyclic group containing 1 or 2 hetero atoms such as a nitrogen atom, an oxygen atom, a sulfur atom in addition to a carbon atom, such as oxylanyl, azetidinyl, oxetanyl, thietanyl, pyrrolidinyl, tetrahydrofuryl, thiolanyl, piperidyl, tetrahydropyranyl, morpholinyl, thiomorpholinyl.

Examples of the substituents, which the above-mentioned "hydrocarbon group" may optionally have, include a heterocyclic group, a hydroxyl group, a C<sub>1-6</sub>alkoxy group, a C<sub>3-10</sub>cycloalkyl group, a C<sub>3-7</sub>cycloalkyloxy group, a  $C_{6-10}$  aryloxy group, a  $C_{7-19}$  aralkyloxy group, a heterocyclicoxy group, a mercapto group, a C<sub>1-6</sub>alkylthio group, a C<sub>3-10</sub>cycloalkylthio group, a C<sub>6-10</sub>arylthio group, a C<sub>7-19</sub>aralkylthio group, a heterocyclic-thio group, an amino group, a mono- $C_{1-6}$ alkylamino group, a di- $C_{1-6}$ alkylamino group, a tri- $C_{1-6}$ alkyl ammonium group, a  $C_{3-10}$ cycloalkylamino group, a  $C_{6-10}$ arylamino group, a C<sub>7-19</sub>aralkylamino group, a heterocyclic amino group, a cyclic amino group, an azido group, a nitro group, a halogen 45 atom, a cyano group, a carboxyl group, a C<sub>1-10</sub>alkoxycarbonyl group, a C<sub>1-10</sub>aryloxy-carbonyl group, a  $C_{7-19}$ aralkyloxy-carbonyl group, a  $C_{6-10}$ aryl-carbonyl group, a  $C_{1-6}$ alkanoyl group, a  $C_{3-5}$ alkenoyl group, a C<sub>6-10</sub>aryl-carbonyloxy group, a C<sub>2-6</sub>alkanoyloxy group, a C3.5alkenoyloxy group, an optionally substituted carbamoyl group, an optionally substituted thiocarbamoyl group, an optionally substituted carbamoyloxy group, a phthalimido group, a C<sub>1-6</sub>alkanoylamino group, a C<sub>6-10</sub>arylcarbonylamino group, a C<sub>1-10</sub>alkoxy-carboxamido group, a C<sub>6-10</sub>aryloxy-carboxamido group and a C<sub>7-19</sub>aralkyloxycarboxamido group. The number of these substituents, which may be the same as or different from one another, ranges from 1 to 4.

Among specific examples of the above-mentioned substituents of the "hydrocarbon group", as the "optionally substituted carbamoyl group", use is made of, for example, a carbamoyl group and a cyclic aminocarbonyl group optionally substituted with one or two substituents selected from, for example, a  $C_{1-6}$ alkyl group, a  $C_{6-10}$ aryl group, a  $C_{1-6}$ alkanoyl group, a  $C_{6-10}$ arylcarbonyl group and a 65  $C_{1-6}$ alkoxy-phenyl group. More specifically, use is made of, for example, carbamoyl, N-methylcarbamoyl,

N-ethylcarbamoyl, N,N-dimethylcarbamoyl, N,Ndiethylcarbamoyl, N-phenylcarbamoyl, N-acetylchrbamoyl, N-benzoylcarbamoyl, N-(p-methoxyphenyl)carbamoyl, pyrrolidinocarbonyl, piperidinocarbonyl, piperazinocarbonyl and morpholinocarbonyl. As the "optionally substituted thiocarbamoyl group", use is made of a thiocarbamoyl group optionally substituted with one or two substituents selected from for example, a C<sub>1-6</sub>alkyl group and a C<sub>6-10</sub>aryl group, which are exemplified by thiocarbamoyl, N-methylthiocarbamoyl and N-phenylthiocarbamoyl. As the "optionally substituted calrbamoyloxy group", use is made of a carbamoyloxy group optionally substituted with one or two substituents selected from for example, a  $C_{1-6}$ alkyl group and a  $C_{6-10}$ aryl group. Specific examples include carbamoyloxy, N-methyl carbamoyloxy, N,N-d(methyl carbamoyloxy, N-ethyl carbamoyloxy and N-phenyl carbamoyloxy.

As the heterocyclic group and the heterocyclic group in the heterocyclic-oxy group, the heterocyclic-thio group and the heterocyclic amino group in the substituent of the "hydrocarbon group", use is made of group; similar to those in the "heterocyclic carbonyl group" as mentioned above.

Examples of the substituent in the "non-aromatic heterocyclic group having a linkage at a carbon atom, which may be substituted" mentioned above include the embodiments mentioned as the hydrocarbon group and its substituent in the "hydrocarbon group which may be substituted".

As R<sup>2</sup>, an optionally substituted hydrocarbon group is preferable. Examples of the "optionally substituted hydrocarbon group" shown by R3include a C1-6alkyl group optionally substituted with one to three substituents selected from, for example, a hydroxyl group, a C<sub>3-10</sub>cycloalkyl group, a C<sub>1-6</sub>alkoxy group, a C<sub>1-6</sub>alkylthio group, an amino group, a halogen atom, carboxyl group, a C<sub>1-10</sub>alkoxycarbonyl group, an optionally substituted carbamoyl group, a cyano group, an azido group and a heterocyclic group, which are more specifically exemplified by cyclopropylmethyl, methoxymethyl, ethoxymethyl, 1-methoxyethyl, 2-methoxyethyl, 1-ethoxyethyl, 2-hydroxyethyl, methylthiomethyl, 2-aminoethyl, fluoromethyl, 2-fluoroethyl, 2,2-difluoroethyl, chloromethyl, 2-chloroethyl, 2,2-dichloroethyl, 2,2,2-trichloroethyl, 2-bromoethyl, 2-iodoethyl, 2,2,2trifluoroethyl, carboxymethyl, 1-carboxyethyl, 2-carboxyethyl, 2-carboxypropyl, 3-carboxypropyl, 1-carboxybutyl, cyanomethyl, 1-carboxy-1-methylethyl, methoxycarbonylmethyl, ethoxycarbonylmethyl, tertbutoxycarbonylmethyl, 1-methoxycarbonyl-1-methylethyl, 1-ethoxycarbonyl-1-methylethyl, 1-tert-butoxycarbonyl-1methylethyl, 1-benzyloxycarbonyl-1-methylethyl, 1-pivaloyloxycarbonyl-1-methylethyl, carbamoylmethyl, N-methylcarbamoylmethyl, dimethylcarbamoylmethyl, 2-azidoethyl, 2-(pyrazolyl)ethyl, 2-(imidazolyl)ethyl, 2-(2-oxopyrrolidin-3-yl)ethyl and 1-carboxyl-1-(2,3,4-trihydroxyphenyl)methyl. Most preferable examples of R2 include, for example, a straight chain or branched C<sub>1-6</sub>alkyl group which may be substituted with one to three substituents selected from a halogen, a hydroxyl a C<sub>1-6</sub>alkoxy group, a carboxyl group, a C<sub>1-10</sub>alkoxy-carbonyl group, a cyano group, a carbamoyl group and a substituted carbamoyl, such as methyl, ethyl, n-propyl, isopropyl, butyl, isobutyl, sec-butyl, fluoromethyl, 2-fluoroethyl, 2-chloroethyl, 2-hydroxyethyl, 2-methoxyethyl, cyanomethyl, carboxymethyl, methoxycarbonylmethyl, ethoxycarbonylmethyl, carbamoylmethyl, N-methylcarbamoylmethyl, dimethylcarbamoylmethyl, etc., a C3-5cycloalkyl group such as cyclopropyl, cyclobutyl, cyclopentyl, etc. and a C<sub>3-5</sub>cycloalkyl-C<sub>1-3</sub>alkyl group such as cyclopropylmethyl, etc. Among them, a C<sub>1-6</sub>alkyl group which may be substituted and C<sub>3-5</sub>cycloalkyl group are preferable.

One of R<sup>3</sup> and R<sup>4</sup> is a pyridinium group which may be substituted and the other is a hydrogen atom or a hydrogarbon group which may be substituted, or R3 and R4, taken together, represent a heterocyclic group which may be substituted containing a quaternalized nitrogen. Examples of the "pyridinium group which may be substituted" include, for example, a group of the formula:

atom, or that R3 and R4, taken to represent a 6 membered unsaturated heterocyclic group having a quaternalized nitrogen atom.

Each of Q and X is a nitrogen atom or CH. Each of Q and X is preferably a nitrogen atom.

Y is S, O or CH<sub>2</sub>. Y is preferably S. That is, among the compound (1), a compound of the formula:

$$R^{1}$$
—NH  $S$   $Q$   $COO$   $NH$   $S$   $COO$   $CH$   $CH$   $R^{3}$   $R^{4}$   $COO$ 

wherein R<sup>5</sup> is a hydrocarbon group which may be substituted, R is a C<sub>1-6</sub>alkyl group, a C<sub>1-6</sub>alkoxy group, a C<sub>1-6</sub>alkoxy-carbonyl group, a amino group, a nitro group, a halogen atom or a carboxy group, p is an integer of from 0 to 4, etc.

In case that R3 and R4, taken together, represent a which may be substituted, the group of the formula:

$$\left(\begin{array}{c} X \\ X \\ \end{array}\right)$$

includes 6 membered unsaturated heterocyclic groups such as

wherein q is an integer of 0 to 3, and the other symbols have the meanings given above, etc.

Examples of the "hydrocarbon group which may be substituted" represented by  $R^3$ ,  $R^4$  or  $R^5$  include those mentioned in the explanation of "a group having a linkage through a carbon atom" represented by R2.

Each of p and q is preferably 0.

 $R^5$  is preferably is a  $C_{1-4}$ alkyl group such as methyl, etc. 65 Referring to R3 and R4, it is preferable that R3 is a pyridinium group which may be substituted and R4 is a hydrogen

wherein each symbol has the meaning given above, its ester or its salt is preferable. While n can be 0 or 1, it is preferably 0.

In the above-mentioned compound (I), the mark [--] attached on the right shoulder of -COO at the 4-position shows that the carboxyl group forms carboxylate anion, making a pair with the positive charge on the pyridine ring (hereinafter sometimes simply referred to as A+). On the other hand, the compound (I) may optionally form a pharmaceutically acceptable ester or salt. As the pharmaceutically acceptable salt, use is made of, for example, inorganic basic salts, ammonium salts, organic basic salts, inorganic acid addition salts, organic acid addition salts and basic amino acid salts. As the inorganic base capable of forming the inorganic basic salt, use is made of, for example, alkali heterocyclic group containing a quaternalized nitrogen, 35 metal (e.g. sodium and potassium) and alkaline earth metals (e.g. calcium); as the organic base capable of forming the organic basic salt, use is made of, for example, procaine, 2-phenylethyl benzylamine, dibenzylethylenediamine, ethanolamine, diethanolamine, 40 trishydroxymethylaminomethane, polyhydroxyalkylamine and N-methylglucosamine; as an inorganic acid capable of forming the inorganic acid addition salt, use is made of, for example, hydrochloric acid, hydrobromic acid, sulfuric acid, nitric acid and phosphoric acid; as an organic acid capable of forming the organic acid addition salt, use is made of, for example, p-toluenesulfonic acid, methanesulfonic acid, formic acid, trifluoroacetic acid and maleic acid; and, as a basic amino acid capable of forming the basic amino acid salt, use is made of, for example, lysine, arginine, ornithine and histidine. Among these salts, a basic salt (i.e. an inorganic basic salt, an ammonium salt, an organic basic salt and a basic amino acid salt) means that capable of being formed in the case where a basic group such as amino group, a monoalkylamino group, a dialkylamino group, a cycloalkylamino group, an arylamino group, an aralkylamino group, a cyclic amino group and a N-containing heterocyclic group exists in the substituent R<sup>1</sup>, R<sup>2</sup> or R<sup>5</sup> of the compound (I). And, examples of the acid addition salt include a salt in which the substituent at 4-position is a carboxyl group (COOH) and the substituent at 3-position is  $-(CH=CH)_n$   $-S-A^+Z^-$  [wherein  $Z^-$  stands for anion formed by removing proton H+ from the inorganic acid or the organic acid, the anion being exemplified by a chloride ion, a bromide ion, a sulfate ion, a p-toluenesulfonate ion, a methanesulfonate ion and a trifluoroacetate ion, etc. ] the salt being formed by adding one mole of acid to the moiety forming the internal salt of the compound (I), i.e. the carboxylate moiety (COO<sup>-</sup>)

at the 4-position and heterocyclic ring moiety at the 3-position. The ester derivative of the compound (I) means an ester producible by esterifying the carboxyl group in the molecule which is utilizable as an intermediate of the synthesis and is metabolically unstable and a non-toxic ester. Examples of the ester utilizable as intermediate of the synthesis include an optionally substituted  $C_{1-6}$ alkyl ester, a  $C_{1-6}$ alkyl ester, a  $C_{3-10}$ cycloalkyl- $C_{1-6}$ alkyl ester, an optionally substituted  $C_{6-10}$ aryl ester, an optionally substituted  $C_{7-12}$ aralkyl ester, a di- $C_{6-10}$ arylmethyl ester, a tri- $C_{6-10}$ aryl-methyl ester, a substituted silyl ester and a  $C_{2-6}$ alkanoyloxy- $C_{1-6}$ alkyl ester.

As the "optionally substituted C<sub>1-6</sub>alkyl ester", use is made of, for example, methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, n-pentyl and n-hexyl, which may be substituted with one to three of, for example, benzyloxy, a C<sub>1.4</sub>alkyl sulfonyl (e.g. methyl sulfonyl), trimethylsilyl, a halogen (e.g. fluorine, chlorine and bromine), acetyl, nitrobenzoyl, mesylbenzoyl, phthalimido, succinimide, benzenesulfonyl, phenylthio, a 20 di-C<sub>1-4</sub>alkylamino (e.g. dimethylamino), pyridyl, a C1-4alkyl sulfinyl (e.g. methyl sulfinyl) and cyano. Examples of such groups include benzyloxymethyl, 2-methylsulfonylethyl, 2-trimethylsilylethyl, 2,2,2trichloroethyl, 2-iodoethyl, acetylmethyl, 25 p-nitrobenzoylmethyl, p-mesylbenzoylmethyl, phthalimidomethyl, succinimidomethyl, benzenesulfonylmethyl, phenylthiomethyl, dimethylaminoethyl, pyridine-oxido-2-methyl, methylsulfinylmethyl and 2-cyano-1,1-dimethylethyl.

As the C<sub>2-6</sub>alkenyl group forming the "C<sub>2-6</sub>alkenyl ester", use is made of, for example, vinyl, allyl, 1-propenyl, isopropenyl, 1-butenyl, 2-butenyl, 3-butenyl, methallyl, 1,1-dimethylallyl and 3-methyl-3-butenyl.

As the C3-10cycloalkyl group forming the 35 "C<sub>3-10</sub>cycloalkyl ester", use is made of, for example, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, norbornyl and adamantyl.

As the  $C_{3-10}$  cycloalkyl  $-C_{1-6}$  alkyl group forming the " $C_{3-10}$  cycloalkyl- $C_{1-6}$  alkyl ester", use is made of, for 40 example, cyclopropylmethyl, cyclopentylmethyl and cyclohexylmethyl.

As the " $C_{6-10}$ aryl group" forming the "optionally substituted  $C_{6-10}$ aryl ester", use is made of, for example, phenyl,  $\alpha$ -naphthyl,  $\beta$ -naphthyl and biphenylyl, which may optionally be substituted with one to three of, for example, nitro and a halogen (e.g. fluorine, chlorine and bromine). The above group is specifically exemplified by p-nitrophenyl and p-chlorophenyl.

As the " $C_{7-12}$  aralkyl group" forming the "optionally substituted  $C_{7-12}$  aralkyl ester", use is made of, for example, benzyl, 1-phenylethyl, 2-phenylethyl, phenylpropyl and naphthylmethyl, which may optionally be substituted with one to three of, for example nitro, a  $C_{1-4}$  alkoxy (e.g. methoxy), a  $C_{1-4}$  alkyl (e.g. methyl and ethyl) and hydroxy. 55 Specific examples of such group include p-nitrobenzyl, p-methoxybenzyl and 3,5-di-tert-butyl-4-hydroxybenzyl.

As the di- $C_{6-10}$  aryl-methyl group forming the "di- $C_{6-10}$  aryl-methyl ester", use is made of, among others, benzhydryl; as the tri- $C_{6-10}$  aryl-methyl group forming the 60 tri- $C_{6-10}$  aryl-methyl ester, use is made of, among others, trityl; as the substituted silyl group forming the substituted silyl ester, use is made of, for example, trimethylsilyl, tert-butyldimethylsilyl and —Si(CH<sub>3</sub>)<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si(CH<sub>3</sub>)<sub>2</sub>—. As the  $C_{2-6}$  alkanoyloxy- $C_{1-6}$  alkyl ester, use is made of, for example, acetoxymethyl ester. Examples of the abovementioned ester include an ester at 4-position. The

compound, wherein the substituent at 4-position is the above-mentioned ester group, forms a salt in which the substituent at 3-position is —(CH=CH)<sub>n</sub>—S—A<sup>+</sup>Z<sup>-</sup>[wherein symbols are of the same meaning as defined above].

The present invention includes, besides the abovedescribed ester derivatives, pharmacologically acceptable compounds convertible into the compound (I) in vivo.

The compound (I) and starting compounds of this invention, in case that n is 1, include cis-isomer (Z-compound), trans-isomer (E-compound) and a cis-trans mixture. The compound (I) of this invention is preferably a trans-isomer (E-compound).

Referring to the compound (1), the cis-isomer (Z-compound), for example, means one of the geometrical isomers having the partial structure represented by the formula:

and the trans-isomer means a geometrical isomer having the partial structure of the formula:

Among the compound (I), for example,  $7\beta$ -[2(Z)-ethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazole-3-yl) acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate, its ester, its salt,  $7\beta$ -[2(Z)-fluoromethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate, its ester and its salt, are especially preferable.

In the present specification, specific examples of the respective substituents are, unless specifically described, as follows.

halogen: fluoro, chloro, bromo, iodo, etc.;

C<sub>1-4</sub>alkyl group: methyl, ethyl, propyl, isopropyl, butyl, isobutyl, t-butyl, etc.;

C<sub>1.6</sub>alkyl group: the above mentioned C<sub>1.4</sub>alkyl group and pentyl, 2,2-dimethyl propyl, hexyl, etc.;

C<sub>2-6</sub>alkenyl group: vinyl, allyl, 1-propenyl, isopropenyl, 1-butenyl, 2-butenyl, 3-butenyl, methallyl, 1,1-dimethyl allyl, etc.;

C<sub>2-6</sub>alkynyl group: ethynyl, 1-propynyl, 2-propynyl, 2-butynyl, 2-pentynyl, 2-hexynyl, etc.;

C<sub>3-5</sub>cycloalkyl group: cyclopropyl, cyclobutyl, cyclopentyl, etc.;

C<sub>3-10</sub>cycloalkyl group: the above mentioned C<sub>3-5</sub>cycloalkyl group and cyclohexyl, cycloheptyl, cyclooctyl, cyclodecyl, etc.;

C<sub>6-10</sub> aryl group: phenyl, naphthyl, etc.;

C<sub>7-20</sub>aralkyl group: benzyl, l-phenyl ethyl, 2-phenyl ethyl, phenyl propyl, naphthyl methyl, benzhydryl, etc.;

C<sub>1-6</sub>alkoxy group: methoxy, ethoxy, propoxy, isopropoxy, butoxy, isobutoxy, t-butoxy, pentyloxy, 2,2-dimethyl propyloxy, hexyloxy, etc.;

C<sub>3.7</sub>cycloalkyloxy group: cyclopropyloxy, cyclobutyloxy, cyclopentyloxy, cyclohexyloxy, etc.;

C<sub>6-10</sub> aryloxy group: phenoxy, naphthyloxy, etc.;

C<sub>7.19</sub>aralkyl-oxy group: benzyloxy, 1-phenylethyloxy, 2-phenylethyloxy, benzhydryloxy, etc.;

C<sub>1-6</sub>alkyl-thio group: methylthio, ethylthio, propylthio, butylthio, isobutylthio, t-butylthio, pentylthio, 2,2-dimethylpropylthio, hexylthio, etc.;

C<sub>3-10</sub>cycloalkyl-thio group: cyclopropylthio, cyclobutylthio, cyclopentylthio, cyclohexylthio, cyclohexylthio, cycloheptylthio, cyclooctylthio, cyclodecylthio, etc.;

C<sub>6-10</sub> aryl-thio group: phenylthio, naphthylthio, etc.;

C<sub>7.19</sub>aralkyl-thio group: benzylthio, phenylethylthio, benzhydrylthio, tritylthio, etc.;

C<sub>1.4</sub>alkyl-sulfinyl group methylsulfinyl, ethylsulfinyl, propylsulfinyl, isopropylsulfinyl, butylsulfinyl, <sup>15</sup> t-butylsulfinyl, etc.;

C<sub>1-4</sub>alkyl-sulfonyl group: methylsulfonyl, ethylsulfonyl, propylsulfonyl, isopropylsulfonyl, butylsulfonyl, isobutylsulfonyl, t-butylsulfonyl, etc.;

mono-C<sub>1-6</sub>alkyl-amino group: methylamino, ethylamino, n-propylamino, n-butylamino, etc.;

di-C<sub>1.4</sub>alkyl-amino group dimethylamino, diethylamino, methylethylamino, di-(n-propyl)amino, di-(n-butyl) amino, etc.;

di-C<sub>1-6</sub>alkyl1-amino group: the above mentioned di-C<sub>1-4</sub>alkyl amino group and di-(pentyl)amino, di-(n-hexyl)amino, etc.;

 $\text{tri-}C_{1-6}$ alkyl-ammonium group trimethylammonium, etc.;  $C_{3-10}$ cycloalkyl-amino group cyclopropylamino,

cyclopentylamino, cyclohexylamino, etc.;

C<sub>6-10</sub>aryl-amino group: anilino, N-methylanilino, etc.;

C<sub>7-19</sub> aralkyl-amino group: benzylamino, 1-phenylethylamino, 2-phenylethyl amino, 35 benzhydrylamino, etc.;

Cyclic amino group: pyrrolidino, piperidino, piperazinyl, morpholino, 1-pyrrolyl, etc.;

C<sub>1.6</sub>alkanoyl amino group: acetamido, propionamido, butyroamido, valeroamido, pivaloamido, etc.;

C<sub>6-10</sub> aryl-carbonyl amino group benzamido, naphthoylamido, phthalimide, etc.;

C<sub>1-6</sub>alkanoyl group: formyl, acetyl, propionyl, butyryl, valeryl, pivaloyl, succinyl, glutaryl, etc.;

C<sub>2-6</sub>alkanoyloxy group: acetoxy, propionyloxy, butyryloxy, valeryloxy, pivaloyloxy, etc.;

C<sub>3-5</sub>alkenoyl group: acryloyl, crotonoyl, maleoyl, etc.;

C<sub>3-5</sub>alkenoyl-oxy group: acryloyloxy, crotonoyloxy, maleoyloxy, etc.;

C<sub>6-10</sub>aryl-carbonyl group benzoyl, naphthoyl, phthaloyl, phenyl acetyl, etc.;

C<sub>6-10</sub>aryl-carbonyloxy group: benzoyloxy, naphthoyloxy, phenylacetoxy, etc.,

C<sub>1.6</sub>alkoxy-phenyl group: methoxyphenyl, ethoxyphenyl, propoxyphenyl, butoxy phenyl, t-butoxyphenyl, etc.;

C<sub>1-10</sub>alkoxy-carbonyl group: methoxycarbonyl, ethoxycarbonyl, propoxycarbonyl, isopropoxycarbonyl, butoxycarbonyl, isobutoxycarbonyl, t-butoxycarbonyl, pentyloxycarbonyl, 2,2-dimethylpropyloxycarbonyl, hexyloxycarbonyl, heptyloxycarbonyl, decyloxycarbonyl, etc.;

C<sub>2-10</sub>alkenyloxy-carbonyl group allyloxycarbonyl, etc.; C<sub>6-10</sub>aryloxy-carbonyl group: phenoxycarbonyl, naphthyloxycarbonyl, etc.;

C<sub>7-19</sub>aralkyl-oxycarbonyl group: benzyloxycarbonyl, benzhydryloxycarbonyl, etc.;

C<sub>1-10</sub>alkoxy-carboxamido group methoxycarboxamido (CH<sub>3</sub>OCONH—), ethoxycarboxamido, tert-butoxycarboxamido, etc.;

C<sub>6-10</sub>aryloxy-carboxamido group: phenoxycarboxamido (C<sub>6</sub>H<sub>5</sub>OCONH—), etc.

Methods of producing the compound (I) of this invention are hereinafter described in detail.

Production Method (1):

The compound (I) can be synthesized by allowing, for example, a compound of the formula (II) or an ester or salt thereof (hereinafter referred to as Compound (II)) to react with a compound of the formula (III) or its salt or a reactive derivative thereof (hereinafter referred to as Compound (III)), followed by removing the protective group so as to change the group R<sup>1</sup> to a phosphono group.

The present method is to acylate a compound (II) by using compound (III). Compound (II) can be used as it is, and can also be used as its salt or its ester.

Examples of the salts of Compound (II) include an inorganic basic salt, an ammonium salt, an organic basic salt, an inorganic acid addition salt and an organic acid addition salt. Examples of inorganic basic salts include an alkali metal salt (e.g. sodium salt and potassium salt) and an alkaline earth metal salt (e.g. calcium salt); examples of an organic basic salt include trimethylamine salt, triethylamine salt, tert-butyldimethylamine salt, dibenzylmethylamine salt, benzyldimethylamine salt, N,N-dimethylaniline salt, pyridine salt and quinoline salt; examples of the inorganic acid addition salts include hydrochloride, hydrobromide, sulfate, nitrate and phosphate; and examples of the organic acid addition salts include formate, acetate, trifluoroacetate, methanesulfonate and p-toluenesulfonate.

As the ester of amino compound (II), mention is made of esters already described as the ester derivatives of compound (I), as exemplified by, more specifically, a  $C_{1-6}$ alkyl ester, a  $C_{2-6}$ alkenyl ester, a  $C_{3-10}$ cycloalkyl ester, a  $C_{3-6}$ cycloalkyl- $C_{1-6}$ alkyl ester, a  $C_{6-10}$ aryl ester, a  $C_{7-12}$ aralkyl ester, a di- $C_{6-10}$ arylmethyl ester, a tri- $C_{6-10}$ arylmethyl ester and a  $C_{2-6}$ alkanoyloxy- $C_{1-6}$ alkyl ester.

Compound (II) can be produced by the method shown in, for example, JPA-H9(1997)-100283, etc.

In this method, Compound (III) in the free state or in the form of a salt or reactive derivative thereof can be used as an agent for acylating the amino group at the 7-position of Compound (III). Examples of the salts of Compound (III) includes inorganic basic salts and organic basic salts. Examples of inorganic basic salts include alkali metal salts (e.g. sodium salt and potassium salt) and alkaline earth metal salts (e.g. calcium salt); examples of the organic basic salts include trimethylamine salt, triethylamine salt, tert-butyldimethylamine salt, dibenzylmethylamine salt, benzyldimethylamine salt, N,N-dimethylamiline salt, pyridine salt and quinoline salt.

In this method, the compound (III) as it is, its salt or its reactive derivative is used as an acylating agent for acylation of the amino group at the 7-position of amino compound. Examples of the salt of compound (III) include an inorganic base salt and an organic base salt. Examples of the inorganic base salt include alkali metal salt (e.g. sodium salt, potas-

sium salt, etc.), alkaline earth metal salt (e.g., calcium salt, etc.), etc., and examples of the organic base salt include trimethylamine salt, triethylamine salt, tert-butyl dimethylamine salt, dibenzyl methylamine salt, benzyl dimethylamine salt, N,N-dimethyl aniline salt, pyridine salt, quinoline salt etc. Examples of the reactive derivative of the carboxylic acid (III) include, for example, acid halides, acid azides, acid anhydrides, mixed acid anhydrides, active amides, active esters, active thio esters, etc. Examples of the acid halides include, for example, acid chloride, acid bromide, etc.; examples of the mixed acid anhydrides include mono-C<sub>1-o</sub>alkyl-carbonic acid mixed acid anhydrides (e.g. mixed acid anhydride of free acid and monomethylcarbonic acid, monoethylcarbonic acid, monoisopropylearbonic acid, mono-isobutylearbonic acid, monotert-butylcarbonic acid, mono-benzylcarbonic acid, mono-(p-nitrobenzyl)carbonic acid, mono-allylcarbonic acid, etc.), a  $C_{1-6}$ aliphatic carboxylic acid mixed acid anhydride (e.g.  $_{20}$ mixed acid anhydride of free acid and acetic acid, trichloroacetic acid, cyanoacetic acid, propionic acid, butyric acid, isobutyric acid, valeric acid, isovaleric acid, pivalic acid, trifluoroacetic acid, trichloroacetic acid, acetoacetic acid, etc.), a C<sub>7-12</sub> aromatic carboxylic acid mixed acid anhydride (e.g. mixed acid anhydride of free acid and benzoic acid, p-toluic acid, p-chloro benzoic acid, etc.), organic sulfonic acid mixed acid anhydrides (e.g. mixed acid anhydride of free acid and methanesulfonic acid, ethane sulfonic acid, benzenesulfonic acid, p-toluenesulfonic acid, etc.) etc.; examples of the active amide include an amide with a nitrogen-containing heterocyclic compound (acid amide of a free acid and, for example; pyrazole, imidazole, benzo triazole, etc., these nitrogen-containing heterocyclic com- 35 pound may be substituted with a C<sub>1-6</sub>alkyl group (e.g., methyl, ethyl, etc.), a C<sub>1-6</sub>alkoxy group (e.g., methoxy, ethoxy, etc.), a halogen atom (e.g., fluorine, chlorine, bromine, etc.), an oxo group, a thioxo group, a C<sub>1-6</sub>alkylthio group (e.g., methylthio, ethylthio, etc.), etc.), etc.

As an active ester, all the active esters used in the field of the synthesis of  $\beta$ -lactam and peptide may be used. Examples of the active ester include, for example, an organic phosphoric acid ester (e.g. di-ethoxyphosphoric acid ester, di-phenoxyphosphoric acid ester, etc.), p-nitrophenyl ester, 2,4-di-nitrophenyl ester, cyanomethyl ester, pentachlorophenyl ester, N-hydroxysuccinimide ester, N-hydroxy phthalimide ester, 1-hydroxy benzotriazole ester, 6-chloro-1hydroxybenzotriazole ester, 1-hydroxy-1H-2-pyridone ester, etc. Examples of the active thio ester include an ester of the acid with an aromatic heterocyclic thiol compound (e.g. 2-pyridylthiol ester, 2-benzothiazolylthiol ester, etc., which heterocyclics may be substituted with a C<sub>1-6</sub>alkyl group (e.g. 55 methyl, ethyl, etc.), a C<sub>1-6</sub>alkoxy group (e.g., methoxy, ethoxy, etc.), a halogen atom (e.g., fluorine, chlorine, bromine, etc.), a C<sub>1-6</sub>alkyl-thio group (e.g., methylthio, ethylthio, etc.), etc.).

The Compound (III) may easily be produced by a known method (e.g. a method shown in JPA S60(1985)-231684, JPA S62(1987)-149682, EP0590681, etc.) or a method similar to the known method. The reaction derivative of Compound (III) can be reacted with Compound (II) after isolation from the reaction mixture, and the reaction mixture containing the reactive derivative of Compound (III) can

also be used for the reaction with Compound (II). When Compound (III) is used in the form of a free acid or a salt, a pertinent condensing agent is used. Excamples of the condensing agent include, for example, a N,N'-disubstituted carbodiimide such as N,N'-dicyclohexylcarbodiimide, etc., an azolide reagent such as N,N'-carbonyldiimidazole, N,N'-thiocarbonyldiimidazole, etc., a dehydrating agent such as N-ethoxycarbonyl-2ethoxy-1,2-dihydroquinoline, phosphorus oxychloride, an alkoxy-acetylene, etc., a 2-halogeno pyridinium salt such as 2-chloropyridiniummethyl 2-fluoropyridiniummethyl iodide, etc. When these condensing agents are used, the reaction proceeds through a reactive derivative of Compound (III). The reaction is usually carried out in a solvent which does not interfere with the reaction. Examples of the solvent include, for example, an ether such as dioxane, tetrahydrofuran, diethyl ether, tert-butyl methyl ether, diisoprpyl ether, ethylene glycol-dimethyl ether, etc., an ester such as ethyl formate, ethyl acetate, acetic acid n-butyl, etc., a halogenated hydrocarbon such as dichloromethane, chloroform, carbon tetrachloride, trichlene, 1,2-dicholoroethane, etc., a hydrocarbon such as n-hexane, benzene, toluene, etc., an amide such as formamide, N,N-dimethylformamide, N,Ndimethylacetamido, etc., a ketone such as acetone, methylethylketone, methylisobutylketone, etc., a nitrile such as acetonitrile, propionitrile, etc., dimethylsulfoxide, sulfolane, hexamethylphosphoramide, water, etc. These solvents may be used alone or in combination of two or more.

The amount of Compound (III) used is usually 1 to 5 moles, preferably about 1 to 2 moles per mole of Compound (II). The reaction is usually conducted in a temperature of from about -80 to 80° C., preferably from about -40 to 50° C., more preferably from about -30 to 30° C. The reaction time varies depending upon the kind of Compound (III) and Compound (III), the kind of solvent used (ratio of a solvent in case of using a mixed solvent) and the reaction temperature, and is usually about 1 minute to 72 hours, preferably about 15 minutes to 3 hours. When an acid halide is used as the acylating agent, the reaction may be carried out in the presence of a acid scavenger in order to eliminate from the reaction system a hydrogen halide formed by the reaction.

Examples of the acid scavenger include, for example, an inorganic base such as sodium carbonate, potassium carbonate, calcium carbonate, sodium hydrogen carbonate, etc., a tertiary amine such as triethylamine, tri-(n-propyl) amine, tri-(n-butyl)amine, diisopropylethylamine, cyclohexyldimethylamine, pyridine, lutidine, γ-collidine, N, N-dimethylaniline, N-methyl piperidine, N-methylpyrrolidine, N-methylmorpholine, etc., an alkylene oxide such as propylene oxide, epichlorohydrin etc., etc. In case that R1 is a hydrogen atom and a phosphono group is introduced when the reaction derivative forms, the reaction mixture containing reaction product wherein R1 is a dihalophosphoryl group, may be deprotected by treating with water to obtain a compound (I) wherein R1 is a phosphono group, or may be treated with an an alkanol such as methanol, ethanol, etc., to obtain a compound (I) wherein R<sup>1</sup> is an esterified phosphono group.

(la)

Production Method (2):
Among Compound (I), a compound of the formula:

of the preferable solvent include methylene chloride, dimethylacetamide, etc. The reaction temperature is not

wherein each symbol has the meaning given above, or salt thereof (hereinafter sometimes referred to as Compound (Ia)) can be produced by subjecting a compound of the formula:

limiting and the reaction is carried out usually under cooling or under mild conditions like slight heating.

When R<sup>6</sup> and R<sup>7</sup> in Compound (Ib) are different, a protecting group of only one of R<sup>6</sup> and R<sup>7</sup> in Compound (Ib)

$$\begin{array}{c|c}
R^{6} & \downarrow \\
R^{7} & \downarrow \\
\end{array}$$

$$\begin{array}{c|c}
CO & NH \\
\hline
\end{array}$$

15

wherein R<sup>6</sup> and R<sup>7</sup> represent, the same or different, a can be removed by selecting the reaction condition. In this protecting group of phosphono group, the other symbols 35 case, compound of the formula:

$$\begin{array}{c} R^{6} \\ HO \end{array} \begin{array}{c} P-NH \\ N \end{array} \begin{array}{c} S \\ CO \end{array} \begin{array}{c} CO \\ NH \end{array} \begin{array}{c} S \\ COO \end{array} \begin{array}{c} CO \\ NH \end{array} \begin{array}{c} CO \\ NH \end{array} \begin{array}{c} S \\ COO \end{array} \begin{array}{c} CO \\ NH \end{array} \begin{array}$$

have the meanings given above, or a salt thereof (hereinafter sometimes referred to as Compound (Ib)) to the deprotection reaction so that the protected phosphono group is deprotected.

Examples of the protecting group of a phosphono group represented by  $R^6$  or  $R^7$  include, for example, a halogen (e.g. chlorine atom, etc.), an alkoxy (e.g., a  $C_{1-3}$ alkoxy group such as methoxy, ethoxy, propoxy, etc.), amino, morpholino, thiomorpholino, etc.

The present method can be carried out, for example, by reacting Compound (Ib) with a halogenated trimethylsilyl such as trimethylsilyl bromide, trimethylsilyl iodide, trimethylsilyl chloride, etc., a metal halide such as sodium iodide, potassium iodide, sodium bromide, etc., an alkali metal thiocyanate such as sodium thiocyanate, potassium 65 thiocyanate, etc., etc. The reaction is carried out in a solvent which does not interfere with the reaction, though examples

wherein each symbol has the meaning given above, or salt thereof (hereinafter sometimes referred to as Compound (Ic)) is obtained.

55 Production Method (3):

Compound (Ia) can be produced, for example, by subjecting Compound (Ic) to a deprotecting reaction for removing the protecting group of the phosphono group.

The present method can be carried out, for example, by treating Compound (Ic) with an acid. The acid may be an organic acid or an inorganic acid. Preferable examples of the acid include, for example, formic acid, sulfuric acid, trifluoroacetic acid, benzenesulfonic acid, nitric acid, p-toluenesulfonic acid, hydrochloric acid, etc. More preferable examples of the acid include, for example, formic acid, trifluoroacetic acid, hydrochloric acid, etc. The acid suitable for the reaction is selected by taking the group which is

hydrolyzed into consideration. The reaction can be carried out with or without a solvent. Examples of the suitable solvent include an organic solvent, water, mixed solvent thereof, etc., which is usually used as a solvent. When trifluoroacetic acid is used, the reaction is preferably carried 5 out in the presence of anisole.

Production Method (4)

Compound (I) can be produced by condensing a compound of the formula:

toluene, etc. The reaction temperature is not limiting and the reaction is carried out usually under cooling, an ambient temperature or under mild conditions like slight heating. In this reaction, when the reaction mixture contains: Compound (I) wherein R<sup>1</sup> is dihalophosphoryl group, the reaction mixture may further be treated either with water to give Compound (I) wherein R<sup>1</sup> is phosphono group or with an alcohol (alkanol such as methanol, ethanol, etc.) to give Compound (I) wherein R<sup>1</sup> is an esterified phosphono group.

$$\begin{array}{c} NH_2 \\ N \\ N \\ OR^2 \end{array}$$

$$\begin{array}{c} CO \\ OR^2 \end{array}$$

wherein each symbol has the meaning given above, or a salt thereof (hereinafter sometimes referred to as Compound (V)) and a phosphoric acid derivative.

The reaction can be carried out by using Compound (V) or a salt thereof and a phosphorus halide such as phosphorus trichloride, phosphorus pentachloride, etc., etc. The reaction is usually carried out in a solvent such as a halogenated alkylene (e.g. methylene chloride, ethylene chloride, etc.),

Compound (I) produced by the above production methods (1) to (4) can be isolated and purified by known methods, for example, extraction, column chromatography, precipitation, recrystallization, etc. On the other hand, isolated Compound (I) can be converted to a physiologically acceptable salt by a known method.

The method for producing the starting compound (III) is explained as follows:

Production A

In the above formulas;  $R^8$  is the ester part of the esterified carboxylic group represented by the formula;  $CO_2R^8$ .

A compound of the formula (VII) or salt (hereinafter referred to sometimes as Compound (VII)) can be produced 5 by subjecting a compound of the formula (VI), its reactive derivative or its salt (hereinafter sometimes referred to as Compound (VI)) to esterification.

Examples of the preferable salts of Compound (VI) include, for example, a metal salt such as an alkali metal salt 10 (e.g., sodium salt, potassium salt, etc.), an alkaline earth metal salt (e.g., calcium salt, magnesium salt, etc.), ammonium salt, an organic salt such as trimethylamine salt, triethylamine salt, pyridine salt, picoline salt, di-cyclohexylamine salt, N,N'-dibenzyl amine salt, etc., etc. 15 Preferable examples of the reactive derivatives at carboxylic acid of Compound (VI) include those mentioned for Compound (III).

Examples of the esterifying agent used in the esterification reaction include a compound of the formula:

$$(R^8)_2SO_4$$
,  $R^{8a}-N_2$  or  $R^8-X^1$ 

wherein  $R^8$  has the meaning given above,  $R^{8a}$  is a group removed a hydrogen atom from  $R^8$ ,  $X^1$  is hydroxy or a halogen.

Preferable examples of the halogen include chlorine, bromine, iodine and fluorine.

In case that a sulfuric acid ester and an alkyl halide are used as the esterifying agent, while the reaction is usually carried out in a solvent such as water, acetone, methylene 30 chloride, ethanol, ether, dimethylformamide, etc., the reaction can be carried out in any solvent which does not interfere with the reaction. The reaction is preferably carried out in the presence of the inorganic base or the organic base mentioned above. The reaction temperature is not limiting 35 but the reaction is usually carried out under cooling or under heating which is not higher than the boiling point of the solvent used.

In case that a diazo compound is used as the esterifying agent, the reaction is usually carried out in the presence of ether, tetrahydrofuran, etc., the reaction temperature is not limiting but the reaction is usually carried out under cooling or at an ambient temperature.

Preferable examples of the salts of Compound (VII) include, an acid addition salt such an organic acid salt as 45 acetic acid salt, maleic acid salt, tartaric acid salt, benzene-sulfonic acid salt, toluenesulfonic acid salt, etc., such inorganic acid salt as hydrochloric acid salt, hydrobromic acid salt, sulfuric acid salt, phosphoric acid salt, etc.

Productions B and D

A compound of the formula (III), its reactive derivatives at the carboxylic acid or its salt (hereinafter referred to as Compound (III)) and a compound of the formula (IIIa), its reactive derivatives at the carboxylic acid or its salt (hereinafter referred to as Compound (IIIa)) can be produced 55 by introducing a phosphono group to the amino group of Compound (VI) and Compound (VII), respectively. Preferable examples of the reactive derivatives at the carboxylic acid of Compound (VI) and Compound (VII) include those mentioned for Compound (III).

Examples of the introducing agents to be used in the introduction reaction include, an phosphorus halide such as phosphorus trichloride, phosphorus pentachloride, etc., phosphorus oxychloride, etc. The reaction is usually carried out in a solvent such as a halogenated alkylene (e.g. methylene chloride, ethylene chloride, etc.) toluene, ethyl acetate, tetrahydrofuran, etc.

In this reaction, the reaction mixture containing Compound (III) or Compound (IIIa), wherein R<sup>1</sup> is a dihalophosphoryl group, which is obtained by reacting Compound (VI) or Compound (VI) with the above mentioned introducing agent such as a phosphorous halide, can be treated with water to give a reaction mixture containing Compound (III) or (IIIa) wherein R<sup>1</sup> is a phosphono group, or can treated with an alcohol such an alkanol as methanol, ethanol, etc. to give a reaction mixture containing Compound (III) or Compound (IIIa) wherein R<sup>0</sup> is an esterified phosphono group.

The reaction product (III) or (IIIa) wherein R<sup>o</sup> is a dihalophosphoryl group can be isolated from the above mentioned reaction mixture by means of a conventional isolation method. The product can be used in the following reaction.

The reaction includes changing Compound (IIIa) to the reactive derivative at the carboxylic group.

Production C

Compound (III) can be produced by subjecting Compound (IIIa) to deesterification reaction.

Preferable examples of the salt of Compound (III) include those enumerated for Compound (VI).

The reaction is carried out by a conventional method such as hydrolysis, reduction, etc. The hydrolysis is preferably carried out in the presence of a base or an acid. Preferable examples of the base include an inorganic base and an organic base such as an alkali metal (e.g. sodium, potassium, etc.), an alkaline earth metal (e.g. magnesium, calcium, etc.), hydroxide, carbonate, bicarbonate of the above mentioned metal, an trialkylamine (e.g. trimethylamine, triethylamine, etc.), picoline, 1,5-di-azabicyclo[4.3.0]nona-5-ene, 1,4-di-azabicyclo[2,2,2]octane, 1,8-di-azabicyclo[5.4.0]undecane, etc.

Preferable examples of the acid include an organic acid such as formic acid, acetic acid, propionic acid, trifluoro-acetic acid, etc., an inorganic acid such as hydrochloric acid, hydrobromic acid, sulfuric acid, etc. Trifluoroacetic acid is preferably used in the presence of a -carbocation stabilizing agent such as anisole, etc.

While the reaction is usually carried out in water, methylene chloride, tetrahydrofuran, an alcohol (e.g. methanol, ethanol, etc.) or a mixture thereof, a solvent which does not interfere with the reaction may be used. A liquid base or an acid may also be used as a solvent. The reaction temperature is not limiting and the reaction is carried out usually under cooling or under mild conditions like slight heating.

The reduction can be applied to deprotection of a protecting group of the ester, such as 4-nitrobenzyl, 2-iodoethyl, 2,2,2-trichloro ethyl, etc. As the method of the reduction which is applied to the deesterification reaction, there may be mentioned a method using a metal such as zinc, zinc amalgam, etc., or a chromium compound salt such as chromous chloride salt, chromous acetate salt, etc., in combination with an organic or inorganic salt such as acetic acid, propionic acid, hydrochloric acid, etc., and a catalytic reduction method using a metal catalyst such as palladium-carbon, etc.

The production of a starting compound that is a compound of the formula (IIId) or its reactive derivative (hereinafter referred to as Compound (IIId)) is as follows.

[wherein  $R^{0a}$  is a dihalophosphoryl group,  $R^{1a}$  is a phosphono group which may be protected. (The definition of  $R^{1a}$  is the same as that of  $R^{1}$ , but  $R^{1a}$  and  $R^{1}$  may be the same as or different from each other.

### Production E

A compound of the formula (IIIb), its reactive derivative or its salt (hereinafter referred to as Compound (IIIb)) can be produced by subjecting Compound (VII) to a reaction in which a dihalophosphoryl group is introduced to the amino group of Compound (VII). The reaction can be carried out in a similar manner to Production B or Production D. Production F

A compound of the formula (IIIc), its reactive derivative or its salt (hereinafter referred to as Compound (IIIc)) can be produced by subjecting Compound (IIIb) to a reaction in which the dihalophosphoryl group is converted to a phosphono group other than dihalophosphoryl group. The reaction can be carried out by subjecting Compound (IIIb) to an esterification reaction and/or amidation reaction.

The esterification reaction is carried out by reacting Compound (IIIb) with an alcohol. Preferable examples of the alcohol include methanol, ethanol, propanol, butanol, 55 etc. The amidation reaction can be carried out by reacting Compound (IIIb) with an amine. Preferable examples of the amine include ammonia, a primary amine such as methylamine, ethylamine, etc., a secondary amine such as morpholine, dimethylamine, etc., etc.

While the esterification reaction or amidation reaction is usually carried out in a solvent such as a halogenated alkylene (e.g. methylene chloride, ethylene chloride, etc.), tetrahydrofuran, water, etc., it can be carried out in any solvent which does not interfere with the reaction. The 65 reaction temperature is not limiting though the reaction is carried out usually under cooling or an ambient temperature.

Production G

Compound (IIId) can be produced by subjecting Compound (IIIe) to deesterification reaction.

The reaction is carried out in a similar manner to that of Production C.

In the reactions mentioned above, when the starting compound has an amino group and/or a carboxyl group, these groups may be protected by a protecting group which is conventionally used in the field of peptide chemistry, and the protecting group may be removed after the reaction.

Examples of the protecting group for the amino group include, for example, a formyl group, a C<sub>1-6</sub>alkyl-carbonyl group (for example, acetyl, ethylcarbonyl, etc.), a benzyl group, a tert-butyloxycarbonyl group, a benzyloxycarbonyl group, a 9-fluorenyl methyloxycarbonyl group, an allyloxycarbonyl group, a phenylcarbonyl group, a C1-6alkylcarbonyl group (for example, methoxycarbonyl, ethoxycarbonyl, etc.), a C7-10aralkyl-carbonyl group (for example, benzylcarbonyl, etc.), a trityl group, phthaloyl group, a N,N-dimethylaminomethylene group, etc. These groups may be substituted by 1 to 3 of a halogen atom (for example, fluorine, chlorine, bromine, etc.), a nitro group, etc. Examples of the protecting group for the carboxyl group include, for example, a C<sub>1-6</sub>alkyl group (for example, methyl, ethyl, propyl, isopropyl, butyl, tert-butyl, etc.), a phenyl group, a silyl group, a benzyl group, an allyl group, etc. These groups may be substituted by one to three of a halogen atom (for example, fluorine, chlorine, bromine, etc.), a nitro group, etc.

Examples of the protecting group for the hydroxy include, for example, a methoxy methyl group, an allyl group, a tert-butyl group, a  $C_{7-10}$ aralkyl group (for example, benzyl, etc.), formyl group, a  $C_{1-6}$ alkyl-carbonyl group (for example, acetyl, ethylcarbonyl, etc.), a benzoyl group, a  $C_{7-10}$ aralkyl-carbonyl group (for example, benzylcarbonyl, etc.), a pyranyl group, a furanyl group, a tri-alkyl silyl group, etc. These groups may be substituted by 1 to three of a halogen atom (for example, fluorine, chlorine, bromine, etc.), a  $C_{1-6}$ alkyl group (for example, methyl, ethyl, propyl, isopropyl, butyl, tert-butyl, etc.), a phenyl group, a  $C_{7-10}$ aralkyl group (for example, benzyl, etc.), a nitro group, etc.

As the method for the deprotection of these protecting group, a method using, for example, an acid, a base, reduction, ultraviolet ray, hydrazine, phenyl hydrazine, sodium N-methyl di-thiocarbamate, tetrabutyl ammonium fluoride, palladium acetate, etc. can be applied, using known or similar methods. When a compound is obtained as a free form in each reaction process, the compound can be converted to its salt, and when the compound is obtained as a salt, it can be converted to its free form or to an another salt.

Compound (I) thus obtained can be isolated from the reaction mixture and purified by a known procedure such as phase transfer, concentration, solvent extraction, fractional distillation, crystallization, recrystallization, chromatography, etc. When Compound (I) of the present invention exists in the form of diastereomer, conformer, etc., Compound (I) can be isolated and purified by a isolation procedure or a purification procedure mentioned above., if desirable. When Compound (I) is a racemate, (d)-form and (1)-form of Compound (I) can be isolated by a usual optical resolution procedure.

Compound (I) of the present invention has a solubility higher than that of the corresponding compound having an aminothiazolyl group wherein the amino group is free form (that is Compound (I) wherein R<sup>1</sup> is an amino group), and Compound (I) of the present invention in vivo, gives a

corresponding compound having an aminothiazolyl group by removing group R<sup>1</sup>. Further Compound (I) is superior in an anti-bacterial activity to a compound having aminothiazolyl group.

The compound (I) of this invention has broad spectrum antibacterial activity and low toxicity, and can be used safely for prophylaxis and therapy of various diseases, in man and mammals (e.g. mouse, rat, rabbit, dog, cat, cow and pig), caused by pathogenic bacteria, for example, respiratory infection and urinary tract infection. Characteristic features of the antibacterial spectrum of the antibacterial compound (I) are as follows, among others:

- (1) showing a remarkably high activity against a variety of Gram-negative bacteria,
- (2) having high activities against Gram-positive bacteria 15 (e.g. Staphylococcus aureus and Corynebacterium diphtheriae),
- (3) having high activities against methicillin-resistant Staphylococcus aureus (MRSA), and
- (4) having high activities also against a number of 20 β-lactamase-producing Gram-negative bacteria (e.g. genera Escherichia, Enterobacter, Serratia and Proteus).

The anti-bacterial compound (1) of the present invention has superior stability and effectiveness of anti-bacterial 25 activity in comparison with Compound (V).

Though the drug of the present invention may comprise only Compound (I) itself, it is usually prepared by a conventional manner by using a proper amount of pharmaceutically acceptable carriers, diluents and bulking agents, etc. 30 which are selected from exipients (for example, calcium carbonate, kaolin, sodium hydrogen carbonate, lactose, D-mannitol, starch, crystalline cellulose, talc, fine granulated sugar, porous substance, etc.), binders (for example, dextrin, gums, α-starch, gelatine, hydroxypropylcellulose, 35 hydroxy propyl methyl cellulose, pullulan, etc.), thickeners (for example, a natural gum, a cellulose derivative, an acrylic acid derivative, etc.), disintegrators (for example, carboxymethylcellulose calcium, crosscarmelose sodium, crospovidone, a low-substituted hydroxypropylcellulose, 40 partly pregelatinized starch, etc.), solvents (for example, water for injection, alcohol, propylene glycol, Macrogol, sesame oil, corn oil, etc.), dispersants (for example, Tween 80, HCO60, poly ethylene glycol, carboxymethylcellulose, sodium alginate, etc.), solubilizing agents (for example, 45 polyethylene glycol, propylene glycol, D-mannitol, benzoic acid benzyl, ethanol, tris amino methane, triethanolamine, sodium carbonate, citric acid sodium, etc.), suspending agents (for example, stearyl triethanolamine, sodium lauryl sulfate, benzalkonium chloride, polyvinyllcohol, 50 polyvinylpyrolidone, hydroxymethylcellulose, etc.), soothing agents (for example, benzyl alcohol, etc.), isotonic agents (for example, sodium chloride, glycerin, etc.), buffer agents (for example, phosphoric acid salt, acetic acid salt, carbonic acid salt, citric acid salt, etc.), lubricants (for 55 example, magnesium stearate, calcium stearate, talc, starch, sodium benzoate, etc.), coloring agents (for example, tar pigment, caramel, ferric oxide, titanium oxide, riboflavins, etc.), corrigents (for example, a sweetning agent, a perfume, etc.), stabilizers (for example, sodium sulfite, ascorbic acid. 60 etc.) and preservatives (for example, paraben, sorbic acid,

The pharmaceutical composition of the present invention which may contain pharmaceutically acceptable carriers, diluents, bulking agents, etc., mentioned above contains an 65 effective amount of Compound (I) of the present invention for the treatment and prevention of bacterial infectious

disease. The amount of Compound (I) contained in the pharmaceutical preparation of the present invention is usually 0.1 to 100 weight % of the pharmaceutical preparation. The pharmaceutical preparation of the present invention may contain pharmaceutically active ingredients other than Compound (1)(e.g. antitumor agents, etc., mentioned below). The amount of the pharmaceutically active ingredient other than Compound (I) is not limited as long as the aim of the present invention can be achieved. Examples of the preparation includes tablets (including a sugar-coated tablet, a film-coated tablet), pills, capsules (including microcapsule), granules, fine granules, powders, drop infusions, syrups, emulsions, suspensions, injections, aerosols, ointments, suppositories, troches, cataplasms, sustained release preparations, etc. These preparations can be prepared by a conventional method (e.g., a method shown in The Pharmacopoeia of Japan The Twelfth Edition, etc.).

As carriers for injectable preparations, use is made of, for example, distilled water or a physiological saline solution. Carriers for capsules, powdery preparations, granular preparations or tablets are used as a mixture with known pharmaceutically acceptable excipients (e.g. starch, maltose, sucrose, calcium carbonate or calcium phosphate), binders (e.g. starch, gum arabic, carboxymethyl cellulose, hydroxypropyl cellulose or crystalline cellulose), lubricants (e.g. magnesium stearate or talc) and disintegrants (e.g. carboxymethyl calcium and talc).

The compound (I) of this invention can be administered, like known penicillin preparations or cephalosporin preparations, non-orally or orally as injectable preparations, capsules, tablets or granular preparations (injectable preparations are especially preferable). The daily dose ranges from 0.5 to 80 mg, preferably from 2 to 40 mg relative to 1 kg of the body weight of a man or an animal infected with pathogenic bacteria as described above, which may be administered in two to three divided doses.

Incidentally, the medicinal composition and antibacterial composition employed in the present specification may contain the compound (I) alone, or contain, among others, such carriers as set forth above, or contain a proper amount of any other adequate antibacterial compound.

The present invention will be illustrated in further detail in the following Working Examples, which are mere examples and do not limit this invention, and may be modified within the range not deviating from the scope of this invention.

Elutions in the column chromatography conducted in Working Examples were carried out while monitoring with TLC (Thin Layer Chromatography). In the TLC monitoring, as the TLC plate, use was made of  $60F_{254}$  manufactured by Merck & Co., Inc., as the developing solvent, use was made of the same solvent as employed for cluting in the column chromatography, and the detection was conducted with a UV detector. The silica gel (70 to 230 mesh) for the column was Kieselgel 60 manufactured by Merck & Co. Inc. ODS-AM is produced by YMC Co. Ltd., Dowex50W is produced by The Dow Chemical Company and Diaion HP-2OSS and SP-207 are produced by Mitsubishi Chemical Industries, Ltd.

NMR spectra were measured using tetramethylsilane as an internal or external standard with a spectrometer Gemini 200 and all delta values were expressed in ppm. The value shown in () for a mixed solvent is a mixing ratio in volume of constituent solvents. The percent (%) for a solution indicates the number of grams in 100 ml of the solution. And, the symbols in Reference Examples and Working Examples have the following meaning.

s	: singlet
d	: doublet
t	: triplet
q	: quartet
ABq	: AB type quartet
dd	: double doublet
m	: multiplet
bs	: broad singlet
j	: coupling constant

#### WORKING EXAMPLE 1

7β-[2(Z)-Ethoxyimino-2-(5-phosphonoamino-1,2,4thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate

Under ice-cooling, the pH of a solution of 7β-amino-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4carboxylate hydrochloride (1.55 g) in a mixture of THF (50 ml) and  $H_2O$  (50 ml) was adjusted to 7.4 with 0.6M  $^{20}$ NaHCO3. To the solution was added portionwise 2-(5dichlorophosphorylamino-1,2,4-thiadiazol-3-yl)-2(Z)ethoxyiminoacetyl chloride (3.69 g), and the mixture was stirred at 5° C. for 10 minutes while maintaining the pH to 7.2 to 7.3 by addition of 0.6M NaHCO<sub>3</sub>. A solution of 25 sodium acetate (861 mg) in H<sub>2</sub>O (10 ml) was poured into the reaction mixture, and the resulting mixture was stirred at room temperature for 2.5 hours. During the stirring, the pH of the mixture was maintained above 4.5 by the occasional addition of 0.6M NaHCO<sub>3</sub> (total volume 56 ml). After the  $_{30}$ pH of the mixture was adjusted to 3.0 with 1N HCl (4 ml), the reaction mixture was concentrated under reduced pressure. The concentrate was diluted with H<sub>2</sub>O (800 ml) and purified by MCI gel HP-20SS column chromatography (500 ml: eluents=H<sub>2</sub>O 1.5L, 10% aq EtOH 0.5L, 20% aq EtOH 1.5L). The fractions containing the desired compound were concentrated under reduced pressure, and the concentrate was lyophilized to give the crude titled compound (1.64 g).

<sup>1</sup>H NMR (D<sub>2</sub>O)  $\delta$ : 1.33 (3H,t,J=7.2 Hz), 3.56, 3.94 (2H,ABq,J=17.2 Hz), 4.34 (3H,s), 4.35 (2H,q,J=7.2 Hz), 5.38 (1H,d,J=5 Hz), 5.90 (1H,d,J=5 Hz), 8.34, 8.72 (each 2H,d,J=6.6 Hz), 8.51 (1H,s); IR (KBr, cm<sup>-1</sup>): 3055, 1778, 1682, 1643, 1520, 1385, 1190, 1038.

## WORKING EXAMPLE 2

7β-[2(Z)-Ethoxyimino-2-(5-phosphonoamino-1,2,4thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate

The crude lyophilized compound (1.54 g) obtained in (378 mg) in H<sub>2</sub>O (16 ml). The solution was subjected to ODS-AM column chromatography (450 ml: eluents=1N HCl 4.5 ml, H<sub>2</sub>O 0.1L, 5% ag acetonitrile 0.5L, 20% ag acetonitrile 0.25L). The fractions containing the desired compound were concentrated under reduced pressure, and the concentrate was lyophilized to give the titled compound (431 mg).

Anal Calcd for C<sub>22</sub>H<sub>21</sub>N<sub>8</sub>O<sub>8</sub>PS<sub>4</sub>.2.0H<sub>2</sub>O: C, 36.66; H, 3.50; N, 15.55. Found: C, 36.70; H, 3.94; N, 15.53.

## **WORKING EXAMPLE 3**

7β-[2(Z)-Fluoromethoxyimino-2-(5phosphonoamino-1,2,4-thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3cephem-4-carboxylate

Under ice-cooling, the pH of a solution of 7\beta-amino-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-

carboxylate hydrochloride (1.42 g) in a mixture of THF (50 ml) and  $H_2O$  (50 ml) was adjusted to 7.5 with 0.6M NaHCO3 (12 ml). To the solution was added portionwise 2-(5-dichlorophosphorylamino-1,2,4-thiadiazol-3-yl)-2(Z)-5 fluoromethoxyiminoacetyl chloride (3.41 g), and the mixture was stirred at 5° C. for 10 minutes while maintaining the pH to 7.2 to 7.5 by addition of 0.6M NaHCO<sub>3</sub> (24 ml). A solution of sodium acetate (787 mg) in H<sub>2</sub>O (20 ml) was poured into the reaction mixture, and the resulting mixture 10 was stirred at room temperature for 3 hours. After the pH of the mixture was adjusted to 3.0 with 1N HCl (3.4 ml), the reaction mixture was concentrated under reduced pressure. The concentrate was diluted with H<sub>2</sub>O (750 ml) and purified by MCI gel HP-20SS column chromatography (500 ml: eluents=H<sub>2</sub>O 1.5L, 10% aq EtOH 0.5L, 20% aq EtOH1.5L). The fractions containing the desired compound were concentrated under reduced pressure, and the concentrate was lyophilized to give the crude titled compound (0.96 g).

<sup>1</sup>H NMR (D<sub>2</sub>O) δ: 3.57, 3.94 (2H,ABq,J=17.4 Hz), 4.34 (3H,s), 5.40 (1H,d,J=4.8 Hz), 5.85 (2H,d,J=55 Hz), 5.93 (1H,d,J=4.8 Hz), 8.34, 8.72 (each 2H,d,J=6.4 Hz), 8.51 (1H,s); IR (KBr, cm<sup>-1</sup>): 3055, 1781, 1677, 1642, 1523, 1364, 1189, 1071.

#### **WORKING EXAMPLE 4**

7β-[2(Z)-Fluoromethoxyimino-2-(5phosphonoamino-1,2,4-thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3cephem-4-carboxylate

The crude lyophilized compound (0.96 g) obtained in Working Example 3 was dissolved in a solution of NaHCO3 (234 mg) in H<sub>2</sub>O (15 ml). The solution was subjected to ODS-AM column chromatography (450 ml: eluents=1N HCl 3.06 ml, H<sub>2</sub>O 1.0L, 20% aq acetonitrile 0.25L, 30% aq acetonitrile 0.6L). The fractions containing the desired compound were concentrated under reduced pressure, and the concentrate was lyophilized to give the titled compound (600 mg).

Anal Calcd for C<sub>21</sub>H<sub>18</sub>N<sub>8</sub>O<sub>8</sub>FPS<sub>4</sub>.2.0H<sub>2</sub>O: C, 34.81; H, 3.06; N, 15.46; P, 4.27. Found: C, 34.84; H, 3.28; N, 15.43;

#### WORKING EXAMPLE 5

7β-[2(Z)-Ethoxyimino-2-(5-phosphonoamino-1,2,4thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate

Under ice-cooling, 0.6M NaHCO<sub>3</sub> (34 ml) was added to Working Example 1 was dissolved in a solution of NaHCO<sub>3</sub> 50 a solution of 7β-amino-3-[4-(1-methyl-4-pyridinio)-2thiazolylthio]-3-cephem-4-carboxylate hydrochloride (3.0 g) in a mixture of THF (150 ml) and H<sub>2</sub>O (150 ml). To the solution were added portionwise dichlorophosphorylamino-1,2,4-thiadiazol-3-yl)-2(Z)ethoxyiminoacetyl chloride (4.76 g) and 0.6M NaHCO<sub>3</sub> (23 ml) successively. The resulting mixture was stirred at 5° C. for 15 minutes and then at room temperature for 2 hours. Under ice-cooling, the pH of the reaction mixture was adjusted to 5.0 with 1N NaOH, and the mixture was concentrated under reduced pressure. The concentrate was diluted with H<sub>2</sub>O (2.5L), and the pH of the solution was adjusted to 3.0with 1N HCl. The mixture was purified by MCI gel SP-207 column chromatography (750 ml: eluents= H<sub>2</sub>O 4L, 15% aq EtOH 6L). The fractions containing the 65 desired compound were concentrated under reduced pressure, and the concentrate was lyophilized to give the crude titled compound (2.6 g).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ: 1.23 (3H,t,l=7 Hz), 3.56, 3.94 (2H,ΛBq,J=17 Hz), 4.17 (2H,q,l=7 Hz), 4.33 (3H,s), 5.30 (1H,d,J=5 Hz), 5.90 (1H,dd,l=5&8.8 Hz), 8.50, 8.97 (each 2H,d,l=6.4 Hz), 8.98 (1H,s), 9.22 (1H,m), 9.69 (1H,d,l=8.8 Hz).

#### WORKING EXAMPLE 6

7β-[2(Z)-Ethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate

The crude lyophilized compound (1.24 g) obtained in Working Example 5 was dissolved in  $H_2O$  (13 ml) containing 1N NaOH (3.24 ml). The solution was subjected to ODS-AM column chromatography (450 ml: cluents= $H_2O$ ). The fractions containing sodium salt form of the desired 15 compound were passed through Dowex 50x8 column (H form, 20 to 50 mesh, 100 ml). The cluent was concentrated under reduced pressure, and the concentrate was lyophilized to give the titled compound (377 mg).

Anal Calcd for C<sub>22</sub>H<sub>21</sub>N<sub>8</sub>O<sub>8</sub>PS<sub>4</sub>.3.5H<sub>2</sub>O: C, 35.29; H, 20 3.77; N, 14.97. Found: C, 35.26; H, 3.45; N, 14.99. <sup>1</sup>H NMR (DMSO-d<sub>o</sub>) δ: 1.24 (3H,t,J=7 Hz), 3.54, 3.94 (2H,ABq,J=17 Hz), 4.20 (2H,q,J=7 Hz), 4.33 (3H,s), 5.30 (1H,d,J=5.2 Hz), 5.89 (1H,dd,J=5.2&8.6 Hz), 8.51, 8.98 (each 2H,d,J=5.6 Hz), 8.98 (1H,s), 9.17 (1H,m), 9.69 (1H,d,J=8.6 Hz).

#### **WORKING EXAMPLE 7**

7β-[2(Z)-Ethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate

Trimethylsilylacetamide (919 mg) was added to a suspension of 7β-amino-3-[4-(1-methyl-4-pyridinio)-2thiazolylthio]-3-cephem- 4-carboxylate hydrochloride (240 mg) in dichloromethane (4 ml), and the mixture was stirred at room temperature for 40 minutes. To the mixture was 35 added portionwise 2-(5-dichlorophosphorylamino-1,2,4thiadiazol-3-yl)-2(Z)-ethoxyiminoacetyl chloride (351 mg) under cooling at -15° C., and the mixture was stirred at -15 to -5° C. for 1 hour. After concentration of the reaction mixture under reduced pressure, the concentrate was diluted with H<sub>2</sub>O (150 ml). Under ice-cooling, the pH of the mixture was adjusted to 5.0 with 1N NaOH. The mixture was diluted with H<sub>2</sub>O (200 ml), and the pH of the mixture was adjusted to 3.0 with 1N HCl. The mixture was purified by MCl gel SP-207 column chromatography (180 ml: eluents=H<sub>2</sub>O 0.5L, 15% aq EtOH 0.6L). The fractions containing the desired compound were concentrated under reduced pressure, and the concentrate was lyophilized to give the crude titled compound (100 mg).

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ: 1.23 (3H,t,J=7 Hz), 3.56, 3.94 (2H,ABq,J=17 Hz), 4.17 (2H,q,J=7 Hz), 4.33 (3H,s), 5.30 (1H,d,J=5 Hz), 5.90 (1H,dd,J=5&8.8 Hz), 8.50, 8.97 (each 2H,d,J=6.4 Hz), 8.98 (1H,s), 9.22 (1H,m), 9.69 (1H,d,J=8.8 Hz).

## **WORKING EXAMPLE 8**

The lyophilized  $7\beta$ -[2(Z)-ethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazol-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate (300 mg equivalent), obtained in Working Example 6, was dissolved in saline, the pH was adjusted to 6.0, and saline was added to make the total volume 5 ml (60 mg equivalent/ml).

#### Experiment 1

The lyophilized 7β-[2(Z)-ethoxyimino-2-(5-phosphonoamino-1,2,4-thiadiazol-3-yl)acetamido]-3-[4-(1-

methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate obtained in Working Example 6, was dissolved in mouse plasma to prepare 10 mg equivalent/ml solution. After incubation at 37° C., the transformation rate into 7β-[2(Z)-ethoxyimino-2-(5-amino-1,2,4-thiadiazol-3-yl) acetamido]-3-[4-(1-methyl-4-pyridinio)-2-thiazolylthio]-3-cephem-4-carboxylate (amino form) was measured. The transformation rates in 30 minutes and 1 hour were as follows:

30 minutes 35% 1 hour 62%

### INDUSTRIAL APPLICABILITY

The cephem compound (I) has a broad antibacterial spectrum and an excellent antibacterial activity against Gram-negative bacteria and Gram-positive bacteria including Staphylococcus aureus and MRSA, and is useful for treatment or prevention of infectious diseases caused by these bacteria. Additionally, the compound (I) has a relatively high solubility in water, and can be advantageously used for injection.

What is claimed is:

1. A compound of the formula:

wherein R1 is a phosphono group;

 $R^2$  is a hydrogen atom, an optionally substituted  $C_{1-6}$  alkyl group or a  $C_{3-5}$  cycloalkyl group;

each of Q and X is a nitrogen atom or CH;

Y is S:

55

n is 0 or 1;

one of R<sup>3</sup> and R<sup>4</sup> is a pyridinium group which may be substituted and the other is a hydrogen atom or a hydrocarbon group which may be substituted, or R<sup>3</sup> and R<sup>4</sup> taken together may form a quaternized nitrogencontaining heterocyclic ring which may be substituted, wherein when R<sup>3</sup> and R<sup>4</sup> are taken together, the group of the formula

wherein R<sup>5</sup> is an optionally substituted hydrocarbon group; or salt thereof.

- 2. 7β-[2(Z)-ethoxyimino-2-(5-phosphonoamino-1,2,4thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2thiazolylthio]-3-cephem-4-carboxylate.
- 3. A method for producing a pharmaceutical composition

mixing a compound of claim 1 with a pharmaceutically acceptable carrier, diluent or bulking agent.

- 4. 7β-[2(Z)-ethoxyimino-2-(5-phosphonoamino 1,2,4thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2- 10 thiazolythio]-3-cephem-4-carboxylate or its salt.
- 5. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 1 to a patient suffering from the bacterial
- 6. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 1 together with at least one of pharmaceutically acceptable carriers, diluents and excipients to a 20 patient suffering from the bacterial infection.
- 7. A method as claimed in claim 5, wherein the bacterial infection is a MRSA infection.
- 8. A compound as claimed in claim 1, wherein R<sup>3</sup> is a pyridinium group which may be substituted and R4 is a 25 pound is administered by injection. hydrogen atom.
- 9. A compound as claimed in claim 1, wherein O is a nitrogen atom.
- 10. A compound as claimed in claim 1, wherein X is a nitrogen atom.
  - 11. A compound as claimed in claim 1, wherein n is 0.
- 12. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 4 to a patient suffering from the bacterial infection.
- 13. A compound as claimed in claim 1, which is  $7\beta$ -[2 (Z)-fluoromethoxyimino-2-(5phophonoamino-1,2,4thiadiazole-3-yl)acetamido]-3-[4-(1-methyl-4-pyridinio)-2thiazolylthio]-3-cephem-4carboxylate or its salt.
- 14. A method for producing a compound as claimed in claim 1, which comprises reacting a compound of the formula:

$$H_2N$$
 $COO$ 
 $CH=CH)_n$ 
 $S$ 
 $R^3$ 
 $R^4$ 

or its salt;

wherein each symbol has the meaning given in claim 1; with a compound of the formula:

its salt or its reactive derivative;

wherein each symbol has the meaning given in claim 1.

15. A method as claimed in claim 5, wherein the com-

16. A method for treating a bacterial infection which comprises administering an effective amount of a compound as claimed in claim 4 together with at least one of pharmaceutically acceptable carriers, diluents and excipients to a patient suffering from the bacterial infection.

17. A pharmaceutical composition containing the compound shown in claim 1 and at least one of pharmaceutically

acceptable carriers, diluents and bulking agents.

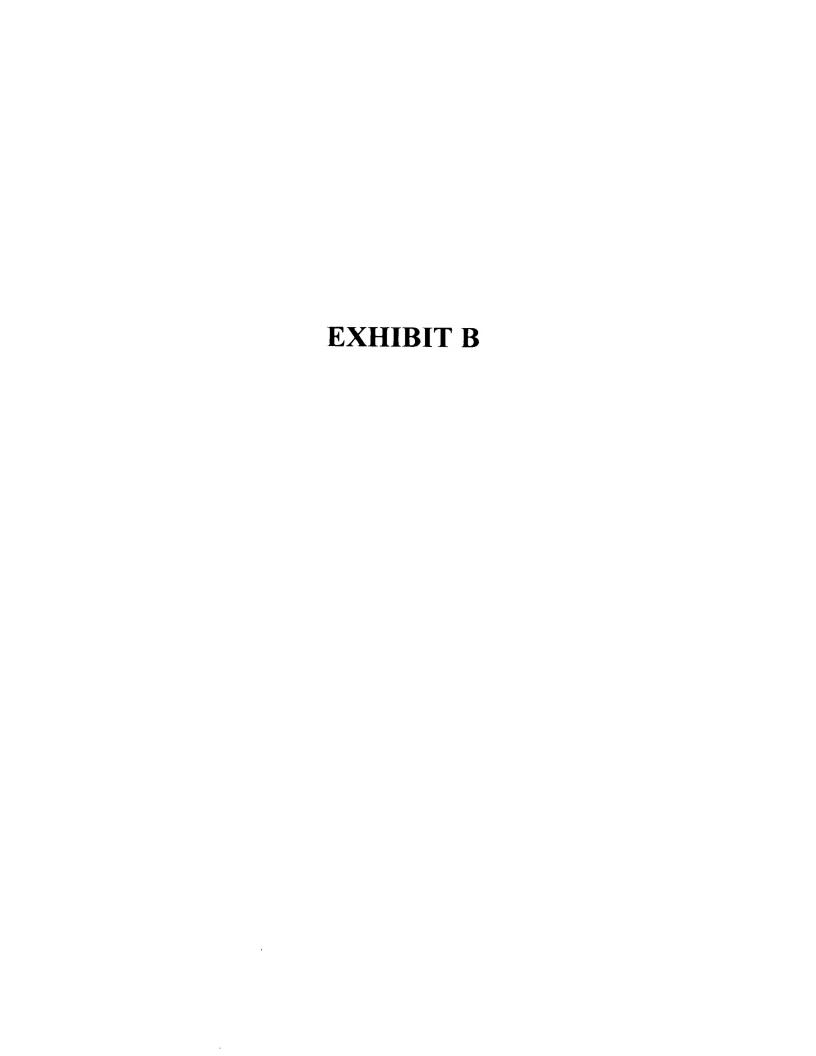
18. A pharmaceutical composition containing the compound of claim 4 and at least one of pharmaceutically acceptable carriers, diluents and bulking agents.

19. A method for producing a pharmaceutical composition comprising mixing a compound of claim 4 with a pharmaceutically acceptable carrier, diluent or bulking agent.

20. A method as claimed in claim 12, wherein the com-

pound is administered by injection.

21. A method as claimed in claim 12, wherein the bacterial infection is a MRSA infection.





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Ishikawa et al.

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## PHOSPHONOCEPHEM DERIVATIVES, PROCESS FOR THE PREPARATION OF THE SAME, AND USE THEREOF

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Field of Search ...... 540/227, 225;

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(56)

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\* cited by examiner

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(57)

## **ABSTRACT**

A novel cephem compound of the formula:

$$R^{1}$$
—NH  $S$   $Q$   $COO$   $NH$   $Y$   $COO$   $COO$   $R^{3}$   $COO$   $R^{3}$ 

wherein R1 is a phosphono group or a group convertible to a phosphono group; R2 is a hydrogen atom or a group having a linkage through a carbon atom; each of Q and X is a nitrogen atom or CH; Y is S, O or CH2; n is 0 or 1; one of R3 and R4 is a pyridinium group which may be substituted and the other is a hydrogen atom or hydrocarbon group which may be substituted, or R3 and R4 taken together may form a quaternalized nitrogen-containing heterocyclic ring which may be substituted, or its ester or its salt, which has a superior anti-bacterial activity, stability, absorbability, etc., a production thereof and a pharmaceutical composition containing it, is provided.

## 21 Claims, No Drawings



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# **Patent Assignment Abstract of Title**

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Inventors: TOMOYASU ISHIKAWA, SHOHEI HASHIGUCHI, YUJI IIZAWA

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# **ASSIGNMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor hereby sells and assigns, to TAKEDA CHEMICAL INDUSTRIES LTD., a corporation of Japan, 1–1, Doshomachi 4–chome, Chuo–ku, Osaka, Japan (hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to the following invention and U.S. application filed thereon, and the entire right, title and interest in and to any and all Letters Patents which may be granted therefor in the United States, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made.

litle of In	vention :	Phosphonocephem Dei	rivatives, Their F	Production and Use
United Sta	ates Patent	Application :		
[XX]	executed c	oncurrently herewith		
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[ ]	Serial No.	Filed	<del></del> ,	

Each of the undersigned acknowledges that this sale and assignment includes any and all divisions or continuations of said United States Patent application, and any and all Letters Patent of the United States which may issue on any such applications, including any and all reissues or extensions thereof.

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith:

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Each of the undersigned hereby grants ASSIGNEE and its legal representatives, the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment and specifically, the power to insert in the space provided above, the filing date and application number of the application when known.

In witness hereof, executed by the undersigned on the date(s) opposite the undersigned names.

NAMES AND SIGNATURES OF INVENTORS		
1.Name: Tomoyasu ISHIKAWA	Signature: Jomogasu bohikewa	Date: May 24,200
2.Name: Shohei HASHIGUCHI	Signature: Shoper Backguch	Date: May 24 200
3.Name: Yuji IIZAWA	Signature: Yun lizawa	Date: May 24 2000
4.Name:	Signature:	Date:
5.Name:	Signature:	Date:
6.Name:	Signature:	Date:
NAME	S AND SIGNATURES OF WITNESSES"	
Name/ Norikazu Tamura For: 1, 2, 3	Signature: Tenlaga Jamusa	Date: May 24, 2000
Name/ Hideaki Naito For: 1, 2, 3	Signature: Ishdeaka haito	Date:  May 14, 2000
Name/	Signature:	Date:
For: Name/ For:	Signature:	Date:
Name/ For:	Signature:	Date:
Name/ For:	Signature:	Date:

<sup>\*</sup>Notice for Witnesses! Please indicate which inventor(s) you are signing for by writing the corresponding numbers after "For:"

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# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: CHANGE OF NAME

# **CONVEYING PARTY DATA**

Name	Execution Date
Takeda Chemical Industries, Ltd.	06/29/2004

# **RECEIVING PARTY DATA**

Name:	Takeda Pharmaceutical Company, Limited	
Street Address:	1-1, Doshomachi 4-chome	
City:	Chuo-ku, Osaka	
State/Country:	JAPAN	

# PROPERTY NUMBERS Total: 356

Property Type	Number
Patent Number:	4612364
Patent Number:	4677191
Patent Number:	4683288
Patent Number:	4689333
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Total Attachments: 1

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# THE OSAKA CHAMBER OF COMMERCE & INDUSTRY

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FAX: (06) 6944-6248 TEL: (06) 6944-6<del>212=</del> 6411
URL: http://www.osaka.cci.or.jp/

September 13, 2004

To whom it may concern:

CERTIFICATE OF MEMBERSHIP

This is to certify that the undermentioned company is registered as a member of this Chamber.

Company name: Takeda Pharmaceutical Company Limited

(The former company name in English was Takeda Chemical Industries, Ltd. until June 29, 2004.)

Address: 1-1, Doshomachi 4-chome, Chuo-ku, Osaka, Japan

Membership Number: KT-01-00080

The Osaka Chamber of Commerce & Industry

K

Yoshinobu Kobayashi Authorized Signatory

# **EXHIBIT C**

PTU/SB/96 (05-04)
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		STATEMENT UND	ER 37 CFR 3.73(b)			
Applica	ant/Patent Owner:	Tomoyasu ISHIKAWA et al.				
Applica	ation No.:	09/555,949	Filed:	12/17/1998		
Patent	No.:	6,417,175	Issue Date:	7/9/2002		
Docket	Number:	087147-0640				
Entitled		SAME, AND USE THEREOF	RIVATIVES, PROCESS FOR	R THE PREPARATION OF THE		
	keda Pharmaceutical Compan	y Limited				
(Na	me of Assignee)		(Type of Assignee, e government agency	e.g., corporation, partnership, university, , etc.)		
states	that it is:					
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OR						
В. 🛛 .	A chain of title from the invent	ors of the patent application ide	entified above, to the current	assignee as shown below:		
	<ol> <li>From: Tomoyasu ISHIKAWA; Shohei HASHIGUCHI; and Yuji IIZAWA To: <u>Takeda Chemical Industries Ltd.</u></li> <li>The document was recorded in the United States Patent and Trademark Office at</li> <li>Reel <u>010902</u>, Frame <u>0251</u>.</li> </ol>					
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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# **EXHIBIT D**

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#### HIGHLIGHTS OF PRESCRIBING INFORMATION These highlights do not include all the information needed to use Teflaro safely and effectively. See full prescribing information for 4 Teflaro™. 5 Teflaro™ (ceftaroline fosamil) injection for intravenous (IV) use 6 Initial U.S. Approval: 2011 To reduce the development of drug-resistant bacteria and maintain the 8 effectiveness of Teflaro and other antibacterial drugs, Teflaro should be used only to treat infections that are proven or strongly suspected to be 10 caused by bacteria. 11 -----INDICATIONS AND USAGE-----Teflaro<sup>TM</sup> is a cephalosporin antibacterial indicated for the treatment .3 of the following infections caused by designated susceptible bacteria: 4 Acute bacterial skin and skin structure infections (ABSSSI) (1.1) · Community-acquired bacterial pneumonia (CABP) (1.2) 6 -----DOSAGE AND ADMINISTRATION-----· 600 mg every 12 hours by IV infusion administered over 1 hour in adults $\geq$ 18 years of age (2.1)

Estimated Creatinine Clearance#	
(mL/min)	Teflaro Dosage Regimen
> 50	No dosage adjustment necessary
> 30 to ≤ 50	400 mg IV (over 1 hour) every 12 hours
≥ 15 to ≤ 30	300 mg IV (over 1 hour) every 12 hours
End-stage renal disease (ESRD), including hemodialysis	200 mg IV (over 1 hour) every 12 hours

-----DOSAGE FORMS AND STRENGTHS -----600 mg or 400 mg of sterile Teflaro powder in single-use 20 mL vials.

• Dosage adjustment in patients with renal impairment (2.2) 45 1088 or www.fda.gov/medwatch. 46 47 48 49 hemodialysis. (2.2, 12.3) 50 As calculated using the Cockcroft-Gault formula

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Known serious hypersensitivity to ceftaroline or other members of the 26 cephalosporin class. (4) 27 ------WARNINGS AND PRECAUTIONS-----28 29 30 31 Serious hypersensitivity (anaphylactic) reactions have been reported with beta-lactam antibiotics, including ceftaroline. Exercise caution in patients with known hypersensitivity to beta-lactam antibiotics. (5.1) 32 33 34 Clostridium difficile-associated diarrhea (CDAD) has been reported with nearly all systemic antibacterial agents, including Teflaro. Evaluate if diarrhea occurs. (5.2) 35 Direct Coombs' test seroconversion has been reported with 36 37 38 39 Teflaro. If anemia develops during or after therapy, a diagnostic workup for drug-induced hemolytic anemia should be performed and consideration given to discontinuation of Teflaro. (5.3) 40 -----ADVERSE REACTIONS-----41 The most common adverse reactions occurring in >2 % of patients 42 are diarrhea, nausea, and rash. (6.3) 43 To report SUSPECTED ADVERSE REACTIONS, contact Forest 44 Pharmaceuticals, Inc., at 1-800-678-1605 or FDA at 1-800-FDA------USE IN SPECIFIC POPULATIONS-----Dosage adjustment is required in patients with moderate or severe renal impairment and in ESRD patients, including patients on See 17 for PATIENT COUNSELING INFORMATION

-----CONTRAINDICATIONS-----

Revised: XX/20XX

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# 122 FULL PRESCRIBING INFORMATION

## 1. Indications and Usage

Teflaro<sup>TM</sup> (ceftaroline fosamil) is indicated for the treatment of patients with the following infections caused by susceptible isolates of the designated microorganisms.

### 1.1 Acute Bacterial Skin and Skin Structure Infections

Teflaro is indicated for the treatment of acute bacterial skin and skin structure infections (ABSSSI) caused by susceptible isolates of the following Gram-positive and Gram-negative microorganisms: Staphylococcus aureus (including methicillin-susceptible and -resistant isolates), Streptococcus pyogenes, Streptococcus agalactiae, Escherichia coli, Klebsiella pneumoniae, and Klebsiella oxytoca.

#### 1.2 Community-Acquired Bacterial Pneumonia

Teflaro is indicated for the treatment of community-acquired bacterial pneumonia (CABP) caused by susceptible isolates of the following Gram-positive and Gram-negative microorganisms: Streptococcus pneumoniae (including cases with concurrent bacteremia), Staphylococcus aureus (methicillin-susceptible isolates only), Haemophilus influenzae, Klebsiella pneumoniae, Klebsiella oxytoca, and Escherichia coli.

## 136 1.3 Usage

To reduce the development of drug-resistant bacteria and maintain the effectiveness of Teflaro and other antibacterial drugs, Teflaro should be used to treat only ABSSSI or CABP that are proven or strongly suspected to be caused by susceptible bacteria. Appropriate specimens for microbiological examination should be obtained in order to isolate and identify the causative pathogens and to determine their susceptibility to ceftaroline. When culture and susceptibility information are available, they should be considered in selecting or modifying antibacterial therapy. In the absence of such data, local epidemiology and susceptibility patterns may contribute to the empiric selection of therapy.

### 2. Dosage and Administration

#### 2.1 Recommended Dosage

The recommended dosage of Teflaro is 600 mg administered every 12 hours by intravenous (IV) infusion over 1 hour in patients ≥ 18 years of age. The duration of therapy should be guided by the severity and site of infection and the patient's clinical and bacteriological progress.

The recommended dosage and administration by infection is described in Table 1.

# 149 Table 1: Dosage of Teffaro by Infection

Infection	Dosage	Frequency	Infusion Time (hours)	Recommended Duration of Total Antimicrobial Treatment
Acute Bacterial Skin and Skin Structure Infection (ABSSSI)	600 mg	Every 12 hours	1	5-14 days
Community-Acquired Bacterial Pneumonia (CABP)	600 mg	Every 12 hours	1	5-7 days

## 2.2 Patients with Renal Impairment

Table 2: Dosage of Teflaro in Patients with Renal Impairment

Estimated CrCla (mL/min)	Recommended Dosage Regimen for Teflaro
> 50	No dosage adjustment necessary
$> 30 \text{ to} \le 50$	400 mg IV (over 1 hour) every 12 hours
$\geq 15$ to $\leq 30$	300 mg IV (over 1 hour) every 12 hours
End-stage renal disease, including hemodialysis <sup>b</sup>	200 mg IV (over 1 hour) every 12 hours <sup>c</sup>

Teflaro ™ (ceftaroline fosamil) - Forest Laboratories, Inc. - Package Insert - Clean - October 27, 2010

<sup>a</sup> Creatinine clearance (CrCl) estimated using the Cockcroft-Gault formu
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<sup>&</sup>lt;sup>b</sup> End-stage renal disease is defined as CrCl < 15 mL/min.

#### 2.3 Preparation of Solutions

Aseptic technique must be followed in preparing the infusion solution. The contents of Teflaro vial should be constituted with 20 mL Sterile Water for Injection, USP. The preparation of Teflaro solutions is summarized in Table 3.

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Table 3: Preparation of Teflaro for Intravenous Use

Dosage Strength (mg)	Volume of Diluent To Be Added (mL)	Approximate Ceftaroline fosamil Concentration (mg/mL)	Amount to Be Withdrawn
400	20	20	Total Volume
600	20	30	Total Volume

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The constituted solution must be further diluted in ≥ 250 mL before infusion. Appropriate infusion solutions include: 0.9% Sodium Chloride Injection, USP (normal saline); 5% Dextrose Injection, USP; 2.5% Dextrose Injection, USP. and 0.45% Sodium Chloride Injection, USP; or Lactated Ringer's Injection, USP. The resulting solution should be administered over approximately 1 hour.

166 Constitution time is less than 2 minutes. Mix gently to constitute and check to see that the contents have dissolved 167 completely. Parenteral drug products should be inspected visually for particulate matter prior to administration.

The color of Teflaro infusion solutions ranges from clear, light to dark yellow depending on the concentration and storage conditions. When stored as recommended, the product potency is not affected.

170 Studies have shown that the constituted solution in the infusion bag should be used within 6 hours when stored at room 171 temperature or within 24 hours when stored under refrigeration at 2 to 8° C (36 to 46° F).

172 The compatibility of Teflaro with other drugs has not been established. Teflaro should not be mixed with or physically 173 added to solutions containing other drugs.

## Dosage Forms and Strengths

175 Teflaro is supplied in single-use, clear glass vials containing either 600 mg or 400 mg of sterile ceftaroline fosamil 176 powder.

#### 4. Contraindications

Teflaro is contraindicated in patients with known serious hypersensitivity to ceftaroline or other members of the cephalosporin class. Anaphylaxis and anaphylactoid reactions have been reported with ceftaroline.

#### 5. Warnings and Precautions

#### 5.1 Hypersensitivity Reactions

182 Serious and occasionally fatal hypersensitivity (anaphylactic) reactions and serious skin reactions have been reported in 183 patients receiving beta-lactam antibacterials. Before therapy with Teflaro is instituted, careful inquiry about previous 184 hypersensitivity reactions to other cephalosporins, penicillins, or carbapenems should be made. If this product is to be 185 given to a penicillin- or other beta-lactam-allergic patient, caution should be exercised because cross sensitivity among 186

beta-lactam antibacterial agents has been clearly established.

187 If an allergic reaction to Teflaro occurs, the drug should be discontinued. Serious acute hypersensitivity (anaphylactic) 188 reactions require emergency treatment with epinephrine and other emergency measures, that may include airway 189 management, oxygen, intravenous fluids, antihistamines, corticosteroids, and vasopressors as clinically indicated.

#### 5.2 Clostridium difficile-associated Diarrhea

191 Clostridium difficile-associated diarrhea (CDAD) has been reported for nearly all systemic antibacterial agents. 192 including Teflaro, and may range in severity from mild diarrhea to fatal colitis.

193 Treatment with antibacterial agents alters the normal flora of the colon and may permit overgrowth of C. difficile. Teflaro<sup>™</sup> (ceftaroline fosamil) - Forest Laboratories, Inc. - Package Insert - Clean - October 27, 2010

<sup>&</sup>lt;sup>c</sup> Teflaro is hemodialyzable; thus Teflaro should be administered after hemodialysis on hemodialysis days.

- 194 C. difficile produces toxins A and B which contribute to the development of CDAD. Hypertoxin-producing strains of C.
- 195 difficile cause increased morbidity and mortality, as these infections can be refractory to antimicrobial therapy and may
- 196 require colectomy. CDAD must be considered in all patients who present with diarrhea following antibiotic use.
- 197 Careful medical history is necessary because CDAD has been reported to occur more than 2 months after the
- 198 administration of antibacterial agents.
- 199 If CDAD is suspected or confirmed, antibacterials not directed against C. difficile should be discontinued, if possible.
- 200 Appropriate fluid and electrolyte management, protein supplementation, antibiotic treatment of C. difficile, and surgical
- 201 evaluation should be instituted as clinically indicated *[see Adverse Reactions (6.3)]*.

#### 202 5.3 **Direct Coombs Test Seroconversion**

- 203 Scroconversion from a negative to a positive direct Coombs' test result occurred in 120/1114 (10.8%) of patients
- 204 receiving Teflaro and 49/1116 (4.4%) of patients receiving comparator drugs in the four pooled Phase 3 trials.
- 205 In the pooled Phase 3 CABP trials, 51/520 (9.8%) of Teflaro-treated patients compared to 24/534 (4.5%) of
- 206 ceftriaxone-treated patients seroconverted from a negative to a positive direct Coombs' test result. No adverse reactions
- 207 representing hemolytic anemia were reported in any treatment group.
- 208 If anemia develops during or after treatment with Teflaro, drug-induced hemolytic anemia should be considered.
- 209 Diagnostic studies including a direct Coombs' test, should be performed. If drug-induced hemolytic anemia is
- 210 suspected, discontinuation of Teflaro should be considered and supportive care should be administered to the patient
- 211 (i.e. transfusion) if clinically indicated.

#### 5.4 Development of Drug-Resistant Bacteria

- 213 214 Prescribing Teflaro in the absence of a proven or strongly suspected bacterial infection is unlikely to provide benefit to
- the patient and increases the risk of the development of drug-resistant bacteria.

#### 215 6. **Adverse Reactions**

- 216 The following serious events are described in greater detail in the Warnings and Precautions section
- 217 Hypersensitivity reactions [see Warnings and Precautions (5.1)]
- 218 Clostridium difficile-associated diarrhea [see Warnings and Precautions (5.2)]
- 219 Direct Coombs' test seroconversion [see Warnings and Precautions (5.3)]

#### 220 Adverse Reactions from Clinical Trials 6.1

- Because clinical trials are conducted under widely varying conditions, adverse reaction rates observed in clinical trials
- 221 222 223 of a drug cannot be compared directly to rates from clinical trials of another drug and may not reflect rates observed in
- practice.

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- Teflaro was evaluated in four controlled comparative Phase 3 clinical trials (two in ABSSSI and two in CABP) which
- included 1300 adult patients treated with Teflaro (600 mg administered by IV over 1 hour every 12h) and 1297 patients
- 224 225 226 227 treated with comparator (vancomycin plus aztreonam or cestriaxone) for a treatment period up to 21 days. The median
- age of patients treated with Teflaro was 54 years, ranging between 18 and 99 years old. Patients treated with Teflaro
- 228 were predominantly male (63%) and Caucasian (82%).

#### 229 6.2 Serious Adverse Events and Adverse Events Leading to Discontinuation

- In the four pooled Phase 3 clinical trials, serious adverse events occurred in 98/1300 (7.5%) of patients receiving
- 230 231 232 233 234 235 Teflaro and 100/1297 (7.7%) of patients receiving comparator drugs. The most common SAEs in both the Teflaro and
- comparator treatment groups were in the respiratory and infection system organ classes (SOC). Treatment
- discontinuation due to adverse events occurred in 35/1300 (2.7%) of patients receiving Teflaro and 48/1297 (3.7%) of
- patients receiving comparator drugs with the most common adverse events leading to discontinuation being
- hypersensitivity for both treatment groups at a rate of 0.3% in the Teflaro group and 0.5% in comparator group.

#### 236 **Most Common Adverse Reactions**

- 237 238 No adverse reactions occurred in greater than 5% of patients receiving Teflaro. The most common adverse reactions
- occurring in > 2% of patients receiving Teflaro in the pooled phase 3 clinical trials were diarrhea, nausea, and rash.
- 239 Table 4 lists adverse reactions occurring in  $\geq 2\%$  of patients receiving Teflaro in the pooled Phase 3 clinical trials.
- 240 Table 4: Adverse Reactions Occurring in ≥ 2% of Patients Receiving Teflaro in the Phase 3 Clinical Trials

System Organ Class/ Preferred Term	Pooled Phase 3 Clinical Trials (four trials, two in ABSSSI and two in CABP)		
	Teflaro	Pooled Comparators <sup>a</sup>	
	(N=1300)	(N=1297)	
	Gastrointestinal disorders	3	
Diarrhea	5 %	3 %	
Nausea	4 %	4 %	
Constipation	2 %	2 %	
Vomiting	2 %	2 %	
	Investigations		
Increased transaminases	2%	3 %	
	Metabolism and nutrition diso	rders	
Hypokalemia	2 %	3 %	
SI	in and subcutaneous tissue dis	orders	
Rash	3%	2%	
	Vascular disorders		
Phlebitis	2%	1%	

<sup>a</sup> Comparators included vancomycin 1 gram IV every 12h plus aztreonam 1 gram IV every 12h in the Phase 3 ABSSSI trials, and ceftriaxone 1 gram IV every 24h in the Phase 3 CABP trials.

## 6.4 Other Adverse Reactions Observed During Clinical Trials of Teflaro

Following is a list of additional adverse reactions reported by the 1740 patients who received Teflaro in any clinical trial with incidences less than 2%. Events are categorized by System Organ Class.

- 246 Blood and lymphatic system disorders Anemia, Eosinophilia, Neutropenia, Thrombocytopenia
- 247 Cardiac disorders Bradycardia, Palpitations
- 248 Gastrointestinal disorders Abdominal pain
- 249 General disorders and administration site conditions Pyrexia
- 250 **Hepatobiliary disorders** Hepatitis
- 251 Immune system disorders Hypersensitivity, Anaphylaxis
- 252 Infections and infestations Clostridium difficile colitis
- 253 Metabolism and nutrition disorders Hyperglycemia, Hyperkalemia
- 254 Nervous system disorders Dizziness, Convulsion
- 255 Renal and urinary disorders Renal failure
- 256 Skin and subcutaneous tissue disorders Urticaria

258 7. Drug Interactions

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No clinical drug-drug interaction studies have been conducted with Teflaro. There is minimal potential for drug-drug interactions between Teflaro and CYP450 substrates, inhibitors, or inducers; drugs known to undergo active renal secretion; and drugs that may alter renal blood flow [see Clinical Pharmacology (12.3)].

- 262 8. Use in Specific Populations
- 263 8.1 Pregnancy

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#### 264 Category B

265 Developmental toxicity studies performed with ceftaroline fosamil in rats at IV doses up to 300 mg/kg demonstrated 266 no maternal toxicity and no effects on the fetus. A separate toxicokinetic study showed that ceftaroline exposure in rats 267 (based on AUC) at this dose level was approximately 8 times the exposure in humans given 600 mg every 12 hours. 268 There were no drug-induced malformations in the offspring of rabbits given IV doses of 25, 50, and 100 mg/kg, despite 269 270 271 maternal toxicity. Signs of maternal toxicity appeared secondary to the sensitivity of the rabbit gastrointestinal system to broad-spectrum antibacterials and included changes in fecal output in all groups and dose-related reductions in body weight gain and food consumption at ≥ 50 mg/kg; these were associated with an increase in spontaneous abortion at 50 <u>272</u> and 100 mg/kg. The highest dose was also associated with maternal moribundity and mortality. An increased incidence 273 of a common rabbit skeletal variation, angulated hyoid alae, was also observed at the maternally toxic doses of 50 and 100 mg/kg. A separate toxicokinetic study showed that ceftaroline exposure in rabbits (based on AUC) was approximately 0.8 times the exposure in humans given 600 mg every 12 hours at 25 mg/kg and 1.5 times the human

274 275 276 exposure at 50 mg/kg.

Cestaroline fosamil did not affect the postnatal development or reproductive performance of the offspring of rats given IV doses up to 450 mg/kg/day. Results from a toxicokinetic study conducted in pregnant rats with doses up to 300 278 279 mg/kg suggest that exposure was ≥ 8 times the exposure in humans given 600 mg every 12 hours.

280 There are no adequate and well-controlled trials in pregnant women. Teflaro should be used during pregnancy only if 281 the potential benefit justifies the potential risk to the fetus.

#### 282 8.3 **Nursing Mothers**

283 It is not known whether ceftaroline is excreted in human milk. Because many drugs are excreted in human milk, 284 caution should be exercised when Teflaro is administered to a nursing woman.

#### 285 Pediatric Use

286 Safety and effectiveness in pediatric patients have not been established.

#### 287 8.5 Geriatric Use

288 Of the 1300 patients treated with Teflaro in the Phase 3 ABSSSI and CABP trials, 397 (30.5%) were ≥ 65 years of age. 289 The clinical cure rates in the Teflaro group (Clinically Evaluable [CE] Population) were similar in patients ≥ 65 years 290 of age compared with patients < 65 years of age in both the ABSSSI and CABP trials.

The adverse event profiles in patients ≥ 65 years of age and in patients < 65 years of age were similar. The percentage of patients in the Teflaro group who had at least one adverse event was 52.4% in patients ≥ 65 years of age and 42.8% 293 in patients < 65 years of age for the two indications combined.

294 295 296 297 Ceftaroline is excreted primarily by the kidney, and the risk of adverse reactions may be greater in patients with impaired renal function. Because elderly patients are more likely to have decreased renal function, care should be taken in dose selection in this age group and it may be useful to monitor renal function. Elderly subjects had greater ceftaroline exposure relative to non-elderly subjects when administered the same single dose of Teflaro. However, 298 higher exposure in elderly subjects was mainly attributed to age-related changes in renal function. Dosage adjustment 299 for elderly patients should be based on renal function [see Dosage and Administration (2.2) and Clinical 300 Pharmacology (12.3)].

#### 8.6 Patients with Renal Impairment

302 Dosage adjustment is required in patients with moderate (CrCl  $\geq$  30 to  $\leq$  50 mL/min) or severe (CrCl  $\geq$  15 to  $\leq$  30 303 mL/min) renal impairment and in patients with end-stage renal disease (ESRD - defined as CrCl < 15 mL/min), 304 including patients on hemodialysis (HD)[see Dosage and Administration (2.2) and Clinical Pharmacology (12.3)].

#### 305 10. Overdosage

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- 306 In the event of overdose, Teflaro should be discontinued and general supportive treatment given.
- 307 Ceftaroline can be removed by hemodialysis. In subjects with ESRD administered 400 mg of Teflaro, the mean total 308 recovery of ceftaroline in the dialysate following a 4-hour hemodialysis session started 4 hours after dosing was 76.5 309 mg (21.6% of the dose). However, no information is available on the use of hemodialysis to treat overdosage [see 310 Clinical Pharmacology (12.3)].

#### 311 11. Description

- 312 Teflaro is a sterile, semi-synthetic, broad-spectrum, prodrug antibacterial of cephalosporin class of beta-lactams (β-313 lactams). Chemically, the prodrug, ceftaroline fosamil monoacetate monohydrate is (6R,7R)-7-{(2Z)-2-(ethoxyimino)-
- 314 2-[5-(phosphonoamino)-1,2,4-thiadiazol-3-yl]acetamido}-3-{[4-(1-methylpyridin-1-ium-4-yl)-1,3-thiazol-2-

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353 354 355 yl[sulfanyl]-8-oxo-5-thia-1-azabicyclo[4.2.0]oct-2-ene-2-carboxylate monoacetate monohydrate. Its molecular weight is 762.75. The empirical formula is  $C_{22}H_{21}N_8O_8PS_4$ .  $C_2H_4O_2$ .  $H_2O_3$ .

## Figure 1: Chemical structure of ceftaroline fosamil

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CH<sub>3</sub>COOH H<sub>2</sub>C

Teflaro vials contain either 600 mg or 400 mg of anhydrous ceftaroline fosamil. The powder for injection is formulated from ceftaroline fosamil monoacetate monohydrate, a pale yellowish-white to light yellow sterile powder. All references to ceftaroline activity are expressed in terms of the prodrug, ceftaroline fosamil. The powder is constituted for IV injection [see Dosage and Administration (2.3)].

Each vial of Teflaro contains ceftaroline fosamil and L-arginine, which results in a constituted solution at pH 4.8 to 6.5.

#### 12. Clinical Pharmacology

Ceftaroline fosamil is the water-soluble prodrug of the bioactive ceftaroline [see Clinical Pharmacology (12.3)].

#### 12.1 Mechanism of Action

Ceftaroline is an antibacterial drug [see Clinical Pharmacology (12.4)].

#### 12.2 Pharmacodynamics

As with other beta-lactam antimicrobial agents, the time that unbound plasma concentration of ceftaroline exceeds the minimum inhibitory concentration (MIC) of the infecting organism has been shown to best correlate with efficacy in a neutropenic murine thigh infection model with *S. aureus* and *S. pneumoniae*.

Exposure-response analysis of Phase 2/3 ABSSSI trials supports the recommended dosage regimen of Teflaro 600 mg every 12 hours by IV infusion over 1 hour. For Phase 3 CABP trials, an exposure-response relationship could not be identified due to the limited range of ceftaroline exposures in the majority of patients.

#### Cardiac Electrophysiology

In a randomized, positive- and placebo-controlled crossover thorough QTc study, 54 healthy subjects were each administered a single dose of Teflaro 1500 mg, placebo, and a positive control by IV infusion over 1 hour. At the 1500 mg dose of Teflaro, no significant effect on QTc interval was detected at peak plasma concentration or at any other time.

## 12.3 Pharmacokinetics

The mean pharmacokinetic parameters of ceftaroline in healthy adults (n=6) with normal renal function after single and multiple 1-hour IV infusions of 600 mg ceftaroline fosamil administered every 12 hours are summarized in Table 5. Pharmacokinetic parameters were similar for single and multiple dose administration.

Table 5: Mean (Standard Deviation) Pharmacokinetic Parameters of Ceftaroline IV in Healthy Adults

	Single 600 mg Dose Administered as a 1-Hour Infusion	Multiple 600 mg Doses Administered Every 12 Hours as 1-
Parameter	(n=6)	Hour Infusions for 14 Days

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		(n=6)
C <sub>max</sub> (mcg/mL)	19.0 (0.71)	21.3 (4.10)
T <sub>max</sub> (h) <sup>a</sup>	1.00 (0.92-1.25)	0.92 (0.92-1.08)
AUC (mcg•h/mL) <sup>b</sup>	56.8 (9.31)	56.3 (8.90)
T <sub>1/2</sub> (h)	1.60 (0.38)	2.66 (0.40)
CL (L/h)	9.58 (1.85)	9.60 (1.40)

<sup>&</sup>lt;sup>a</sup> Reported as median (range)

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The  $C_{\text{max}}$  and AUC of ceftaroline increase approximately in proportion to dose within the single dose range of 50 to 1000 mg. No appreciable accumulation of ceftaroline is observed following multiple IV infusions of 600 mg administered every 12 hours for up to 14 days in healthy adults with normal renal function.

#### Distribution

The average binding of ceftaroline to human plasma proteins is approximately 20% and decreases slightly with increasing concentrations over 1-50 mcg/mL (14.5-28.0%). The median (range) steady-state volume of distribution of ceftaroline in healthy adult males (n=6) following a single 600 mg IV dose of radiolabeled ceftaroline fosamil was 20.3 L (18.3-21.6 L), similar to extracellular fluid volume.

## Metabolism

Ceftaroline fosamil is converted into bioactive ceftaroline in plasma by a phosphatase enzyme and concentrations of the prodrug are measurable in plasma primarily during IV infusion. Hydrolysis of the beta-lactam ring of ceftaroline occurs to form the microbiologically inactive, open-ring metabolite ceftaroline M-1. The mean (SD) plasma ceftaroline M-1 to ceftaroline AUC<sub>0-∞</sub> ratio following a single 600 mg IV infusion of ceftaroline fosamil in healthy adults (n=6) with normal renal function is 28% (3.1%).

When incubated with pooled human liver microsomes, ceftaroline was metabolically stable (< 12% metabolic turnover), indicating that ceftaroline is not a substrate for hepatic CYP450 enzymes.

## 373 Excretion

Ceftaroline and its metabolites are primarily eliminated by the kidneys. Following administration of a single 600 mg IV dose of radiolabeled ceftaroline fosamil to healthy male adults (n=6), approximately 88% of radioactivity was recovered in urine and 6% in feces within 48 hours. Of the radioactivity recovered in urine approximately 64% was excreted as ceftaroline and approximately 2% as ceftaroline M-1. The mean (SD) renal clearance of ceftaroline was 5.56 (0.20) L/h, suggesting that ceftaroline is predominantly eliminated by glomerular filtration.

## Specific Populations

## Renal Impairment

Following administration of a single 600 mg IV dose of Teflaro, the geometric mean  $AUC_{0-\omega}$  of ceftaroline in subjects with mild (CrCl > 50 to  $\leq$  80 mL/min, n=6) or moderate (CrCl > 30 to  $\leq$  50 mL/min, n=6) renal impairment was 19% and 52% higher, respectively, compared to healthy subjects with normal renal function (CrCl > 80 mL/min, n=6). Following administration of a single 400 mg IV dose of Teflaro, the geometric mean  $AUC_{0-\omega}$  of ceftaroline in subjects with severe (CrCl  $\geq$  15 to  $\leq$ 30 mL/min, n=6) renal impairment was 115% higher compared to healthy subjects with normal renal function (CrCl > 80 mL/min, n=6). Dosage adjustment is recommended in patients with moderate and severe renal impairment [see Dosage and Administration (2.2)].

A single 400 mg dose of Teflaro was administered to subjects with ESRD (n=6) either 4 hours prior to or 1 hour after hemodialysis (HD). The geometric mean ceftaroline AUC0-\omega following the post-HD infusion was 167% higher

compared to healthy subjects with normal renal function (CrCl > 80 mL/min, n=6). The mean recovery of ceftaroline in

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<sup>&</sup>lt;sup>b</sup>  $\Lambda UC_{0-tau}$  for single-dose administration,  $\Lambda UC_{0-tau}$  for multiple-dose administration,  $C_{max}$ , maximum observed concentration;  $T_{max}$ , time of  $C_{max}$ ;  $\Lambda UC_{0-tau}$ , area under concentration-time curve from time 0 to infinity;  $\Lambda UC_{0-tau}$ , area under concentration-time curve over dosing interval (0-12 hours);  $T_{1/2}$ , terminal elimination half-life; CL, plasma clearance

- 391 the dialysate following a 4-hour HD session was 76.5 mg, or 21.6% of the administered dose. Dosage adjustment is
- 392 recommended in patients with ESRD (defined as CrCL < 15 mL/min), including patients on HD [see Dosage and
- 393 Administration (2.2)].

#### 394 Hepatic Impairment

- 395 The pharmacokinetics of ceftaroline in patients with hepatic impairment have not been established. As ceftaroline does
- 396 not appear to undergo significant hepatic metabolism, the systemic clearance of ceftaroline is not expected to be
- 397 significantly affected by hepatic impairment.

#### 398 **Geriatric Patients**

- 399 Following administration of a single 600 mg IV dose of Tetlaro to healthy elderly subjects (≥ 65 years of age, n=16),
- 400 the geometric mean AUC0-\infty of ceftaroline was ~33\% higher compared to healthy young adult subjects (18-45 years of
- 401 age, n=16). The difference in AUC0-∞ was mainly attributable to age-related changes in renal function. Dosage
- 402 adjustment for Teflaro in elderly patients should be based on renal function [see Dosage and Administration (2.2)].

#### 403 **Pediatric Patients**

- 404 The pharmacokinetics of ceftaroline were evaluated in adolescent patients (ages 12 to 17, n=7) with normal renal
- 405 function following administration of a single 8 mg/kg IV dose of Teflaro (or 600 mg for subjects weighing > 75 kg).
- 406 The mean plasma clearance and terminal phase volume of distribution for ceftaroline in adolescent subjects were
- 407 similar to healthy adults (n=6) in a separate study following administration of a single 600 mg IV dose. However, the
- 408 mean C<sub>max</sub> and AUC<sub>0-so</sub> for ceftaroline in adolescent subjects who received a single 8 mg/kg dose were 10% and 23%
- 409 less than in healthy adult subjects who received a single 600 mg IV dose.

#### 410 Gender

- 411 Following administration of a single 600 mg IV dose of Teflaro to healthy elderly males (n=10) and females (n=6) and
- 412 healthy young adult males (n=6) and females (n=10), the mean C<sub>max</sub> and AUC<sub>0-\infty</sub> for ceftaroline were similar between
- 413 males and females, although there was a trend for higher  $C_{max}$  (17%) and  $AUC_{0-\infty}$  (6-15%) in female subjects.
- 414 Population pharmacokinetic analysis did not identify any significant differences in ceftaroline AUC<sub>0-tau</sub> based on
- 415 gender in Phase 2/3 patients with ABSSSI or CABP. No dose adjustment is recommended based on gender.

#### 416

- 417 A population pharmacokinetic analysis was performed to evaluate the impact of race on the pharmacokinetics of
- 418 ceftaroline using data from Phase 2/3 ABSSSI and CABP trials. No significant differences in ceftaroline AUC<sub>0-tau</sub> was
- 419 observed across White (n=35), Hispanic (n=34), and Black (n=17) race groups for ABSSSI patients. Patients enrolled
- 420 in CABP trials were predominantly categorized as White (n=115); thus there were too few patients of other races to
- 421 draw any conclusions. No dosage adjustment is recommended based on race.

#### 422 **Drug Interactions**

- In vitro studies in human liver microsomes indicate that ceftaroline does not inhibit the major cytochrome P450
- isoenzymes CYP1A1, CYP1A2, CYP2A6, CYP2B6, CYP2C8, CYP2C9, CYP2C19, CYP2D6, CYP2E1 and CYP3A4.
- 423 424 425 426 427 In vitro studies in human hepatocytes also demonstrate that ceftaroline and its inactive open-ring metabolite are not
- inducers of CYP1A2, CYP2B6, CYP2C8, CYP2C9, CYP2C19, or CYP3A4/5. Therefore Teflaro is not expected to
- inhibit or induce the clearance of drugs that are metabolized by these metabolic pathways in a clinically relevant
- 428
- 429 Population pharmacokinetic analysis did not identify any clinically relevant differences in ceftaroline exposure (C<sub>max</sub>
- 430 and AUC<sub>0-tau</sub>) in Phase 2/3 patients with ABSSSI or CABP who were taking concomitant medications that are known
- 431 inhibitors, inducers, or substrates of the cytochrome P450 system; anionic or cationic drugs known to undergo active
- 432 renal secretion; and vasodilator or vasoconstrictor drugs that may alter renal blood flow.
- 433 12.4 Microbiology
- 434 Mode of Action
- 435 Ceftaroline is a cephalosporin with in vitro activity against Gram-positive and -negative bacteria. The bactericidal
- 436 action of ceftaroline is mediated through binding to essential penicillin-binding proteins (PBPs). Ceftaroline is
- 437 bactericidal against S. aureus due to its affinity for PBP2a and against Streptococcus pneumoniae due to its affinity for
- 438 PBP2x.
- 439
- 440 Mechanisms of Resistance

441 Ceftaroline is not active against Gram-negative bacteria producing extended spectrum beta-lactamases (ESBLs) from 442 the TEM, SHV or CTX-M families, serine carbapenemases (such as KPC), class B metallo-beta-lactamases, or class C 443 (AmpC cephalosporinases). 444 Cross-Resistance 445 Although cross-resistance may occur, some isolates resistant to other cephalosporins may be susceptible to ceftaroline. 446 Interaction with Other Antimicrobials 447 In vitro studies have not demonstrated any antagonism between ceftaroline or other commonly used antibacterial agents 448 (e.g., vancomycin, linezolid, daptomycin, levofloxacin, azithromycin, amikacin, aztreonam, tigecycline, and 449 meropenem). 450 Ceftaroline has been shown to be active against most of the following bacteria, both in vitro and in clinical infections 451 [see Indications and Usage (1)]. 452 453 454 455 456 457 **Skin Infections** Gram-positive bacteria Staphylococcus aureus (including methicillin-susceptible and -resistant isolates) Streptococcus pyogenes Streptococcus agalactiae 458 459 Gram-negative bacteria 460 Escherichia coli 461 462 Klebsiella pneumoniae Klebsiella oxytoca 463 464 Community-Acquired Bacterial Pneumonia (CABP) 465 466 Gram-positive bacteria 467 Streptococcus pneumoniae 468 Staphylococcus aureus (methicillin-susceptible isolates only) 469 470 Gram-negative bacteria 471 Haemophilus influenzae 472 Klebsiella pneumoniae 473 Klebsiella oxytoca 474 Escherichia coli 475 The following in vitro data are available, but their clinical significance is unknown. Ceftaroline exhibits in vitro MICs 476 of 1 mcg/mL or less against most (≥ 90%) isolates of the following bacteria; however, the safety and effectiveness of 477 Teflaro in treating clinical infections due to these bacteria have not been established in adequate and well-controlled 478 clinical trials. 479 Gram-positive bacteria 480 Streptococcus dysgalactiae 481 482 483 Gram-negative bacteria Citrobacter koseri 484 485 Citrobacter freundii Enterobacter cloacae 486 Enterobacter aerogenes 487 Moraxella catarrhalis 488 Morganella morganii 489 Proteus mirabilis 490 Haemophilus parainfluenzae 491 492 Susceptibility Test Methods 493 When available, the clinical microbiology laboratory should provide the results of in vitro susceptibility test results for 494 antimicrobial drugs used in local hospitals and practice areas to the physician as periodic reports that describe the 495 susceptibility profile of nosocomial and community-acquired pathogens. These reports should aid the physician in 496 selecting an antibacterial drug product for treatment.

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## 497 Dilution Techniques

Quantitative methods are used to determine antimicrobial minimum inhibitory concentrations (MICs). These MICs provide estimates of the susceptibility of bacteria to antimicrobial compounds. The MICs should be determined using a standardized test method<sup>1,3</sup>, (broth, and/or agar). Broth dilution MICs need to be read within 18 hours due to degradation of ceftaroline activity by 24 hours. The MIC values should be interpreted according to the criteria in Table 6.

#### Diffusion Techniques

Quantitative methods that require measurement of zone diameters can also provide reproducible estimates of the susceptibility of bacteria to antimicrobial compounds. The zone size provides an estimate of the susceptibility of bacteria to antimicrobial compounds. The zone size should be determined using a standardized method. This procedure uses paper disks impregnated with 30 mcg of ceftaroline to test the susceptibility of bacteria to ceftaroline. The disk diffusion interpretive criteria are provided in Table 6.

Table 6: Susceptibility Interpretive Criteria for Ceftaroline

Pathogen and Isolate Source	Minimum Inhibitory Concentrations (mcg/mL)			Disk Diffusion Zone Diameter (mm)			
	S I R		S	1	R		
Staphylococcus aureus (includes methicillin-resistant isolates - skin isolates only) - See NOTE below	≤1ª	_	_	≥24		_	
Streptococcus agalactiae a (skin isolates only)	≤0.03			≥26			
Streptococcus pyogenes a (skin isolates only)	≤0.015	<u>—</u>	_	≥24			
Streptococcus pneumoniae a (CABP isolates only)	≤ 0.25	_		≥27			
Haemophilus influenzae (CABP isolates only)	≤0.12			≥33			
Enterobacteriaceae b (CABP and skin isolates)	≤ 0.5	1	≥2	≥23	20-22	≤19	

S = susceptible, I = intermediate, R = resistant

**NOTE:** Clinical efficacy of Teflaro to treat lower respiratory infections such as community-acquired bacterial pneumonia due to MRSA has not been studied in adequate and well controlled trials (See "Clinical Trials" section 14)

<sup>a</sup> The current absence of resistant isolates precludes defining any results other than "Susceptible." Isolates yielding MIC results other than "Susceptible" should be submitted to a reference laboratory for further testing.

<sup>b</sup> Clinical efficacy was shown for the following Enterobacteriaceae: Escherichia coli, Klebsiella pneumoniae, and Klebsiella oxytoca.

A report of "Susceptible" indicates that the antimicrobial is likely to inhibit growth of the pathogen if the antimicrobial compound reaches the concentration at the infection site necessary to inhibit growth of the pathogen. A report of "Intermediate" indicates that the result should be considered equivocal, and if the microorganism is not fully susceptible to alternative clinically feasible drugs, the test should be repeated. This category implies possible clinical applicability in body sites where the drug is physiologically concentrated. This category also provides a buffer zone that prevents small uncontrolled technical factors from causing major discrepancies in interpretation. A report of "Resistant" indicates that the antimicrobial is not likely to inhibit growth of the pathogen if the antimicrobial compound reaches the concentrations usually achievable at the infection site; other therapy should be selected.

#### 527 Quality Control

Standardized susceptibility test procedures require the use of laboratory controls to monitor and ensure the accuracy and precision of supplies and reagents used in the assay, and the techniques of the individuals performing the test. <sup>1, 2, 3</sup> Standard ceftaroline powder should provide the following range of MIC values provided in Table 7. For the diffusion technique using the 30-mcg ceftaroline disk the criteria provided in Table 7 should be achieved.

Table 7: Acceptable Quality Control Ranges for Susceptibility Testing

Quality Control Organism	Minimum Inhibitory Concentrations (mcg/mL)	Disk Diffusion (zone diameters in mm)		
Staphylococcus aureus ATCC 25923	Not Applicable	26 - 35		
Staphylococcus aureus ATCC 22913	0.12 - 0.5	Not Applicable		
Escherichia coli ATCC 25922	0.03 - 0.12	26 - 34		
Haemophilus influenzae ATCC 49247	0.03 - 0.12	29 - 39		
Streptococcus pneumoniae ATCC 49619	0.008 - 0.03	31 - 41		

ATCC = American Type Culture Collection

#### 13. Nonclinical Toxicology

#### 13.1 Carcinogenesis, Mutagenesis, Impairment of Fertility

536 Long-term carcinogenicity studies have not been conducted with ceftaroline.

Ceftaroline fosamil did not show evidence of mutagenic activity in in vitro tests that included a bacterial reverse mutation assay and the mouse lymphoma assay. Ceftaroline was not mutagenic in an in vitro mammalian cell assay. In vivo, ceftaroline fosamil did not induce unscheduled DNA synthesis in rat hepatocytes and did not induce the formation of micronucleated erythrocytes in mouse or rat bone marrow. Both ceftaroline fosamil and ceftaroline were clastogenic in the absence of metabolic activation in an in vitro chromosomal aberration assays, but not in the presence of metabolic activation.

IV injection of ceftaroline fosamil had no adverse effects on fertility of male and female rats given up to 450 mg/kg. This is approximately 4-fold higher than the maximum recommended human dose based on body surface area.

#### 14. Clinical Trials

#### 14.1 Acute Bacterial Skin and Skin Structure Infections (ABSSSI)

A total of 1396 adults with clinically documented complicated skin and skin structure infection were enrolled in two identical randomized, multi-center, multinational, double-blind, noninferiority trials (Trials 1 and 2) comparing Teflaro (600 mg administered IV over 1 hour every 12 hours) to vancomycin plus aztreonam (1 g vancomycin administered IV over 1 hour followed by 1 g aztreonam administered IV over 1 hour every 12 hours). Treatment duration was 5 to 14 days. A switch to oral therapy was not allowed. The Modified Intent-to-Treat (MITT) population included all patients who received any amount of study drug according to their randomized treatment group. The CE population included patients in the MITT population who demonstrated sufficient adherence to the protocol.

To evaluate the treatment effect of ceftaroline, an analysis was conducted in 797 patients with ABSSSI (such as deep / extensive cellulitis or a wound infection [surgical or traumatic]) for whom the treatment effect of antibacterials may be supported by historical evidence. This analysis evaluated responder rates based on achieving both cessation of lesion spread and absence of fever on Trial Day 3 in the following subgroup of patients:

Patients with lesion size  $\geq 75 \text{ cm}^2$  and having one of the following infection types:

- Major abscess with ≥ 5 cm of surrounding erythema
- Wound infection
- Deep/extensive cellulitis

Teflaro<sup>™</sup> (ceftaroline fosamil) - Forest Laboratories, Inc. - Package Insert - Clean - October 27, 2010

The results of this analysis are shown in Table 8.

Table 8: Clinical Responders at Study Day 3 from Two Phase 3 ABSSSI Trials

	Teflaro	Vancomycin/ Aztreonam	Treatment Difference	
	n/N (%)	n/N (%)	(2-sided 95% CI)	
ABSSSI Trial 1	148/200 (74.0)	135/209 (64.6)	9.4 (0.4, 18.2)	
ABSSSI Trial 2	148/200 (74.0)	128/188 (68.1)	5.9 (-3.1, 14.9)	

The protocol-specified analyses included clinical cure rates at the Test of Cure (TOC) (visit 8 to 15 days after the end of therapy) in the coprimary CE and MITT populations (Table 9) and clinical cure rates at TOC by pathogen in the Microbiologically Evaluable (ME) population (Table 10). However, there are insufficient historical data to establish the magnitude of drug effect for antibacterial drugs compared with placebo at a TOC time point. Therefore, comparisons of Teflaro to vancomycin plus aztreonam based on clinical response rates at TOC can not be utilized to establish non-inferiority.

Table 9: Clinical Cure Rates at TOC from Two Phase 3 ABSSSI Trials

		Vancomycin/	
	Teflaro n/N (%)	Aztreonam n/N (%)	Treatment Difference (2-sided 95% CI)
Trial 1			
CE	288/316 (91.1)	280/300 (93.3)	-2.2 (-6.6, 2.1)
MITT	304/351 (86.6)	297/347 (85.6)	1.0 (-4.2, 6.2)
Trial 2			,
CE	271/294 (92.2)	269/292 (92.1)	0.1 (-4.4., 4.5)
MITT	291/342 (85.1)	289/338 (85.5)	-0.4 (-5.8, 5.0)

Table 10: Clinical Cure Rates at TOC by Pathogen from Two Integrated Phase 3 ABSSSI Trials

	Teflaro n/N (%)	Vancomycin/Aztreona n/N (%)		
Gram-positive:				
MSSA (methicillin-susceptible)	212/228 (93.0%)	225/238 (94.5%)		
MRSA (methicillin-resistant)	142/152 (93.4%)	115/122 (94.3%)		
Streptococcus pyogenes	56/56 (100%)	56/58 (96.6%)		
Streptococcus agalactiae	21/22 (95.5%)	18/18 (100%)		
Gram-negative:				
Escherichia coli	20/21 (95.2%)	19/21 (90.5%)		
Klebsiella pneumoniae	17/18 (94.4%)	13/14 (92.9%)		
Klebsiella oxytoca	10/12 (83.3%)	6/6 (100%)		

#### 14.2 Community-Acquired Bacterial Pneumonia (CABP)

A total of 1231 adults with a diagnosis of CABP were enrolled in two randomized, multi-center, multinational, double-blind, noninferiority trials (Trials 1 and 2) comparing Teflaro (600 mg administered IV over 1 hour every 12 hours) with ceftriaxone (1 g ceftriaxone administered IV over 30 minutes every 24 hours). In both treatment groups of CABP Trial 1, two doses of oral clarithromycin (500 mg every 12 hours), were administered as adjunctive therapy starting on Study Day 1. No adjunctive macrolide therapy was used in CABP Trial 2. Patients with known or suspected MRSA were excluded from both trials. Patients with new or progressive pulmonary infiltrate(s) on chest radiography and signs and symptoms consistent with CABP with the need for hospitalization and IV therapy were enrolled in the trials. Treatment duration was 5 to 7 days. A switch to oral therapy was not allowed. Among all subjects who received any amount of study drug in the two CABP trials, the 30-day all-cause mortality rates were 11/609 (1.8%) for the Teflaro group vs. 12/610 (2.0%) for the ceftriaxone group, and the difference in mortality rates was not statistically significant.

To evaluate the treatment effect of ceftaroline, an analysis was conducted in CABP patients for whom the treatment effect of antibacterials may be supported by historical evidence. The analysis endpoint required subjects to meet sign and symptom criteria at Day 4 of therapy: a responder had to both (a) be in stable condition according to consensus treatment guidelines of the Infectious Diseases Society of America and American Thoracic Society, based on temperature, heart rate, respiratory rate, blood pressure, oxygen saturation, and mental status; (b) show improvement from baseline on at least one symptom of cough, dyspnea, pleuritic chest pain, or sputum production, while not worsening on any of these four symptoms. The analysis used a microbiological intent-to-treat population (mITT population) containing only subjects with a confirmed bacterial pathogen at baseline. Results for this analysis are presented in Table 11.

Table 11: Response Rates at Study Day 4 (72-96 hours) from Two Phase 3 CABP Trials

	Teflaro n/N (%)	Ceftriaxone n/N (%)	Treatment Difference (2-sided 95% CI)	
CABP Trial 1	48/69 (69.6%)	42/72 (58.3%)	11.2(-4.6,26.5)	
CABP Trial 2	58/84(69.0%)	51/83 (61.4%)	7.6 (-6.8,21.8)	

The protocol-specified analyses included clinical cure rates at the TOC (8 to 15 days after the end of therapy) in the coprimary Modified Intent-to-Treat Efficacy (MITTE) and CE populations (Table 12) and clinical cure rates at TOC by pathogen in the Microbiologically Evaluable (ME) population (Table 13). However, there are insufficient historical data to establish the magnitude of drug effect for antibacterials drugs compared with placebo at a TOC time point. Therefore, comparisons of Teflaro to ceftriaxone based on clinical response rates at TOC cannot be utilized to establish non-inferiority. Neither trial established that Teflaro was statistically superior to ceftriaxone in terms of clinical response rates. The MITTE population included all patients who received any amount of study drug according to their randomized treatment group and were in PORT (Pneumonia Outcomes Research Team) Risk Class III or IV. The CE population included patients in the MITTE population who demonstrated sufficient adherence to the protocol.

Table 12: Clinical Cure Rates at TOC from Two Phase 3 CABP Trials

Teflaro n/N (%)	Ceftriaxone n/N (%)	Treatment Difference (2-sided 95% CI)
		-
194/224 (86.6%)	183/234 (78.2%)	8.4 (1.4, 15.4)
244/291 (83.8%)	233/300 (77.7%)	6.2 (-0.2, 12.6)
, ,	,	
191/232 (82.3%)	165/214 (77.1%)	5.2 (-2.2, 12.8)
231/284 (81.3%)	203/269 (75.5%)	5.9 (-1.0, 12.8)
	n/N (%)  194/224 (86.6%) 244/291 (83.8%)  191/232 (82.3%)	n/N (%) n/N (%)  194/224 (86.6%) 183/234 (78.2%) 244/291 (83.8%) 233/300 (77.7%)  191/232 (82.3%) 165/214 (77.1%)

Table 13: Clinical Cure Rates at TOC by Pathogen from Two Integrated Phase 3 CABP Trials

	Teflaro n/N (%)	Ceftriaxone n/N (%)
Gram-positive:		
Streptococcus pneumoniae	54/63 (85.7%)	41/59 (69.5%)
Staphylococcus aureus (methicillin-		
susceptible isolates only)	18/25 (72.0%)	14/25 (56.0%)
Gram-negative		
Haemophilus influenzae	15/18 (83.3%)	17/20 (85.0%)
Klebsiella pneumoniae	12/12 (100%)	10/12 (83.3%)
Klebsiella oxytoca	5/6 (83.3%)	7/8 (87.5%)
Escherichia coli	10/12 (83.3%)	9/12 (75.0%)

610 15. References

Clinical and Laboratory Standards Institute (CLSI). Methods for Dilution Antimicrobial Susceptibility Tests for Bacteria that Grow Aerobically - 8th ed. Approved Standard, CLSI document M07-A8, CLSI, 940 West Valley Road, Suite 1400, Wayne, PA 19087-1898. January 2009.

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514	2.	Clinical and Laboratory Standards Institute (CLSI). Performance Standards for Antimicrobial Disk Diffusion
515		Susceptibility Tests 10th ed. Approved Standard, CLSI document M02-A10, CLSI, January 2009.

- Clinical and Laboratory Standards Institute (CLSI). Performance Standards for Antimicrobial Susceptibility Testing 20th Informational Supplement, CLSI document M100-S20, CLSI, January 2010.
- Mandell, L.A., Wunderink, R.G., Anzueto, A., Bartlett, J.G., Campbell, G.D., Dean, N.C., Dowell, S.F., File, T.M., Musher, D.M., Niederman, M.S., Torres, A., Whitney, C.G. Infectious Diseases Society of America/American Thoracic Society consensus guidelines on the management of community-acquired pneumonia in adults. Clinical Infectious Disease. 2007; 44:S27-72.

#### 16. How Supplied/Storage and Handling

- Teflaro (ceftaroline fosamil) for injection is supplied in single-use, clear glass vials containing:
- 624 600 mg individual vial (NDC 0456-0600-01) and carton containing 10 vials (NDC 0456-0600-10)
- 400 mg individual vial (NDC 0456-0400-01) and carton containing 10 vials (NDC 0456-0400-10)
- Teflaro vials should be stored refrigerated at 2 to 8° C (36 to 46° F).

#### 627 17. Patient Counseling Information

- Patients should be advised that allergic reactions, including serious allergic reactions, could occur and that serious reactions require immediate treatment. They should inform their healthcare provider about any previous hypersensitivity reactions to Teflaro, other beta-lactams (including cephalosporins) or other allergens.
- Patients should be counseled that antibacterial drugs including Teflaro should be used to treat only bacterial infections. They do not treat viral infections (e.g., the common cold). When Teflaro is prescribed to treat a bacterial infection, patients should be told that although it is common to feel better early in the course of therapy, the medication should be taken exactly as directed. Skipping doses or not completing the full course of therapy may (1) decrease the effectiveness of the immediate treatment and (2) increase the likelihood that bacteria will develop resistance and will not be treatable by Teflaro or other antibacterial drugs in the future.
- Patients should be advised that diarrhea is a common problem caused by antibacterial drugs and usually resolves
  when the drug is discontinued. Sometimes, frequent watery or bloody diarrhea may occur and may be a sign of a
  more serious intestinal infection. If severe watery or bloody diarrhea develops, patients should contact their
  healthcare provider.
- Keep out of reach of children
- Teflaro (ceftaroline fosamil) for injection
- 643 Distributed by:
- 644 Forest Pharmaceuticals, Inc.
- Subsidiary of Forest Laboratories, Inc.
- 646 St. Louis, MO 63045, USA
- 647 Manufactured by:
- 648 Facta Farmaceutici S.p.A.
- Nucleo Industriale S. Atto-S. Nicolò a Tordino
- 650 64020 Teramo, Italy
- Teflaro is a trademark of Forest Laboratories, Inc.
- 652 Label Part Number
- Revised: [month year]
- © 20XX Forest Laboratories, Inc. All rights reserved.

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This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.
/s/
EDWARD M COX 10/29/2010

# **EXHIBIT E**

Food and Drug Administration Silver Spring MD 20993

NDA 200327

NDA APPROVAL

Cerexa, Inc. Attention: Bruce Lu, R.Ph., RAC Senior Director, Regulatory Affairs 2100 Franklin St., Suite 900 Oakland, CA 94612

Dear Mr. Lu:

Please refer to your New Drug Application (NDA) dated December 29, 2009, received December 30, 2009, submitted under section 505(b) of the Federal Food, Drug, and Cosmetic Act for Teflaro (ceftaroline fosamil) for Injection.

We acknowledge receipt of your amendments dated January 8, 20, 26 and 29; February 2 and 4, April 14, 23(2), 28, 29 and 30; May 3 and 14; June 2, 7, 18, 21 and 23; July 2, 9, 13, 14, 20 and 27; August 2(2), 4, 6, 9, 10, 18, 19, 20, 24 and 30; September 16, 20, 21 and 24; and October 13(2), 14, 18(2), 20 and 28(2), 2010.

This new drug application provides for the use of Teflaro (ceftaroline fosamil) for Injection for the treatment of Acute Bacterial Skin and Skin Structure Infections and Community Acquired Bacterial Pneumonia.

We have completed our review of this application, as amended. It is approved, effective on the date of this letter, for use as recommended in the enclosed agreed-upon labeling text.

## **LABELING**

As soon as possible, but no later than 14 days from the date of this letter, submit, via the FDA automated drug registration and listing system (eLIST), the content of labeling [21 CFR 314.50(l)] in structured product labeling (SPL) format, as described at <a href="http://www.fda.gov/ForIndustry/DataStandards/StructuredProductLabeling/default.htm">http://www.fda.gov/ForIndustry/DataStandards/StructuredProductLabeling/default.htm</a>, that is identical to the enclosed labeling (text for the package insert). Information on submitting SPL files using eLIST may be found in the guidance for industry titled "SPL Standard for Content of Labeling Technical Qs and As" at

http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM072392.pdf.

The SPL will be accessible via publicly available labeling repositories.

Reference ID: 2857446

#### **CARTON AND IMMEDIATE CONTAINER LABELS**

We acknowledge your October 14, 2010 submission containing carton and container labels.

Submit final printed carton and container labels that are identical to the carton labels submitted on October 14, 2010 and the immediate container labels submitted on October 20, 2010 as soon as they are available, but no more than 30 days after they are printed. Please submit these labels electronically according to the guidance for industry titled "Providing Regulatory Submissions in Electronic Format – Human Pharmaceutical Product Applications and Related Submissions Using the eCTD Specifications (June 2008)." Alternatively, you may submit 12 paper copies, with 6 of the copies individually mounted on heavy-weight paper or similar material. For administrative purposes, designate this submission "Final Printed Carton and Container Labels for approved NDA 200327". Approval of this submission by FDA is not required before the labeling is used.

Marketing the product with FPL that is not identical to the approved labeling text may render the product misbranded and an unapproved new drug.

### REQUIRED PEDIATRIC ASSESSMENTS

Under the Pediatric Research Equity Act (PREA) (21 U.S.C. 355c), all applications for new active ingredients, new indications, new dosage forms, new dosing regimens, or new routes of administration are required to contain an assessment of the safety and effectiveness of the product for the claimed indications in pediatric patients unless this requirement is waived, deferred or inapplicable.

We are deferring submission of pediatric trials in patients aged 0 to 17 years for Acute Bacterial Skin and Skin Structure Infections (ABSSSI) and Community-Acquired Bacterial Pneumonia (CABP) until July 2015, because this product is ready for approval for use in adults and pediatric trials have not been completed.

Your deferred pediatric studies required under section 505B(a) of the Federal Food, Drug and Cosmetic Act (FDCA) are required postmarketing studies. The status of these postmarketing studies must be reported annually according to 21 CFR 314.81 and section 505B(a)(3)(B) of the FDCA. These required studies are listed below:

1692-001: Single dose pharmacokinetic trial

Perform a trial in pediatric patients being treated concomitantly with antibacterial agent(s) to evaluate single dose pharmacokinetic parameters and assess safety of Teflaro (ceftaroline fosamil) in all pediatric age groups. Five age cohorts must be studied as follows:

- Group 1: children from 6 to less than 12 years
- Group 2: children from 24 months to less than 6 years
- Group 3: infants/toddlers from 28 days to less than 24 months

- Group 4: term neonates less than 28 days; (stratification within the group: 0-14 days; >14 days to <28 days)
- Group 5: pre-term neonates less than 28 days (stratification within the group: 0-14 days; >14 days to <28 days)

There must be a minimum of 8 evaluable subjects per cohort. In Group 3, there will be an equal representation of patients aged 28 days to <12 months and ≥12 months to <24 months.

Final Protocol Submission: 11/2010 Trial Completion Date: 01/2014 Final Report Submission: 07/2014

1692-002: Perform a randomized comparison of Teflaro (ceftaroline fosamil) and comparator in pediatric subjects with CABP utilizing an enrichment strategy for enrollment of patients with methicillin-resistant *Staphylococcus aureus* (MRSA). Pediatric patients under 17 years of age with CABP must be enrolled, with a minimum of 150 patients receiving Teflaro (ceftaroline fosamil).

Final Protocol Submission: 09/2011 Trial Completion Date: 05/2014 Final Report Submission: 11/2014

1692-003: Perform a randomized comparison of Teflaro (ceftaroline fosamil) and comparator in pediatric subjects with ABSSSI including patients with infection suspected or demonstrated to be caused by MRSA. Pediatric patients under 17 years of age with ABSSSI must be enrolled, with a minimum of 150 patients receiving Teflaro (ceftaroline fosamil).

Final Protocol Submission: 09/2011 Trial Completion Date: 05/2014 Final Report Submission: 11/2014

1692-004: Cerebrospinal Fluid (CSF) Concentration Trial

Perform a trial assessing the CSF concentration profile of Teflaro (ceftaroline fosamil) in infants < 2 months of age. A minimum of 12 infants < 2 months of age receiving antibacterials for treatment of late-onset neonatal sepsis must be studied.

Final Protocol Submission: 05/2014 Trial Completion Date: 09/2016 Final Report Submission: 03/2017 **1692-005:** Perform a randomized comparison of Teflaro (ceftaroline fosamil) and comparator in infants < 2 months of age with ABSSSI and CABP including patients with infections suspected or demonstrated to be caused by MRSA.

Final Protocol Submission: 05/2014 Trial Completion Date: 09/2016 Final Report Submission: 03/2017

Submit final reports to the NDA. For administrative purposes, all submissions related to these required pediatric postmarketing studies must be clearly designated "Required Pediatric Assessments".

#### POSTMARKETING REQUIREMENTS UNDER 505(0)

Section 505(o)(3) of the FDCA authorizes FDA to require holders of approved drug and biological product applications to conduct postmarketing studies and clinical trials for certain purposes, if FDA makes certain findings required by the statute.

We have determined that an analysis of spontaneous postmarketing adverse events reported under subsection 505(k)(1) of the FDCA will not be sufficient to assess the signal of serious risk of development of bacterial resistance.

Furthermore, the new pharmacovigilance system that FDA is required to establish under section 505(k)(3) of the FDCA has not yet been established and is not sufficient to assess this serious risk.

Therefore, based on appropriate scientific data, FDA has determined that you are required, to conduct the following:

**1692-006:** Conduct a prospective study over a five-year period after introduction of Teflaro (ceftaroline fosamil) to the market to determine if decreased susceptibility to Teflaro (ceftaroline fosamil) is occurring in the target bacteria included in the Indications section of the approved Teflaro (ceftaroline fosamil) package insert. Provide a detailed protocol describing the study to the Agency for review and comment before commencing the study.

The timetable you submitted on October 14, 2010 states that you will conduct this study according to the following schedule:

Final Protocol Submission: 01/2011

First Interim Report: 10/2011, and then annually until 10/2015

Study Completion: 04/2016

Final Report Submission: 10/2016

Submit the protocol to your IND 71,371, with a cross-reference letter to this NDA. Submit all interim and final reports to your NDA. Prominently identify the submission with the following wording in bold capital letters at the top of the first page of the submission, as appropriate

"Required Postmarketing Protocol Under 505(0)", "Required Postmarketing Final Report Under 505(0)", "Required Postmarketing Correspondence Under 505(0)".

Section 505(o)(3)(E)(ii) of the FDCA requires you to report periodically on the status of any study or clinical trial required under this section. This section also requires you to periodically report to FDA on the status of any study or clinical trial otherwise undertaken to investigate a safety issue. Section 506B of the FDCA, as well as 21 CFR 314.81(b)(2)(vii) requires you to report annually on the status of any postmarketing commitments or required studies or clinical trials.

FDA will consider the submission of your annual report under section 506B and 21 CFR 314.81(b)(2)(vii) to satisfy the periodic reporting requirement under section 505(o)(3)(E)(ii) provided that you include the elements listed in 505(o) and 21 CFR 314.81(b)(2)(vii). We remind you that to comply with 505(o), your annual report must also include a report on the status of any study or clinical trial otherwise undertaken to investigate a safety issue. Failure to submit an annual report for studies or clinical trials required under 505(o) on the date required will be considered a violation of FDCA section 505(o)(3)(E)(ii) and could result in enforcement action.

# POSTMARKETING COMMITMENTS SUBJECT TO REPORTING REQUIREMENTS UNDER SECTION 506B

We remind you of your postmarketing commitment in your submission dated October 14, 2010. This commitment is listed below:

1692-007:

Conduct a prospective, randomized trial evaluating the efficacy and safety of Teflaro (ceftaroline fosamil) versus comparator in the treatment of patients with CABP at high risk for infection caused by MRSA.

Final Protocol Submission: 10/2011 Trial Completion Date: 09/2016 Final Report Submission: 04/2017

Submit clinical protocols to your IND 71,371 for this product. Submit nonclinical and chemistry, manufacturing, and control protocols and all study final reports to this NDA. In addition, under 21 CFR 314.81(b)(2)(vii) and 314.81(b)(viii) you should include a status summary of each commitment in your annual report to this NDA. The status summary should include expected summary completion and final report to this NDA. The status summary should include expected summary completion and final report submission dates, any changes in plans since the last annual report, and, for clinical studies/trial, number of patients entered into each study/trial. All submissions, including supplements, relating to these postmarketing commitments should be prominently labeled "Postmarketing Commitment Protocol," "Postmarketing Commitment Correspondence."

#### PROMOTIONAL MATERIALS

You may request advisory comments on proposed introductory advertising and promotional labeling. To do so, submit, in triplicate, a cover letter requesting advisory comments, the proposed materials in draft or mock-up form with annotated references, and the package insert to:

Food and Drug Administration Center for Drug Evaluation and Research Division of Drug Marketing, Advertising, and Communications 5901-B Ammendale Road Beltsville, MD 20705-1266

As required under 21 CFR 314.81(b)(3)(i), you must submit final promotional materials, and the package insert, at the time of initial dissemination or publication, accompanied by a Form FDA 2253. For instruction on completing the Form FDA 2253, see page 2 of the Form. For more information about submission of promotional materials to the Division of Drug Marketing, Advertising, and Communications (DDMAC), see <a href="http://www.fda.gov/AboutFDA/CentersOffices/CDER/ucm090142.htm">http://www.fda.gov/AboutFDA/CentersOffices/CDER/ucm090142.htm</a>.

Please submit one market package of the drug product when it is available.

### LETTERS TO HEALTH CARE PROFESSIONALS

If you decide to issue a letter communicating important safety-related information about this drug product (i.e., a "Dear Health Care Professional" letter), we request that you submit, at least 24 hours prior to issuing the letter, an electronic copy of the letter to this NDA to the following address:

MedWatch Program Office of Special Health Issues Food and Drug Administration 10903 New Hampshire Ave Building 32, Mail Stop 5353 Silver Spring, MD 20993

Reference ID: 2857446

#### REPORTING REQUIREMENTS

We remind you that you must comply with reporting requirements for an approved NDA (21 CFR 314.80 and 314.81).

### MEDWATCH-TO-MANUFACTURER PROGRAM

The MedWatch-to-Manufacturer Program provides manufacturers with copies of serious adverse event reports that are received directly by the FDA. New molecular entities and important new biologics qualify for inclusion for three years after approval. Your firm is eligible to receive copies of reports for this product. To participate in the program, please see the enrollment instructions and program description details at <a href="http://www.fda.gov/Safety/MedWatch/HowToReport/ucm166910.htm">http://www.fda.gov/Safety/MedWatch/HowToReport/ucm166910.htm</a>.

New molecular entities and new biologics qualify for a post-action feedback meeting. Such meetings are used to discuss the quality of the application and to evaluate the communication process during drug development and marketing application review. The purpose is to learn from successful aspects of the review process and to identify areas that could benefit from improvement. If you would like to have such a meeting with us, call the Regulatory Project Manager for this application.

If you have any questions, call Carmen DeBellas, Regulatory Project Manager, at (301) 796-1203.

Sincerely,

{See appended electronic signature page}

Edward M. Cox, MD., MPH Director Office of Antimicrobial Products Center for Drug Evaluation and Research

ENCLOSURES:
Content of Labeling

This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.	
/s/ 	
EDWARD M COX 10/29/2010	

Reference ID: 2857446

# **EXHIBIT F**



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Customer No 000000

**ISTMT** 

DATE PRINTED 11/08/2010

TAKEDA PHARMACEUTICALS NORTH AMERICA, IN INTELLECTUAL PROPERTY DEPARTMENT ONE TAKEDA PARKWAY DEERFIELD IL 60015

## MAINTENANCE FEE STATEMENT

According to the records of the U.S.Patent and Trademark Office (USPTO), the maintenance fee and any necessary surcharge have been timely paid for the patent listed below. The "PYMT DATE" column indicates the payment date (i.e., the date the payment was filed).

The payment shown below is subject to actual collection. If the payment is refused or charged back by a financial institution, the payment will be void and the maintenance fee and any necessary surcharge unpaid.

Direct any questions about this statement to: Mail Stop M Correspondence, Director of the USPTO, P.O.Box 1450, Alexandria, VA 22313-1450.

PATENT NUMBER	FEE AMT	SUR CHARGE	PYMT DATE	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPL. FILING DATE	PAYMENT YEAR	SMALL ENTITY?	ATTY DKT NUMBER
6 417 175	00 0002	\$0.00	12/16/05	09/555.949	07/09/02	06/06/00	04	NO	2499USOP



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Customer No 000000

**ISTMT** 

DATE PRINTED 11/04/2010

TAKEDA PHARMACEUTICALS NORTH AMERICA, IN INTELLECTUAL PROPERTY DEPARTMENT ONE TAKEDA PARKWAY DEERFIELD IL 60015

## MAINTENANCE FEE STATEMENT

According to the records of the U.S.Patent and Trademark Office (USPTO), the maintenance fee and any necessary surcharge have been timely paid for the patent listed below. The "PYMT DATE" column indicates the payment date (i.e., the date the payment was filed).

The payment shown below is subject to actual collection. If the payment is refused or charged back by a financial institution, the payment will be void and the maintenance fee and any necessary surcharge unpaid.

Direct any questions about this statement to: Mail Stop M Correspondence, Director of the USPTO, P.O.Box 1450, Alexandria, VA 22313-1450.

PATENT NUMBER	FEE AMT	SUR CHARGE	PYMT DATE	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPL. FILING DATE	PAYMENT YEAR	SMALL ENTITY?	ATTY DKT NUMBER
6,417,175	\$2,480.00	\$0.00	12/09/09	09/555,949	07/09/02	06/06/00	08	NO	TAKEDA CHEMICAL INDUSTRIE

# **EXHIBIT G**



10 December 2004

Janice Soreth, M.D.
Division Director, Division of Anti-Infective Drug Products
Food & Drug Administration
Office of Drug Evaluation IV (HFD-104)
Attn: Ms. Frances LeSane
9201 Corporate Blvd, 4th Floor
Rockville, MD 20850

Re: Investigational New Drug Application

**PPI-0903** 

Serial Number: 000

Dear Dr. Soreth,

Pursuant to 21 CFR § 312.20, enclosed in triplicate is the Investigational New Drug Application for PPI-0903.

Peninsula Pharmaceuticals, Inc. (PPI) plans to develop PPI-0903 as a broad spectrum cephalosporin antibiotic for the treatment of serious bacterial infections, including community-acquired pneumonia (CAP) and skin and skin structure infections (SSSI), that may be caused by a broad range of gram-negative and resistant gram-positive bacteria, including penicillin-resistant Streptococcus pneumoniae (PRSP) and methicillin-resistant Staphylococcus aureus (MRSA).

PPI-0903 is a sterile, synthetic, parenteral pro-drug of a novel cephalosporin class of beta-lactam antibiotics. The pro-drug (PPI-0903) is rapidly metabolized into a bioactive metabolite which exhibits antibacterial activity. PPI-0903 displays broad *in vitro* bactericidal activity against aerobic and anaerobic gram-positive and gram-negative bacteria.

New antibiotics are needed to treat serious as well as common infections caused by bacteria that are becoming increasingly resistant to currently available therapies. PPI believes that current in vitro and in vivo microbiological data support the clinical development of PPI-0903 as a potential human therapeutic agent against serious gram-positive and gram-negative bacterial infections.

Confidential Page 1 of 2

PPI understands that clinical investigations subject to § 312.2(a) will not proceed until the investigation is subject to an IND which is in effect in accordance with § 312.40. PPI is looking forward to working with FDA on this project.

Should you have any questions regarding this submission, please do not hesitate to contact me directly at (510) 747-3904.

Sincerely,

Ursula Fritsch, Pharm.D.

Sr. Director, Global Regulatory Affairs

Peninsula Pharmaceuticals, Inc.

Office: 510-747-3904 Facsimile: 510-747-3940

Enclosure: 32 volumes of PPI-0903 IND, in triplicate (96 volumes total)

#### Form Approved: OMB No. 0910-0014. DEPARTMENT OF HEALTH AND HUMAN SERVICES Expiration Date: January 31, 2006 **PUBLIC HEALTH SERVICE** See OMB Statement on Reverse. FOOD AND DRUG ADMINISTRATION INVESTIGATIONAL NEW DRUG APPLICATION (IND) NOTE: No drug may be shipped or clinical investigation begun until an IND for that (TITLE 21, CODE OF FEDERAL REGULATIONS (CFR) PART 312) investigation is in effect (21 CFR 312.40). 1. NAME OF SPONSOR 2. DATE OF SUBMISSION Peninsula Pharmaceuticals, Inc. 12/10/04 3. ADDRESS (Number, Street, City, State and Zip Code) 4. TELEPHONE NUMBER (Include Area Code) 1751 Harbor Bay Parkway 510-747-3904 Alameda, CA 94502 5. NAME(S) OF DRUG (Include all available names: Trade, Generic, Chemical, Code) 6. IND NUMBER (If previously assigned) PPI-0903, or: ((6R, 7R) - 7 - (((2Z) - (ethoxyimino) - 2 - (5 - (phosphonoamino) - (6R, 7R) - 7 - (((6R, 7R) - 7 - (((6R, 7R) - 7 - ((6R, 7R) - 7 - (6R, 7R) - (6R,1, 2, 4-thiadiazol-3-yl) acetyl) amino) -3-((4-(1-methyl-4pyridiniumyl)-1,3-thiazol-2-yl)sulfanyl)-8-oxo-5-thia-1azabicyclo[4.2.0]oct-2-ene-2-carboxylate, acetic acid solvate, monohydrate 7. INDICATION(S) (Covered by this submission) Serious bacterial infections B. PHASE(S) OF CLINICAL INVESTIGATION TO BE CONDUCTED: PHASE 1 PHASE 2 PHASE 3 OTHER \_ 9. LIST NUMBERS OF ALL INVESTIGATIONAL NEW DRUG APPLICATIONS (21 CFR Part 312), NEW DRUG OR ANTIBIOTIC APPLICATIONS (21 CFR Part 314), DRUG MASTER FILES (21 CFR Part 314.420), AND PRODUCT LICENSE APPLICATIONS (21 CFR Part 601) REFERRED TO IN THIS APPLICATION. 10. IND submission should be consecutively numbered. The initial IND should be numbered "Serial number: 0000." The next submission (e.g., amendment, report, or correspondence) SERIAL NUMBER rould be numbered "Serial Number: 0001." Subsequent submissions should be numbered consecutively in the order in which they are submitted. 11. THIS SUBMISSION CONTAINS THE FOLLOWING: (Check all that apply) INITIAL INVESTIGATIONAL NEW DRUG APPLICATION (IND) RESPONSE TO CLINICAL HOLD PROTOCOL AMENDMENT(S): INFORMATION AMENDMENT(S): IND SAFETY REPORT(S): CHEMISTRY/MICROBIOLOGY INITIAL WRITTEN REPORT NEW PROTOCOL CHANGE IN PROTOCOL PHARMACOLOGY/TOXICOLOGY FOLLOW-UP TO A WRITTEN REPORT NEW INVESTIGATOR CLINICAL RESPONSE TO FDA REQUEST FOR INFORMATION ANNUAL REPORT GENERAL CORRESPONDENCE REQUEST FOR REINSTATEMENT OF IND THAT IS WITHDRAWN. OTHER \_ (Specify) INACTIVATED. TERMINATED OR DISCONTINUED **CHECK ONLY IF APPLICABLE** JUSTIFICATION STATEMENT MUST BE SUBMITTED WITH APPLICATION FOR ANY CHECKED BELOW! REFER TO THE CITED CFR SECTION FOR FURTHER INFORMATION. TREATMENT IND 21 CFR 312.35(b) TREATMENT PROTOCOL 21 CFR 312.35(a) CHARGE REQUEST/NOTIFICATION 21 CFR312.7(d) FOR FDA USE ONLY COR/DBIND/DGD RECEIPT STAMP DDR RECEIPT STAMP DIVISION ASSIGNMENT: IND NUMBER ASSIGNED:

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, , , ,	1. Form FDA 1571 [21 CFR 312.23(a)(1)]								
2. Table of Contents [21 CFR 312.23(a)(2)]									
3. Introductory statement [21 CFR 312.23(a)(3)]									
4. General Investigational plan [21 CFR 312.23(a)(3)]									
5. Investigator's brochure [21 CFR 312.23(a)(5)]									
☑ a. Study protocol(s) [21 CFR 312.23(a)(6)]									
b. Investigator data [21 CFR 312.23(a)(6)(iii)(b)] or completed Form(s) FDA 1572									
C. Facilities data [21 CFR 312.23(a)(6)(iii)(b)] or completed Form(s) FDA 1572									
	312.23(a)(6)(iii)(b)] or completed Form(s) FDA 1572								
7. Chemistry, manufacturing, and control data [21 CFR 312.23(a)(7)]									
Environmental assessment or claim for excl									
8. Pharmacology and toxicology data [21 CFR 312.23(a)(8)]	•								
☑ 9. Previous human experience [21 CFR 312.23(a)(9)]									
10. Additional information [21 CFR 312.23(a)(10)]									
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13. IS ANY PART OF THE CLINICAL STUDY TO BE CONDUCTED BY A CONTRAC	T RESEARCH ORGANIZATION? YES NO								
IF YES, WILL ANY SPONSOR OBLIGATIONS BE TRANSFERRED TO THE CON	ITRACT RESEARCH ORGANIZATION? 🛛 YES 🗌 NO								
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Rebecca Redman, MD Sr. Director, Clinical Development									
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15. NAME(S) AND TITLE(S) OF THE PERSON(S) RESPONSIBLE FOR REVIEW AND EVALUATION OF INFORMATION RELEVANT TO THE SAFETY OF THE DRUG									
Sally Van Doren, Pharm.D. Sr. Director, Drug Safety									
Si. Director, Drug Salety									
I agree not to begin clinical investigations until 30 days after F	DAIs manifes of the IND veloce I manifes and a matification by								
FDA that the studies may begin. I also agree not to begin or	continue clinical investigations covered by the IND if those								
studies are placed on clinical hold. I agree that an Institutiona	I Review Board (IRB) that complies with the requirements set								
fourth in 21 CFK Part 56 will be responsible for initial and c	ontinuing review and approval of each of the studies in the stigation in accordance with all other applicable regulatory								
requirements.	sugation in accordance with an other applicable regulatory								
16. NAME OF SPONSOR OR SPONSOR'S AUTHORIZED	17. SIGNATURE OF SPONSOR OR SPONSOR'S AUTHORIZED								
REPRESENTATIVE Ursula Fritsch, Pharm.D.	REPRESENTATIVE								
Sr. Director, Global Regulatory Affairs	14//1								
18. ADDRESS (Number, Street, City, State and Zip Code)	19. TELEPHONE NUMBER (Include Area Code)   20. DATE								
1751 Harbor Bay Parkway	510-747-3904 office 12/10/04								
Alameda, CA 94502	510-747-3940 facsimile								
(WARNING: A willfully false statement is a criminal offense, U.S.C. Title 18, Sec.									
Public reporting burden for this collection of information is estimated to average searching existing data sources, gathering and maintaining the data needer regarding this burden estimate or any other aspect of this collection of information.	d. and completing reviewing the collection of information. Send comments								
Food and Drug Administration Food and Drug Adminis	tration "An agency may not conduct or sponsor, and a								
CBER (HFM-99) CDER (HFD-94) 1401 Rockville Pike 12229 Wilkins Avenue	person is not required to respond to, a collection of information unless it displays a								
Rockville, MD 20852-1448 Rockville, MD 20852	collection of information unless it displays a currently valid OMB control number."								
Please DO NOT RETURN this application to this address.									

# **EXHIBIT H**



## DEPARTMENT OF HEALTH & HUMAN SERVICES

Food and Drug Administration Rockville, MD 20857

IND 71,371

Peninsula Pharmaceuticals, Inc. Attention: Sharon K. Powell, PhD Manager, Regulatory Affairs 1701 Harbor Bay Parkway Alameda, CA 94502

Dear Dr. Powell:

We acknowledge receipt of your Investigational New Drug Application (IND) submitted under section 505(i) of the Federal Food, Drug, and Cosmetic Act. Please note the following identifying data:

IND Number Assigned: 71,371

Sponsor:

Peninsula Pharmaceuticals, Inc.

Name of Drug:

PPI-0903

Date of Submission:

December 10, 2004

Date of Receipt:

December 13, 2004

As sponsor of this IND, you are responsible for compliance with the Federal Food, Drug, and Cosmetic Act and the implementing regulations (Title 21 of the Code of Federal Regulations). Those responsibilities include (1) reporting any unexpected fatal or life-threatening adverse experience associated with use of the drug by telephone or fax no later than 7 calendar days after initial receipt of the information [21 CFR 312.32(c)(2)]; (2) reporting any adverse experience associated with use of the drug that is both serious and unexpected in writing no later than 15 calendar days after initial receipt of the information [21 CFR 312.32(c)(1)]; and (3) submitting annual progress reports [21 CFR 312.33].

As required by the Food and Drug Modernization Act and the Best Pharmaceuticals for Children Act, you are also responsible for registering certain clinical trials involving your drug product in the Clinical Trials Data Bank (<a href="http://clinicaltrials.gov">http://prsinfo.clinicaltrials.gov</a>/). If your drug is intended for the treatment of a serious or life-threatening disease or condition and you are conducting clinical trials to test its effectiveness, then you must register these trials in the Data Bank. Although not required, we encourage you to register effectiveness trials for non-serious diseases or conditions as well as non-effectiveness trials for all diseases or conditions, whether or not they are serious or life-threatening. Additional information on registering your clinical trials, including the required and optional data elements and the FDA Draft Guidance for Industry, "Information Program on Clinical Trials for Serious or Life-Threatening Diseases and Conditions," is available at the Protocol Registration System (PRS) Information Site <a href="http://prsinfo.clinicaltrials.gov/">http://prsinfo.clinicaltrials.gov/</a>.

Please forward all future communications concerning this IND in triplicate, identified by the above IND number, to either one of the following addresses:

U.S. Postal Service:

Food and Drug Administration
Center for Drug Evaluation and Research
Division of Anti-Infective Drug Products, HFD-520
Attention: Division Document Room
5600 Fishers Lane
Rockville, Maryland 20857

Courier/Overnight Mail:

Food and Drug Administration
Center for Drug Evaluation and Research
Division of Anti-Infective Drug Products, HFD-520
Attention: Division Document Room
9201 Corporate Blvd.
Rockville, Maryland 20850

If you have any questions, call Judit Milstein, Regulatory Health Project Manager, at (301) 827-2207.

Sincerely,

{See appended electronic signature page}

Frances LeSane
Chief, Project Management Staff
Division of Anti-Infective Drug Products
Office of Drug Evaluation IV
Center for Drug Evaluation and Research

This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.

/s/

Frances LeSane 3/3/05 10:47:21 AM



# **EXHIBIT I**



30 June 2005

Janice Soreth, M.D.
Division Director, Division of Anti-Infective Products
Food and Drug Administration
Center for Drug Evaluation and Research
Division of Anti-Infective Products, HFD-520
Attn: Division Document Room
9201 Corporate Blvd
Rockville, MD 20850

RE: IND 71, 371 PPI-0903

Other: Transfer of IND Sponsorship

Serial Number: 014

Dear Dr. Soreth,

As of June 30, 2005, all rights to and responsibilities for IND 71, 371 are transferred from Peninsula Pharmaceuticals, Inc. to Cerexa, Inc. Cerexa has been given a complete copy of the original IND (submitted on 10 December 2004), all subsequent amendments and correspondence. The name, address, and telephone/facsimile number for the Cerexa contact for the IND are as follow:

Mary O'Hara-Zimmerman Acting Head of Regulatory Affairs Cerexa, Inc. 1751 Harbor Bay Parkway

Alameda, CA 94502 TEL: 510-747-3900

FAX: 510-747-3940

Sincerely,

Sharon K. Powell, Ph.D. Manager, Regulatory Affairs

Peninsula Pharmaceuticals, Inc.

Office: 510-747-3918

Facsimile: 510-747-3940

#### **DEPARTMENT OF HEALTH AND HUMAN SERVICES** Form Approved: OMB No. 0910-0014. Expiration Date: January 31, 2006 PUBLIC HEALTH SERVICE FOOD AND DRUG ADMINISTRATION See OMB Statement on Reverse. **INVESTIGATIONAL NEW DRUG APPLICATION (IND)** NOTE: No drug may be shipped or clinical (TITLE 21, CODE OF FEDERAL REGULATIONS (CFR) PART 312) investigation begun until an IND for that investigation is in effect (21 CFR 312.40). 1. NAME OF SPONSOR 2. DATE OF SUBMISSION Peninsula Pharmaceuticals, Inc. 06/30/05 3. ADDRESS (Number, Street, City, State and Zip Code) 4. TELEPHONE NUMBER (Include Area Code) 1751 Harbor Bay Parkway 510-747-3918 Alameda, CA 94502 5. NAME(S) OF DRUG (Include all available names: Trade, Generic, Chemical, Code) 6. IND NUMBER (If previously assigned) PPI-0903, or: 71,371 ((6R,7R)-7-(((2Z)-(ethoxyimino)-2-(5-(phosphonoamino)-1,2,4-thiadiazol-3-yl)acetyl)amino)-3-((4-(1-methyl-4pyridiniumyl)-1,3-thiazol-2-yl)sulfanyl)-8-oxo-5-thia-1azabicyclo[4.2.0]oct-2-ene-2-carboxylate, acetic acid solvate, monohydrate 7. INDICATION(S) (Covered by this submission) Serious bacterial infections 8. PHASE(S) OF CLINICAL INVESTIGATION TO BE CONDUCTED: PHASE 1 PHASE 2 PHASE 3 OTHER 9. LIST NUMBERS OF ALL INVESTIGATIONAL NEW DRUG APPLICATIONS (21 CFR Part 312), NEW DRUG OR ANTIBIOTIC APPLICATIONS (21 CFR Part 314), DRUG MASTER FILES (21 CFR Part 314.420), AND PRODUCT LICENSE APPLICATIONS (21 CFR Part 601) REFERRED TO IN THIS APPLICATION. 10. IND submission should be consecutively numbered. The initial IND should be numbered "Serial number: 0000." The next submission (e.g., amendment, report, or correspondence) SERIAL NUMBER should be numbered "Serial Number: 0001." Subsequent submissions should be numbered consecutively in the order in which they are submitted. 11. THIS SUBMISSION CONTAINS THE FOLLOWING: (Check all that apply) INITIAL INVESTIGATIONAL NEW DRUG APPLICATION (IND) RESPONSE TO CLINICAL HOLD PROTOCOL AMENDMENT(S): INFORMATION AMENDMENT(S): IND SAFETY REPORT(S): NEW PROTOCOL CHEMISTRY/MICROBIOLOGY INITIAL WRITTEN REPORT CHANGE IN PROTOCOL PHARMACOLOGY/TOXICOLOGY FOLLOW-UP TO A WRITTEN REPORT NEW INVESTIGATOR CLINICAL RESPONSE TO FDA REQUEST FOR INFORMATION ANNUAL REPORT GENERAL CORRESPONDENCE REQUEST FOR REINSTATEMENT OF IND THAT IS WITHDRAWN, OTHER Transfer of IND Sponsorship INACTIVATED, TERMINATED OR DISCONTINUED (Specify) **CHECK ONLY IF APPLICABLE** JUSTIFICATION STATEMENT MUST BE SUBMITTED WITH APPLICATION FOR ANY CHECKED BELOW. REFER TO THE CITED CFR SECTION FOR FURTHER INFORMATION. TREATMENT IND 21 CFR 312.35(b) TREATMENT PROTOCOL 21 CFR 312.35(a) CHARGE REQUEST/NOTIFICATION 21 CFR312.7(d) FOR FDA USE ONLY CDR/DBIND/DGD RECEIPT STAMP DDR RECEIPT STAMP DIVISION ASSIGNMENT: IND NUMBER ASSIGNED:

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•	2. Table of Contents [21 CFR 312.23(a							
ı	3. Introductory statement [21 CFR 312.							
	4. General Investigational plan [21 CFF	· · · · · ·						
	5. Investigator's brochure [21 CFR 312]							
	6. Protocol(s) [21 CFR 312.23(a)(6)]	.23(a)(5)J	•					
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		[21 CFR 312.23(a)(6)]						
	D. investigator data (24	21 CFR 312.23(a)(6)(iii)(b)] or completed	Form(s) FDA 1572					
	☐ c. Facilities data [21	CFR 312.23(a)(6)(iii)(b)] or completed Fo	orm(s) FDA 1572					
	☐ a. institutional Review	w Board data [21 CFR 312.23(a)(6)(iii)(b)	or completed Form(s) FDA 1572					
	7. Chemistry, manufacturing, and contro							
	☐ Environmental asses	ssment or claim for exclusion [21 CFR 31	2.23(a)(7)(iv)(e)]					
	8. Pharmacology and toxicology data [2							
	9. Previous human experience [21 CFR							
-	10. Additional information [21 CFR 312.2	?3(a)(10)]						
	13. IS ANY PART OF THE CLINICAL STUDY TO BE CO	13. IS ANY PART OF THE CLINICAL STUDY TO BE CONDUCTED BY A CONTRACT RESEARCH ORGANIZATION?   YES  NO						
	IF YES, WILL ANY SPONSOR OBLIGATIONS BE TRANSFERRED TO THE CONTRACT RESEARCH ORGANIZATION? 🛛 YES 🔲 NO							
L	IF YES, ATTACH A STATEMENT CONTAINING THE IDENTIFICATION OF THE CLINICAL STUDY, AND A	A LISTING OF THE OBLIGATIONS TRANSFERRED	D.					
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	Sr. Director, Drug Safety							
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proposed clinical investigation. I agree to conduct the investigation in accordance with all other applicable regulatory requirements.								
	16. NAME OF SPONSOR OR SPONSOR'S AUTHORIZE	0.0101.2.01	F SPONSOR OR SPONSOR'S AUTHORIZED					
	REPRESENTATIVE Sharon Powell, Ph.D.	REPRESENTAT	rive					
	Manager, Regulatory Affairs	M	1/a, 1/a, 1/					
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	<ol> <li>ADDRESS (Number, Street, City, State and Zip Code)</li> <li>1751 Harbor Bay Parkway</li> </ol>	1	NUMBER (Include Area Code) 20. DATE					
	Alameda, CA 94502		918 office 06/30/05					
		310 /4/ 3	940 Tacsimile					
(WARNING: A willfully false statement is a criminal offense. U.S.C. Title 18, Sec. 1001.)								
Public reporting burden for this collection of information is estimated to average 100 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data conditions.								
searching existing data sources, gathering and maintaining the data needed, and completing reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to:								
	od and Drug Administration	Food and Drug Administration	"An agency may not conduct or sponsor, and a					
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	Rockville, MD 20852-1448	12229 Wilkins Avenue Rockville, MD 20852	collection of information unless it displays a currently valid OMB control number."					

# **EXHIBIT J**



Food and Drug Administration Rockville, MD 20857

IND 71,371

Cerexa, Inc. Attention: Mary O'Hara-Zimmerman Acting Head, Regulatory Affairs 1751 Harbor Bay Parkway Alameda, CA 94502

Dear Ms O'Hara-Zimmerman:

Please refer to your Investigational New Drug Application (IND) submitted under section 505(i) of the Federal Food, Drug, and Cosmetic Act for PPI-0903.

Reference is also made to the June 30, 2005; submission notifying us that the rights and responsibilities for this IND have been transferred from Peninsula Pharmaceuticals, Inc. to Cerexa, Inc.

Your submission contains all the information required to complete the change in sponsorship. Our files will be updated to list Cerexa, Inc. as the sponsor of this IND.

As sponsor of this IND, you are responsible for compliance with the Federal Food, Drug, and Cosmetic Act and the implementing regulations (Title 21 of the Code of Federal Regulations). Those responsibilities include (1) reporting any unexpected fatal or life-threatening adverse experience associated with use of the drug by telephone or fax no later than 7 calendar days after initial receipt of the information [21 CFR 312.32(c)(2)]; (2) reporting any adverse experience associated with use of the drug that is both serious and unexpected in writing no later than 15 calendar days after initial receipt of the information [21 CFR 312.32(c)(1)]; and (3) submitting annual progress reports [21 CFR 312.33].

If you have any questions, call Carmen DeBellas, Project Manager, at 301-827-2125.

Sincerely, {See appended electronic signature page}

Frances Le Sane
Chief, Project Management Staff
Division of Anti-Infective and Ophthalmology
Products, HFD-520
Office of Drug Evaluation IV
Center for Drug Evaluation and Research

IND 71,371 Page 2

cc: Peninsula, Pharmaceuticals, Inc Attention: Sharon K. Powell, Ph.D Manager, Regulatory Affairs 1751 Harbor Bay Parkway Alameda, CA 94502 This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.

/s/

Frances LeSane 7/14/05 05:15:06 PM

# **EXHIBIT K**



A subsidiary of Forest Laboratories, Inc.

30 December 2009

Food and Drug Administration Center for Drug Evaluation and Research Electronic Document Room 5901-B Ammendale Road Beltsville, MD 20705-1266

ATTN:

Wiley Chambers, M.D.

Director (Acting)

Division of Anti-Infective and Ophthalmology Products (DAIOP)

10903 New Hampshire Avenue Building WO22, Room 6366 Silver Spring, MD 20993

RE:

NDA 200327, APTARIN™, Ceftaroline Fosamil for Injection

Original Submission for a Prescription Drug Product

Dear Dr. Chambers:

Cerexa, Inc. a wholly-owned subsidiary of Forest Laboratories, Inc, hereby submits an original New Drug Application (NDA) in the eCTD format for APTARIN<sup>TM</sup>, Ceftaroline Fosamil for Injection, 400mg and 600mg, pursuant to the requirements of section 505(b)(l) of the Federal Food, Drug, and Cosmetic Act (act), Section 314.50 of the United States Code of Federal Regulations (CFR) and supporting Food and Drug Administration guidelines.

Please note that throughout this NDA, the Sponsor may be referred to as Cerexa, Inc. or Forest Laboratories, Inc. Both Cerexa, Inc. and Forest Laboratories, Inc. are considered interchangeable for review purposes.

APTARIN is being developed by Cerexa, Inc and Forest Laboratories, Inc for the treatment of complicated skin and skin structure infection (cSSSI) and community-acquired bacterial pneumonia (CABP) caused by designated susceptible bacteria. Cerexa has conducted an extensive clinical program with APTARIN under IND 71,371, during which there have been a number of discussions and agreements reached with the Agency (see Module I, Section 1.6.3). The clinical development program for APTARIN is comprised of 17 clinical studies in which a total 1706 healthy subjects and patients, including adults, adolescents, subjects with mild, moderate, and severe renal impairment, end-stage renal disease (ESRD) receiving hemodialysis, and subjects with cSSSI and CABP, have been exposed to APTARIN. Across these studies, the data demonstrate that APTARIN is an effective, safe, and well-tolerated treatment for cSSSI and CABP.

As a preface to the NDA 200327 submission, this cover letter contains information regarding the following:

- Submission structure and data format
- Request for priority review
- Request for exclusivity
- Request for trade name approval
- ECG Datasets
- The 4-Month Safety Update

### **Submission Structure and Format**

The structure of this submission is based on the eCTD format in accordance with the "Final Guidance for Industry: Providing Regulatory Submissions in Electronic Format--Human Pharmaceutical Applications and Related Submissions Using the eCTD Specifications" October 2005 and according to specifications provided in "ICH M2 EWG Electronic Common Technical Document Specification -- ICH eCTD Specification V3.2.2 16-July-2008"

The size of the electronic submission is approximately 10.8 gigabytes. The eCTD is provided through FDA's Electronic Submissions Gateway system. All files in this electronic submission have been verified to be virus free as of December 29, 2009 by the following antivirus program:

Software: McAfee VirusScan Enterprise 8.7.0.570

The raw data adheres to the CDISC Study Data Tabulation Model (STDM). The SDTM datasets were prepared in accordance with the SDTM Implementation Guide for Human Clinical Trials version 3.1.1 (SDTMIG 3.1.1).

As agreed with Agency, Module 5 Integrated Summaries of Efficacy (ISE) and Module 2 Summary of Clinical Efficacy (SCE) are presented per indication: one for cSSSI and one for CABP respectively. The NDA also includes one Integrated Summary of Safety (ISS) report that consist of both cSSSI and CABP indications.

# Request for Priority Review

As stipulated in the FDA Manual of Policies and Procedures (CDER, Office of New Drugs, MAPP 6020.3) Cerexa believes APTARIN is eligible for priority review. A formal request for priority review designation for APTARIN is provided in Module 1, Section 1.7.1.

## **Request for Exclusivity**

Pursuant to 21 CFR 314.50(j) and with reference to 21 CFR 314.108 (b)(2), Cerexa is requesting exclusivity for APTARIN. A formal request for exclusivity is provided in Module 1, Section 1.3.5.3.

# Request for Trade Name Approval

For NDA 200327, Cerexa is proposing APTARIN as the primary proprietary name. Cerexa will submit the proprietary name for review according to the Guidance for Industry "Contents of a Complete Submission for the Evaluation of Proprietary Names" Draft Guidance November 2008, after submission of the NDA.

## The ECG Datasets

Reference is made to an October 8, 2009 email from Carmen DeBellas, Pharm D. RPh., Project Manager, Division of Anti-Infective and Ophthalmology Products, Center for Drug Evaluation and Research. It was agreed that the annotated electrocardiograms (ECG) datasets for Cerexa's "thorough QT/QTc study" P903-05 will be accessible to the FDA after the submission of the NDA. FDA will be able to access the annotated ECG dataset through the Mortara E-Scribe ECG Warehouse.

# The 4-Month Safety Update

Cerexa shall, under section 505(i) of the act and 21 CFR 314.50(d)(5)(vi), update its pending application with new safety information learned about the drug that may reasonably affect the statement of contraindications, warnings, precautions, and adverse reactions in the draft labeling. Cerexa shall submit a safety update report four months after the initial submission. Prior to the submission of the safety update report, Cerexa will consult with FDA regarding further details on its form and content.

Please be advised that material and data contained in this submission are confidential. The legal protection of such confidential material is hereby claimed under applicable provisions of 18 U.S.C., Section 1905 or 21 U.S.C. Section 331 (J).

The primary Regulatory Affairs contact for this NDA is Steffany Gaffagan, Sr. Manager Regulatory Affairs: telephone 510-285-9220, facsimile 510-285-9482, and e-mail sgaffagan@cerexa.com. The technical contact for matters concerning the operation and navigation of the eCTD is Wendy Gill, Assistant Director, Regulatory Affairs: telephone 201-386-2125, facsimile 201-524-9711, and e-mail wendy.gill@frx.com. If there are any questions with respect to this submission, please contact me or either of my colleagues.

Kind Regards,

Bruce Lu, RPh, RAC

Sr. Director, Regulatory Affairs

Solulary lu

telephone 510-285-9325 facsimile 510-285-9482

e-mail blu@cerexa.com

# **EXHIBIT L**

# Interactions with the FDA

Date of meeting/	Program	Description of meeting/correspondence
correspondence		
10 December 2004	Phase 1 cSSSI	Original IND submitted for P903-02
5 April 2005	Phase 1 cSSSI	Division comments on IM study, age and gender
05 August 2005	Phase I and 2 cSSSI	Division comments on protocol P903-01, -02, -03 and general
		comments on overall program (elderly, drug-drug interaction)
28 February 2006	cSSSI indication	Division grants Fast Track designation for cSSSI
24 October 2006	Phase 3 CABP, cSSSI	Teleconference - EOP2 Meeting
07 February 2007	Phase 3 cSSSI	Division comments on NI justification
15 March 2007	Phase 3 CABP	Division comments on CABP SPA
01 June 2007	Phase 3 CABP, cSSSI	Face-to-face meeting cancelled. Division's comments to
		questions posed in meeting request via email.
		Division's recommendation to revise P903-08 to allow only 24
		hours of adjunctive clarithromycin with no option for oral switch;
		the acceptance of NI margin justification deferred
07 June 2007	Phase 3 CABP	Final clinical and statistical comments on study design (not
		including NI margin)
31 July 2007	Phase I ECG	Division's comments on ECG study P903-05
11 September 2007	Phase 3 CABP	Division comment on NI margin
02 November 2007	Phase 3 CABP	Teleconference - Type A meeting with Division regarding the
		P903-08 and P903-09 protocol design
08 January 2009	Phase 3 CABP	FDA comments on P903-08 and P903-09 CABP SAP
09 April 2009	Phase 3 cSSSI	FDA comments on P903-06 and P903-07 cSSSI SAP
07 July 09	all clinical and nonclinical	Face-to-face - Type B clinical and preclinical preNDA meeting
	studies	
22 July 2009	CMC	Face-to-face - Type B CMC preNDA meeting
12 December 2009	Phase 3 cSSSI	Teleconference - Discussion on P903-06 sample datasets
01 June 2010	Phase 3 CABP, cSSSI	Teleconference - Discussion on sensitivity analysis
07 September 2010	CABP, cSSSI indication	AC meeting for CABP and cSSSI
15 October 2010	Label and Phase 4	Teleconference - Discussion with Division on Ceftaroline label
		negotiation and Post market commitment and Post market
		requirement
27 October 2010	Label and Phase 4	Teleconference - Discussion with Division on Ceftaroline label
		negotiation and Post market commitment and Post market
		requirement
29 October 2010	NDA 200327 action letter	Received action letter

# Clinical Studies: First Subject Enrolled and Last Subject/Last Visit

Study	First Subject Enrolled	Last Subject/Last Visit
	(study initiation)	(completion of the study)
	Phase 1 Study	
P903-01	12 May 2004	13 Sep 2004
P903-02	11 Feb 2005	28 Feb 2006
P903-04	28 Apr 2007	06 Jun 2008
P903-05	13 Jun 2008	01 Aug 2008
P903-11	18 Feb 2008	25 Jun 2008
P903-13	09 Jan 2008	07 Feb 2008
P903-14	02 Oct 2008	03 Dec 2008
P903-15	29 Apr 2008	12 Feb 2009
P903-17	21 Jun 2007	27 Aug 2007
P903-18	18 Oct 2007	31 Jan 2008
P903-20	10 Nov 2007	23 Dec 2007
	Phase 2 Study	
P903-03	14 Oct 2005	10 May 2006
	Phase 3 Study	
P903-06	27 Feb 2007	07 Nov 2007
P903-07	01 Mar 2007	19 Dec 2007
P903-08	02 Jan 2008	29 Dec 2008
P903-09	04 Jul 2007	27 Aug 2008

# Ceftaroline IND

71, 371

# IND CORRESPONDENCE LOG

11, 071				
Date of	Book			j
Correspondence	No.	Communication Type	Description	Protocol
15-Dec-04	A	General	Fax: PPI to FDA:IND coverletter	1 1010001
13-Jan-05	A	General	Change IND Contact	
			Comments on original IND Clinical,	<u> </u>
. '			Pharmacology, Chemistry, Micro See #013	
14-Jan-05	A	Response/Comments	for response.	
24-Jan-05	A	General	Request official notification of IND receipt.	
24-Jan-05a	Α	Response/Comments	OK to proceed with Ph1 study.	
			Confirmation that record of contact for	
<u>25-Jan-05</u>	A	General	24Jan2005 TC was accurate.	
03-Mar-05	A	FDA Letter	Acknowledging receipt of IND	
l			Clarify correct IND mailing address, request	
			comments from FDA on study P903-03,	
<u>10-Mar-05</u>	A	Response/Comments	request "official" comments from FDA.	
10 ,,,,,,			Official comments on original IND	
			submission previously sent on 14 Jan 2005	
08-Apr-05	Α	FDA Letter	See #013 for response.	Original IND
11-Apr-05	A	General	Request status of comments on P903-03	P903-03
11/10/00	<u> </u>	Certeral	Comments on original IND submission	1 505-05
			previously sent on 14 Jan 2005 See #013	
11-Apr-05-a	Α	FDA Letter	for response.	Original IND
11-Api-00-a		DALetter	Request for in vitro genotox study with	Original IND
			metabolite, 3-mo tox study-See #021 for	
<u>18-Apr-05</u>	Α	Response/Comments	response.	
10-Apt-03		Nesponse/Comments	response.	
			Comments on P903-03 (CNS exclusion,	
			choice of comparators, screening window,	
			clinical failures, Coombs test, extension of	
			tx, causality assessment, SAE F/U,	
02 M= 05		   Doomonoo/Co	microbiologic endpoints and assessment of	D000 00
<u>03-May-05</u>	Α	Response/Comments	efficacy)-See #021 for response.	P903-03
			Clarification of number of ECG evaluations	ļ
			P903-02. Confirmed that baseline ECG	
44 1 1 05	أيرا	0	recordings be in triplicate, subsequent	D000 00
11-Jul-05	A	General	recordings are single.	P903-02
<u>14-Jul-05</u>	Α	General	Re-sending email of 03 May 05	
			Introduction to PM at FDA, request	
			understanding of FDA forms of	
<u>14-Jul-05a</u>	Α	General	communication and documentation	
			Re-sending email of 18 April 05. May	
<u>14-Jul-05b</u>	Α	General	resend comments as "Advice Letter."	
			Acknowledge change of IND Sponsorship	
<u>14-Jul-05c</u>	Α	FDA Letter	from Peninsula to Cerexa.	

				Comments on P903-03(previously emailed); in vitro genotox study (previously	
				emailed); New comment on Micro. Manual	
				and Gram stain procedure. See #021 for	İ
•	05-Aug-05	ΙA	FDA Letter	response	P903-03; IND
				Informed Division of forthcoming	
				submissions (P903-03 final protocol, initial	
	8-Sep-05	Α	General	investigator, response letter)	
$\vdash$				The second secon	l
				email PDF copy of #019 (P903-03	
1				Protocol); #020 (P903-03 New Investigator);	
	12-Sep-05	Α	General	#021 (Response to FDA Letter)	P903-03; IND
Г	3-Oct-05	Α	General	PDF copy of #019 (P903-03 Protocol)	P903-03
$\vdash$				Forwarding electronic copy of #028:	
1	6-Jan-06	Α	General	Request for Fast Track Destination	
Г				Confirm receipt of Fast Track Designation	
ı	12-Jan-06	Α	FDA Letter	Submission	
				Fast Track Designation Granted for PPI-	
1	28-Feb-06	Α	FDA Letter	0903 for cSSSI (including MRSA)	
$\vdash$					
				Requesting FDA feedback on proposed	Phase 3
1	14-Jun-06	A	General	content of EOP2 mtg and development plan	
				Response to 14 June 05 email: cSSSI	Phase 3
		<u>.</u>		protocol be submitted as part of EOP2	Development;
	30-Jun-06	Α	General	meeting package.	EOP2
$\vdash$				Forwarding End of Phase 2 Meeting	
	1-Aug-06	Α	General	Requests (#043 and #044)	EOP2
	9-Aug-06	Α	FDA Letter	EOP2 Meeting Date	
$\vdash$				FDA declined request for a CMC meeting	
1				as the information submitted was	
	21-Aug-06	Α	General	straightforward (#043)	
$\vdash$				Request to reschedule the EOP2 meeting	
1	08/21/2006a	Α	General	for the week of 16 October.	
Г				Formally declining CMC meeting request.	
1	22-Aug-06	Α	FDA Letter	FDA states meeting is unnecessary.	
				Confirm new date of 24Oct06 for EOP2	
	25-Aug-06	Α	FDA Letter	meeting.	
		<u> </u>		Clarification of EOP2 BB submission due	
1	1-Sep-06	Α	General	date	
				Email: FDA to Cerexa: send electronic copy	
	<u>19-Sep-06</u>	Α	General	of P3 cSSSI protocol	
	···			CMC question will not be answered at	
	25-Sep-06	Α	General	clinical EOP2 mtg	
$\vdash$	19-Oct-06	Α	General	EOP2 mtg logistics	
厂				FDA response to EOP2 questions, provide	
	20-Oct-06	Α	Response/Comments	draft micro guidance document	
H				Request for clarification on EOP2	
				responses. Attachment FDA Response to	
	20-Oct-06a	ı	Response/Comments	Cerexa Questions EOP2	

	T		Request for election on FORS	T
			Request for clarification on EOP2	
20 O-4 06h	١,	Bannana/Camananta	responses. Attachment Clinical	ľ
20-Oct-06b	Α	Response/Comments	Comments from EOP2	
			Request for clarification on EOP2	
	١.		responses. Attachment Antibacterial Drug	Ī
<u>20-Oct- 06c</u>	A	Response/Comments	Development Guidance	
			Response to clarification, draft EOP2	,
<u>23-Oct-06</u>	Α	Response/Comments	Agenda	
23-Oct-06a	Α	General	Change EOP2 mtg to telecom	
	ļ		Internal Executive Summary of the EOP2	
			teleconference with FDA held on 24	
<u>27-Oct-06</u>	Α	Cerexa Meeting Minutes		
<u>3-Nov-06</u>	Α	General	Response to EOP2 comments delayed	
<u>14-Nov-06</u>	Α	General	Submission logistics for TET Protocol	P903-05
			Microbiology Comments for P903-06 and -	1
16-Nov-06	Α	Response/Comments	07	
			Official FDA meeting minutes of	
21-Nov-06	Α	Meeting Minutes	teleconference held 24Oct06	EOP2
		<u> </u>	References requested for cSSSI NI margin	20.2
18-Jan-07	A	Response/Comments	justification	į
10 0411 01	<del>                                     </del>		Acknowledgement of receipt for request for	
			special Protocol Assessment for protocols	
31-Jan-07	A	FDA Letter	P903-08 and P903-09	
7-Feb-07	A	Response/Comments		<u> </u>
<u>7-Feb-07</u>	<del>  ^</del>	Response/Comments	CSSI NI margin justification	
0 5-6 07		Camaral	Clarification that 07 FEB 07 comments are	
9-Feb-07	Α	General	just clinical and stats	
<u>21-Feb-07</u>		Response/Comments	Microbiology comments from FDA	
	١.		Requesting references for CAP NI margin	
<u>9-Mar-07</u>	A	Response/Comments	justification	
			Response to questions submitted with SPA	
<u>15-Mar-07</u>	A	FDA Letter	for P903-08 and P903-09 study design	
<u>16-Mar-07</u>	<u> </u>	Response/Comments	cSSSI NI margin justification	
16-Mar-07 a		General	email providing 15-Mar-07 FDA letter	
<u>26-Mar-07</u>	Α	Response/Comments	Micro Comments EOP2	
<u>26 MAR 07a</u>	Α	General	Request CD for #067	
			Meeting logistics to discuss cSSSI and CAP	
26 MAR 07b	Α	General	NI margin justification	
			Seeking advice how to proceed with "cSSSI	
			risk" statement, possible conversation with	
26 APR 07 b	Α	General	Dr. Soreth	
			Type A Meeting scheduled for June 7, 2007	
			(to discuss cSSSI and CAP NI margin	
27-Apr-07	Α	FDA Letter	justification)	
<u> </u>	<del>- ^`</del>	, D, LOROI	Meeting material logistics to discuss cSSSI	
21-May-07	A	General		
21-Way-01	<del>  ^</del> -	General	and CAP NI margin justification	
24 May 07-	^	Canaral	QT protocol being reviewed by QT review	
<u>21-May-07a</u>	A	General	team	
00.14 0***	۱.		Division requesting meeting to discuss	P903-06,
<u>23-May-07</u>	Α	General	07Jun07 meeting materials	P903-07

	1			
			Meeting arranged with Dr. Soreth scheduled	P903-06.
23-May-07a	A	General	to discuss 07Jun07 meeting materials	P903-07
			Division request #070 and #077 and original	
23-May-07b	A	General	NI margin justification for cSSSI	P903-07
	Î		FDA Telecon Re. Minutes from a Division-	
			requested telecom re. 07Jun07 meeting	P903-06,
23-May-07c	Α	General	material.	P903-07
			Logistics of Continuous Marketing	
01-Jun-07	Α	General	Application	
			Response to questions in Type A meeting	
			request (Regarding meeting logistics for	
<u>01-Jun-07a</u>	Α	Response/Comments	FDA (internal only) briefing	
04-Jun-07	Α	General	Possible cancellation of meeting	
05-Jun-07	Α	General	Clarification on proposed meeting	
06-Jun-07	A	General	Update on clinical comment status	
			Clinical and statistical comments on CAP	P903-08,
07-Jun-07	A	Response/Comments	SPA	P903-09
	<u> </u>			P903-08,
<u>08-Jun-07</u>	Α	General	Comments of 07 June 07 received	P903-09
18-Jun-07	A	General	Pediatric Study request logistics	P903-15
20-Jun-07	A	General	TET protocol review logistics	P903-05
21-Jun-07	A	General	Request for IB for TET review team	P903-05
21-Jun-07a	A	General	Comments to the IRT group	P903-05
21-0411-074		Concrai	Request for SAP for TET protocol for	F 903-03
21 JUN 07 b	Α	General	review team	P903-05
<u> 21 3014 07 0</u>	<del>  ^</del>	General	Teview team	P903-05
			Meeting Minutes from telephone call on 23	P903-00,
			May 2007 re: meeting material NI for	P903-07,
22-Jun-07	Α	Meeting Minutes	cSSSI, CAP study design and objective	P903-06,
07-Jul-07	Â	General	One IND for both IV and IM	P903-09
	A	General	Enrollment status for CAP	
<u>18-Jul-07</u>	_^	General		
24 1.4 07	١,	Bear and a Comments	TET comments from Division and IRT	B000 05
31-Jul-07	A	Response/Comments	group	P903-05
04 44- 07	] ,	Camanal	Clarification on comment 7 of TET	D000 05
01-Aug-07	A	General	Comments	P903-05
00 4 07	,	Canaral	Request status of final comments for NI	
02-Aug-07	Α	General	margin justification for CAP	
45 Aug 07		0	Correction of submission address and	
<u>15-Aug-07</u>	Α	General	requirement for color binders	
044 07	١.	0.54	7-day alert reported to FDA-	
24-Aug-07	Α	Safety	CRXA2007000040	
			Confirmation of IND amendment	
			submission process and receipt of Pediatric	
24-Aug-07a	Α	General	Plan	
			Further discussion IND amendment	
31-Aug-07	Α	General	submission process and desk copies	
<u>11-Sep-07</u>	Α	Response/Comments	CAP NI margin justification comment	
			Request for meeting material to discuss	
25-Sep-07	Α	General	CAP NI margin comment	

	1	1		1
			Request telecom to discuss lack of PORT	
26-Sep-07	ΙA	Response/Comments	score II subjects in 11 SEP 07 comments	
26-Sep-07a	<del>l</del> A	General	Status of meeting materials	
	<del>                                     </del>		Status of meeting time to discuss PORT	
01-Oct-07	ΙA	General	score II	
05-Oct-07	A	General	Status of cSSSI SAP review	<del> </del>
<u> </u>	<del>  ``</del>		Division feels nothing further to discuss	<del> </del>
	ŀ		regarding CAP NI margin and PORT score	
09-Oct-07	A	General	II	
11-Oct-07	A	General	Status of Pediatric plan review	
11-000-07	<del>  ^</del>	Ceneral	Teleconference (02 NOV 07) to discuss	
15-Oct-07	A	General	CAP NI margin and PORT score II	
13-0¢[-07	<del>  ^</del>	General	Re-submit #140 meeting material for 02	<u> </u>
20 Oct 07	A	General	NOV 07 teleconference	
30-Oct-07	<del>  ^</del>	General	Meeting logistics for 02 NOV 07	
20 Oct 07c	١,	Conorol	teleconference	
<u>30-Oct-07a</u>	A	General		
02 Nav. 07	١,	Canada	Re-submit #132 as this submission could	
<u>02-Nov-07</u>	A	General	not be located at Division	ļ
00 Nav. 07a	١,	0	Cerexa posed questions and our expected	
<u>02-Nov-07a</u>	A	General	responses	ļ
	١.	l	Informing Division that call is activated as	
<u>02-Nov-07b</u>	I A	General	they had not called in yet	
			Executive Summary, type A telecon for	
<u> </u>			CAP (PORT score, NI margin, SPA)	}
<u>02-Nov-07c</u>	A	Meeting Minutes	(internal minutes - not submitted to FDA)	
			Requesting advice on next steps for CAP	1
	1		studies (attachment: refer to 15 Mar 07	
<u>13-Nov-07</u>	A	General	FDA letter)	L
	1		Will address concern regarding CAP	
			studies with Dr. Chambers. FDA 02 NOV	
<u>14-Nov-07</u>	Α	General	07 meeting attendee list	
<u>14-Nov-07a</u>	Α	General	SPA questions	
<u>20-Nov-07</u>	Α	General	Request status of Pediatric plan review	
<u>26-Nov-07</u>	Α	General	Pediatric plan review still pending	
			Clarification of meeting attendee: Joseph	
<u>26-Nov-07a</u>	Α	General	Toerner	
	l		Requesting status of neeting minutes from	P903-08,
<u>02-Jan-08</u>	Α	General	the Type A telecon on 02 November 2008	P903-09
			Email notifying late safety report for P903-	
<u>03-Jan-08</u>	A	Safety	09 (serial#163)	P903-09
			Requesting additional comments on P903-	
<u>15-Jan-08</u>	Α	Response_comments	05 QTc study	P903-05
29-Jan-08	A	Response_comments	P903-05 can move forward	P903-05
	1		Question regarding ClinicalTrials.gov and	
06-Feb-08	Α	General	Form FDA 3674	
	<del>                                     </del>		Question regarding stage of protocol that	
			should be submitted if submitting under a	P903-08,
12-Feb-08	Α	General	Special Protocol Assessment (SAP)	P903-09
12 100 00	<del></del>	1	Tabassas Location (Control of the Control	1. 000 00

			7-day alert reported to FDA-	
<u>12-Feb-08a</u>	Α	Safety	CRXA2007000097	P903-09
			FDA request timing of the Ceftaroline NDA	
<u>13-Feb-08</u>	Α	General	filing	
			Cerexa submitted questions regarding a	
			proposed Phase 3 study in nosocomial	
			pneumonia and received FDA comments.	
			Question posed by Cerexa include 1) since	
			Cerexa is doing two phase 3 studies in	
			CAP, would a single NP study be adequate	
			and 2) is our proposed primary outcome	
<u>17-Mar-08</u>	Α	Response/Comments	measures acceptabled	P903-10?
			Cerexa would like to submit a Type C	
			meeting for HAP/NP and would like to know	
			if it is acceptable for Cerexa to discuss	
			FDA's informal feedback (17Mar08) in the	
<u>01-Apr-08</u>	Α	General	meeting package.	P903-10?
			Chemists comments on the Annual Report.	
			Requesting DMF number for ABL once it is	
<u>01-Apr-08a</u>	Α	General	available.	Annual Report
			7-day alert reported to FDA-	
<u>16-May-08</u>	Α	Safety	CRXA2008000150	P903-09
			Request meeting minutes from the 02	
			Novemeber Type A Teleconference	P903-08,
<u>20-May-08</u>	Α	General	regarding Cerexa's CAP studies	P903-09
			Informed Carmen that we have not	- "
			received the meeting minutes for the 02	P903-08,
<u>21-May-08</u>	Α	General	November 2007 Type A teleconference	P903-09
			7-day alert reported to FDA-	
<u>28-May-08</u>	Α	Safety	CRXA2008000156	P903-08
			Informed Carmen D. that we did not receive	
			comments on the Skin SAP submitted in	
		]	October (Serial # 129) and that we will be	P903-06,
<u>28-May-08a</u>	Α	General	submitting an Amendment	P903-07
			P903-06 and P903-07; Emailed Carmen	P903-06,
<u>18-Jun-08</u>	Α	General	DeBellas the Phase 3 cSSSI Press Release	
				P903-06,
<u>19-Jun-08</u>	В	General	Call Carmen about Press Release	P903-07
				_
			7-day safety for P903-08 CRXA2008000179	
			subject 2034-08238/AJC Unknown Sudden	
<u>3-Jul-08</u>	В	Safety	Death [Sudden death]	P903-08
	_		Request for 02-Nov-07 Type A Meeting	P903-08,
<u>7-Jul-08</u>	В	General	Minutes	P903-09
	_	<b>_</b>	Request status of 02-Nov-07 Type A	P903-08,
10-Jul-08	В	General	Meeting Minutes	P903-09
<u>22-Jul-08</u>	В	Safety	7-day safety report	P903-08
	_	<b>.</b> .	Email response to FDA's inquiry of when we	
<u>28-Jul-08</u>	В	General	plan to submit the NDA for ceftaroline.	

· · · · · · · · · · · · · · · · · · ·		1	Request status of 02-Nov-07 Type A	P903-08,
21-Aug-08	В	General	Meeting Minutes	P903-09
			Ensuring communication with the FDA has	ĺ
17-Sep-08	В	General	only occurred with Cerexa and not Forest	
<u>,, oop co</u>			FDA meeting minutes from November 2,	P903-08,
19-Sep-08	В	Meeting Minutes	2007 Type A meeting.	P903-09
10-0cp-00		Meeting minutes	Official copy of minutes from Nov. 2, 2007	P903-08,
19-Sep-2008a	В	Meeting Minutes	Type A meeting (mailed).	P903-09
25-Sep-08	В	General	Placement of ISS and ISE in eCTD	eCTD
23-3ep-00		General	1) Status of P-903-15 protocol amendment,	010
			2) Submission of P903-05 SAP, and 3)	P903-15,
			Status of ISS/ISE placement in eCTD	P903-05 and
00 0 00	В	General	· ·	eCTD
<u>26-Sep-08</u>	В	General	response.  Carmen DeBellas requested submission	ecin
			numbers. Cerexa response of outstanding	
4.0.1.00	٦	0	litems.	D002.45
<u>1-Oct-08</u>	В	General	NOO/	P903-15
			FDA response on the placement of the	
<u>7-Oct-08</u>	В	Response/Comments	ISS/ISE in the eCTD	
	_	l	P903-15 Notifying FDA that we are	
<u>15-Oct-08</u>	В	General	implementing amendment to protocol	P903-15
<u>20-Nov-08</u>	В	Safety	7-Day Safety Report	P903-08
			FDA comments on P903-08 and P903-09	P903-08,
<u>8-Jan-09</u>	В	Response_Comments_	SAP amendment 1 (re. SN264 and 265)	P903-09
		1	Enquiring about separate CMC Type B	İ
	l		meeting. New contact Jeannie David, CMC	
<u>12-Jan-09</u>	В	General	project manager	N/A
			Email to Carmen DeBellas inquiring if	
			Cerexa should expect comments on the	P903-06,
<u>13-Jan-09</u>	В	General	cSSSI SAP.	P903-07
			Providing Digital ECG Data to the ECG	
22-Jan-09	В	General	warehouse	P903-05
			Cerexa-RA contacted Carmen DeBellas to	
			ask for clarification regarding the request	
3-Feb-09	В	General	for pre-NDA process.	N/A
			Cerexa contacted Carmen DeBelllas	
			regarding placement and submission of	
05-Feb-09	В	General	microbiology reports	N/A
<u> </u>			Cerexa contacted Carmen DeBelllas re. a 7	
17-Feb-09	В	Safety	Day Safety Report	P903-19
17 7 00 00	┪	100.00	Status of P903-06 and P903-07 SAP	P903-06.
24-Feb-09	В	General	Reviewers Comments	P903-07
24-Mar-09	В	General	New Guidance for CAP	
27-IVIQI-U3	"	Contoral	cSSSI SAP Comments. Acknowledge	<del>                                     </del>
			receipt of change of address status of pre-	1
24 84 00	٦ ا	Conoral		1
<u>31-Mar-09</u>	В	General	NDA meeting letter.	
04.84 00	_	EDA Letter	meeting granted for Type B clinical	<sub>N1/A</sub>
<u>31-Mar-09</u>	В	FDA Letter	/nonclinical preNDA	N/A
	_		CMA pre-NDA meeting request protocol -	NDA
<u>7-Apr-09</u>	В	General	eCTD	preNDA

				P903-06,
<u>9-Apr-09</u>	В	Response_Comments	FDA comments on cSSSI SAP	P903-07
			Clarification on the Type B meeting	
	_		information and request for	preNDA, P903
<u>10-Apr-09</u>	В	General	acknowledgment of receipt of cSSSI SAP	06, P903-07
	_			P903-06,
<u>10-Apr-09 a</u>	В	General	acknowledgment of receipt of cSSSI SAP	P903-07
	_		requesting information on the CMC preNDA	
<u>13-Apr-09</u>	В	General	and project manager	preNDA
			confirming receipt of P903-05 SAP	
			amendment and realizing submission	
	_		numbering between FDA and Cerexa not	
<u>14-Apr-09</u>	В	General	matching	P903-05
	_		Called Carmen D. about problems with	
<u>14-Apr-09 a</u>	В	General	serial number not matching	
				P903-02,
				P903-03,
		·	problems with the CSR submission and	P903-13,
<u>16-Apr-09</u>	В	General	subsequent resolution	P903-17
			Contact information for Jeanie David, CMC	
			PM, information on obtaining secure	
			electronic mail exchange and	
			acknowledgment of CMC preNDA meeting	
<u> 17-Apr-09</u>	В	General	request	preNDA
			acknowledgment of receipt of CMC Type B	
22-Apr-09	В	General	meeting request	preNDA
			Time change for the nonclinical/clinical	
<u> 29-Apr-09</u>		FDA Letter	preNDA meeting in July 7, 2009	preNDA
			Contact C. DeBellas on CMC preNDA	
<u>4-May-09</u>		General	meeting request	preNDA
6-May-09	В	FDA Letter	Request for IND #	
			Information on the CMC preNDA meeting.	
			Meeting granted by phone and dates	f I
7-May-09	В	FDA Letter	determine	preNDA
<u>15-May-09</u>	В	FDA Letter	DMF no. 11321 Type III	D002.00
00.14 00		0	Confirmation of receipt of cSSI SAP	P903-06,
<u>26-May-09</u>	В	General	response Studies: P903-06/P903-07	P903-07
5 Jun 00		0	Request for a list of Division attendees to	
<u>5-Jun-09</u>	В	General	the Type C Pre-NDA CMC meeting.	preNDA
<u>8-Jun-09</u>	В	General	PreNDA meeting logistics - foreign visitor	preNDA
			PreNDA meeting request - copy of	
<u>08-Jun-09 a</u>	В	General	questions in word	preNDA
			ROC regarding: 1) date of pre-meeting, 2)	
<u> 17-Jun-09</u>	В	General	submission of revised ISS outline	preNDA
-			D. Friedland's foreign visitor form for pre-	
<u>19-Jun-09</u>	В	General	NDA meeting	preNDA
			Nonclinical/Clinical Pre-NDA: confirm email	
			receipts and clarification on 17Jun09 call	
<u>24-Jun-09</u>	В	General	regarding pre-NDA response.	preNDA

25-Jun-09	В	General	Request for CXL IND - CD copies	CXL IND
			FDA response to preNDA microbiology	
26-Jun-09	В	Response & Comments	questions.	
29-Jul-09	В	General	PreNDA meeting logistics	preNDA
1-Jul-09	В	FDA Letter	PreNDA Type B CMC Meeting	preNDA
<u>01-Jul-09 a</u>	В	FDA Letter	IND 71, 371 submission 30 Jul 09 SSN340	
01-Jul-09 b	В	FDA Letter	CMC meeting date schedule for July 22, 09	proNIDA
<u>01-301-09 b</u>	<u> </u>	I DA Lettel	Pre-NDA meeting comments for Tuesday	preivoa
2-Jul-09	В	Response & Comments	(IND 71, 371)	preNDA
<u>2-001-00</u>	<del>ا ٽ</del>	Response a comments	Pre-NDA meeting - Cerexa/Forest attendee	PIENDA
6-Jul-09	В	General	list change.	preNDA
0 001 00	<u> </u>	- Conordi	Quality Assessment for NDA/BLA	picitori
		İ	Submission checklist - provided by FDA PM	
7-Jul-09	В	General	prior to Pre-NDA meeting.	preNDA
<u> </u>	<del>                                     </del>	1 00	Pre-NDA meeting - FDA Response to	prorres.
<u>13-Jul-09</u>	В	Response & Comments	Revised ISS Outline	preNDA
15-Jul-09	В	General	Pre-NDA meeting - FDA Attendee List	preNDA
			Pre-NDA meeting - Cerexa/Forest attendee	p. 5
16-Jul-09	В	General	list.	preNDA
			Pre-NDA Type B CMC Meeting - FDA	
20-Jul-09	В	Response & Comments	Preliminary Responses	preNDA
			CMC PreNDA Meeeting Minutes	,L
30-Jul-09	В	Meeting Minutes	073009.doc	preNDA
			Draft Guidance for Industry-Acquired	<b>.</b>
			Bacterial Pneumonia Dev Drugs for	
31-Jul-09	В	Response & Comments	Treatment	preNDA
			FU to PreNDA: status of metabolite profiling	·
			report review and notification that the	
<u>5-Aug-09</u>	В	General	Cerexa meeting minutes were submitted.	preNDA
,			Missing tables for submission #349	
10-Aug-09	В	Response & Comments	(Juvenile, 4- and 13-week data tables)	CPT-TX-03
			CMC PreNDA Meeeting Minutes (FDA	
21-Aug-09	В	Meeting Minutes	Minutes)	CMC pre-NDA
				clinical P903-
				13 nonclinical
		1	FDA response to Metabolite Profile report	PRD-RPT-
<u> 20-Aug-09</u>		Response & Comments	(submission 341, 14 Jul 09)	BDM-00201
			Random subject numbers from Phase 3	
<u>31-Aug-09</u>	<u> </u>	Response & Comments	studies (see submission dated 20 Jul 09)	
	1		Cerexa request the status of PreNDA	
			clinical/nonclinical meeting minutes and	
<u>31-Aug-09 a</u>	ļ	General	prefered way of obtaining NDA number	
<u>1-Sep-09</u>	<u> </u>	General	Pre-Assigned NDA number (200327)	
			Clarification on random subject numbers	
<u>2-Sep-09</u>		Response & Comments	from Phase 3 studies	
			FDA requesting status of Cerexa's response	
			to FDA's comments on the Metabolite	
<u>9-Sep-09</u>	<u> </u>	General	Profile report	

		Cerexa request receipt of the PPSR and	T
		clarification on the 120day review cycle.	1
<u>17-Sep-09</u>	General	FDA confirms receipt of the PPSR.	
77 000 00	Contain	Request/response on status of nonclin/clin	
23-Sep-09	General	PreNDA meeting minutes	
20 000 00		Request a meeting with Carmen DeBellas	
,		to introduce Bruce Lu and discuss	
28-Sep-09	General	upcoming NDA	
20 000 00	00.10.0.	email to Martara: Question to ECG	
		warehouse - separate request for multiple	
28-Sep-09 a	General	protocols	1
		Introduce Bruce Lu and questions on	<u> </u>
		upcoming filing (ECG uploads, raw datasets	
		in CDISC, ISS lab dataset, PPSR, PreNDA	
		mm, metabolite report response, starting	]
		material response, upcoming submission,	
5-Oct-09	General	review team)	
		lab dataset for ISS - requesting for	
05-Oct-09 a	General	partitioning information	
		FDA response to lab dataset partitioning.	<del> </del>
		Cerexa question regarding file size for	
6-Oct-09	Response & Comments	datasets	
	1 1	email to Martara: ECG warehouse - timing	
<u>06-Oct-09 a</u>	General	of uploads	
		FDA response to file size - esub information	
7-Oct-09	General	provided	
08-Oct-09	General	ECG warehouse - timing of uploads	
		email to esub and FDA regarding file size	
09-Oct-09	General	and partitioning of lab dataset for ISS	
		Question on Module 2 clinical summary	
		document size. Question on the placement	
13-Oct-09	General	of the Microbiological data.	
		Question from FDA and Cerexa response	
		on the dataset partitioning. Including	
<u>20-Oct-09</u>	Response & Comments	additional questions on datasets.	
		July 7, 2009 preNDA nonclinical/clinical	
<u>21-Oct-09</u>	Meeting Minutes	meeting minutes (official FDA minutes)	preNDA
		FDA called stating they have not received	
		the dataset submission dated 09Oct09	
		(Serial No. 358). Called Carmen D. to	
	1	ensure he received the CD for the sample	
	1	datasets. Also, requested if he had time to	
	1	discuss the Cerexa response to the	
28-Oct-09	General	Metabolic Report	
		IRT wanted to know if they could start	
		reviewing P903-05 (submitted 12Oct09).	
<u>30-Oct-09</u>	General	Cerexa responded yes.	P903-05

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		Reviewers comment on proposed plan to	
		handle pediatric assessment and PPSR	
<u>2-Feb-10a</u>	Response & Comments	response.	

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Cerexa, Inc. Ceftaroline for Injection

IND SUBMISSION LOG

		FDA				
Date	Serial No.	Serial No.	Book No.	Submisssion/Correspondence No. Type	Content	Protocol
				Other: Transfer of Regulatory	Pharmacokinetics of Single Dose of CPT in Children Birth to vounger than 12 with	
01-Nov-10		372		Obligation	suspected or confirmed systemic infection.	P903-21
26-Oct-10		371		n Amendment(s): Clinical	Updated version of IB and corresponding SOC.	
28-Sep-10		370		Protocol Amendment(s): New Protocol	New protocol attachment, Fm1571 and CV	P903-21
12-Jun-10		369		Information Amendment(s): Clinical Form 1571	Form 1571	P903-09
22-Jun-10		368		Form 1571 and U Investigator's Bro Information Amendment(s): Clinical supercedes ed. 9	Form 1571 and Updated version of Investigator's Brochure; edition 10, supercedes ed. 9	
12-May-10		367		Information Amendment(s): Pharmacology/Toxicology	Attachment 1: CEF-TX-10, Attachment 2: CEF-TX-14, and Attachment 3: P0903-T-040	PPI-0903
11-Mar-10		366		Annual Report	FDA Form 1571, Annual Report, CMC Attachment, P903-08,09, and 19 Synopses	
10-Feb-10		365		Protocol Amendment(s): New Investigator	FM FDA 1572 and CV	P903-06
02-Feb-10		364		Informational Amendment(s): Clinical	Response to FDA Inadequate Study Request letter dated 05Jan2010. SN350 PPSR.	
29-Jan-10		363		Protocol Amendment(s): New Investigator	FM FDA 1572 and CV	
09-Dec-09		362		Information Amendement(s): Clinical Response to FDA Request for Information	Information Amendement(s): Attachment 1: Ceftaroline Info Request & Clinical Response to FDA Request Comments Re. The Sample Datasets for for Information	P903-06

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
27-Oct-09		361		Information Amendment(s): Clinical Response to FDA Request for Information	Fm 1571, Cover Letter and DVD of sample raw dataset (copy also sent to PM)	dupe of SN358
16-Oct-09		360		Information Amendment(s): Chemistry & Microbiology	FM1571, Cover Letter and Attach1:P0903-M-026, Attach2:P0903-M-039, Attach2:P903-M-062, and Attach4:P903-M-082	P903-08 and P903-
12-Oct-09		359		Information Amendment(s): Clinical	Information Amendment(s): Clinical Final Clinical Study Report (4 volumes)	P903-05
09-Oct-09		358		Information Amendment(s): Clinical Response to FDA Request for Information	Fm 1571, Cover Letter and DVD of sample raw dataset	P903-06
02-Oct-09		357		Response to FDA Request for CMC information	22Jul09 Type B, pre-NDA CMC Teleconference minutes Reg. Starting Materials	PPI-0903
30-Sep-09		356		General Correspondence	Response to Division comments on Metabolite Profile report PRD-RPT-BDM-00201 (submission 0341), 20 August 2009	P903-13
11-Sep-09		355		Protocol Amendment(s): Chemistry & Microbiology	Non-clinical study reports P0903-M-041, P903-M-058, P903-M-059, P903-M-060, P903-M-061, P903-M-069, P903-M-070, P903-M-072, P903-M-076, P903-M-84, P903-M-085	
03-Sep-09		354		dment(s): Toxicology	Final non-clinical study reports: CEF-TX-01, CEF-TX-02	
03-Sep-09		<u>353</u>		Protocol Amendment(s): Chemistry & Microbiology	Protocol Amendment(s): Chemistry Final non-clinical study report: P0903-M- & Microbiology	
20-Aug-09		352		Protocol Amendment(s): New Investigators	New Investigator 1572 and CV	P903-08
12-Aug-09		351		Information Amendment(s): Clinical - Clinical Study Report, P903-20	FM 1571 and Cover, CSR	P903-20

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
10-Aug-09		350		Other: Proposed Pediatric Study Request	Copy of PPSR	
05-Aug-09		349		Protocol Amendment(s): Pharmacology/Toxicology	CPT-TX-03 Juvenile toxicology study (tables referenced in cover letter was provided via email to PM on 10 Aug 09)	CPT-TX- 03 or CEF TX-03
31-Jul-09		348		General Correspondence: Cerexa Meeting Minutes for Type B Pre- NDA Meeting	Cerexa's meeting minutes from PreNDA nonclinical/clinical meeting	
29-Jul-09		347		Protocol Amendment(s): New Investigator	Revised Forms FDA-1572	P903-08
29-Jul-09		346		Protocol Amendment(s): New Investigator	Revised Forms FDA-1572	P903-09
				Protocol Amendment(s): Response to FDA Request for Information		P903-06, P903-07,
20-Jul-09		345			CD of subject ID numbers, four files; one study per file plus hard copy.	P903-08, P903-09
17-Jul-09		344		IND Amendment(s):Pharmacology/Toxi cology	CEF-TX-11 Final Report Two Volumes	
17-Jul-09		343		IND Amendment(s): Clinical	Fm 1571 and Cover Letter	P903-11
16-Jul-09		342		IND Amendment(s): Clinical	Fm 1571 and Cover Letter	P903-18
14-Jul-09		341		IND Amendment(s):Pharmacology/Toxi cology	PRD-RPT-BDM-00201 Metabolite Profile Report (sample from P903-13)	P903-13
30-Jun-09		340		IND Amendment(s): Clinical	P903-08 and P903-09 Draft Synopsis	P903-08 P903-09
29-Jun-09		339		IND Safety Report(s): Follow-up to a Written Report	FDA Form 3500A that contains 15-day follow-up. CRXA2008000177, PI 8203-08218/OBE; CRXA2008000179, PI 2034-08238/AJC; CRXA2008000190, PI 2029-08223/R-T;CRXA2008000196, PI 2029-08256/NMA; CRXA2008000236, PI 6641-08578/G-Z	P903-08
59-Jun-09		339		a Written Report	08578/G-Z	

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	Туре	Content	Protocol
				Information Amendment:		
23-Jun-09		338		Chemistry/Microbiology	Non-clinical study report P0903-M-053	
				Protocol Amendment(s): New		
19-Jun-09		337		Investigator	Revised Forms FDA-1572	P903-09
				Protocol Amendment(s): New		
19-Jun-09		<u>336</u>		Investigator	Revised Forms FDA-1572	P903-08
					Correction and attachment: Rev. ISS outline	4)
				Other: Correction to Type B Pre-	for Appendix VIII of 01 June 09 Briefing	
17-Jun-09		335		NDA Meeting Briefing Book	Book	
					Mfr. Report CRXA2008000097. Patient No.	
				IND Safety Report(s): Follow-up to	6509-09273EWV; 15-day follow-up #6	
15-Jun-09		334		a Written Report	information	P903-09
				IND Safety Report(s): Follow-up to	3005-09131 / SCC; 15-day follow-up #5	
15-Jun-09		333		a Written Report	information.	P903-09
				Protocol Amendment(s): Change	Amendments for studies P903-08 and P-	P903-08,
15-Jun-09		332		in Protocol	903-09 and corresponding SOCs.	P903-09
					Twelve final non-clinical study reports:	
					P0903-M-024, P0903-M-035, P0903-M-036,	
					P0903-M-038, P0903-M-040, P-0903-M-	
					043, P0903-M-044, P0903-M-045, P0903-M	
				Information Amendment:	050, P0903-M-054, P0903-M-055, P0903-M	
12-Jun-09		331		Chemistry/Microbiology	057.	
				Information Amendment:		
12-Jun-09		330		Phamacology/Toxicology	Final non-clinical study report: P903-T-016	
				Information Amendment:		
10-Jun-09		329		Chemistry/Microbiology	COA's, Stability Data.	
				Other: Briefing Book for Type B Pre		
11-Jun-09		328		NDA CMC Meeting.	20 additional copies to Jeannie David	
				Information Amendment:		
60-unf-60		327		Phamacology/Toxicology	Toxicology Study No. 1281-010 (CF-TX-11)	
				A	Four final non-clinical study reports: CEF-	
04-Jun-09		326		mornation Americanem. Phamacology/Toxicology	PR-01-(XIOSJOBI), PSUS-P-006, PSUS-P- 1007 and PSU3-P-008	
				(6)		

Cerexa, Inc. Ceftaroline for Injection

		FDA				
Date	Serial No.	Serial No.	Book No.	Submisssion/Correspondence No. Type	Content	Protocol
01-Jun-09		325		This file contains Cover Letter and Fm 1571 of SN 324	Pre-NDA meeting Briefing Book for July 7, 2009 meeting (20 additional copies to Carmen DeBellas)	Dupe of SN324
01-Jun-09		324		Type B Pre-NDA Meeting Briefing Book	Pre-NDA Meeting Request, 25 March 2009 (Serial Number 290) and Division's confirmation of meeting, 31 March 2009 and 29 April 2009	
27-May-09		323		Information Amendment: Clinical	Clinical Study Reports - P903-04 (Mailed Form 1571 and Cover letter to Wendy Gill)	P903-04
22-May-09		322		Protocol Amendment: New Investigator	Revised Forms FDA-1572	P903-09
22-May-09		321		Protocol Amendment: New Investigator	Revised Forms FDA-1572	P903-08
22-May-09		320		Information Amendment: Clinical	Statistical Analyst Plan Amendments (SAP), P903-Studies P903-08 and P903-09	P903- 08/09
15-May-09		319		Other: Response to Division comments re P903-06/07 SAPs	Response to Division comments re Study P903-06 and P903-07 Statistical Analysis Plans	P903- 06/07
04-May-09		318		IND Safety Report(s): Follow-up to a Written Report	Mfr. Report CRXA2008000156. Patient No. 6626-08148 W-L. 15-day follow-up #6 information.	P903-08
01-May-09		317		Protocol Amendment(s): New Investigator	New Investigators, 1572, and CV	P903-15
01-May-09		316		Protocol Amendment(s): New Investigators	New Investigators, 1572, and CV	P903-08
29-Apr-09		315		IND Safety Report(s): Follow-up to a Written Report	15-day CRXA2008000156 follow-up #5. subject 6626-08148	P903-08
27-Apr-09		314		Annual Report	Ceftaroline fosamil annual report from January 13, 2008 to January 12, 2009 - Includes Investigator Brochure edition 9	
23-Apr-09		313		IND Safety Report(s): Follow-up to a Written Report		P903-08

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.		Content	Protocol
16-Apr-09		312		Other: type B Pre-NDA Meeting Request	Type B Pre-NDA Meeting Request CMC only	
13-Apr-09	297	311		Other: Clinical Study Reports	Clinical Study Reports: P903-02, P903-03, P903-13, P903-17	P903-02, P903-03, P903-13, P903-17
10-Apr-09	296	310		Other: Statistical Analysis Plan: P903-05	P903-05 SAP Amendment 1 with SOC	P903-05
Note: Submissions after April Any submission	bmissior Any	ssions after April 1 Any submission ir	<b>-</b> -	09 will need to start with Serial Nuies to the FDA prior to April 10, 20	0, 2009 will need to start with Serial Number 312 to match numbering system of the FDA. Iquiries to the FDA prior to April 10, 2009 must be done by submission date.	the FDA.
08-Apr-09	295			IND Safety Report(s): Follow-up to Mfr. Report #CRXA2008000141. a Written Report	Mfr. Report #CRXA2008000141. Patient No. 0039-19006 / AAJ 15-day follow-up 4	P903-19
02-Apr-09	294			General Correspondence	Contact Change to Steffangy Gaffagan and Trisha Dobson	A/N
27-Mar-09	293			Protocol Amendment(s): New Investigator	New Investigators, 1572, and CV	P903-08
27-Mar-09	292			Protocol Amendment(s): New Investigator	New Investigators 1572 and CV	P903-09
27-Mar-09	291			Protocol Amendment(s): New Investigator	New Investigators, 1572, and CV	P903-08
25-Mar-09	290	:		e B Pre-NDA Meeting	Type B Pre-NDA Meeting Request. Excluding CMC	N/A
25-Mar-09	<u>289</u>			IND Safety Report(s): Follow-up to a Written Report	IND Safety Report(s): Follow-up to Mfr. Report #CRXA2008000141. Patient a Written Report	P903-19
19-Mar-09	288		63	Other Address Chance	Other: Address Chance	
05-Mar-09	287			ollow-up to	Mfr. Report #CRXA2008000141. Patient No. 0039-19006 / AAJ 15-day initial information.	P903-19
24-Feb-09	286		62	rt(s): Follow-up to	Mfr. Report #CRXA2008000141. Patient No. 0039-19006 / AAJ 15-day Follow-up #1 information.	P903-19

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
				Other: Response to Division	Response to Division Comments on Dated	P903-08
24-Feb-09	285		62	Comments	08 January 2009 on the CABP SAP	P903-09
				Protocol Amendment(s): New		
20-Feb-09	284		62	Investigator	New Investigators, 1572, and CV	P903-09
				Protocol Amendment(s): New		
20-Feb-09	283		62	Investigator	New Investigators, 1572, and CV	P903-08
					Mfr. Report #CRXA2008000141. Patient	
				IND Safety Report(s): Initial Written	No. 0039-19006 / AAJ 7-day initial	
17-Feb-09	282		62	Report	information.	P903-19
					Mfr. Report #CRXA2008000236. Patient	
				IND Safety Report(s): Follow-up to	No. 6641-08578 G-Z. 15-day Follow-up #6	
06-Feb-09	281		62	a Written Report	information	P903-08
					Mfr. Report #CRXA2008000236. Patient	
				IND Safety Report(s): Follow-up to	No. 6641-08578 G-Z. 15-day Follow-up #5	
06-Feb-09	280		62	a Written Report	information	P903-08
				Protocol Amendment(s): New		
28-Jan-09	279		62	Investigator	New Investigators, 1572, and CV	P903-15
					Mfr. Report #CRXA2008000236. Patient	
				IND Safety Report(s): Follow-up to	No. 6641-08578 G-Z. 15-day Follow-up #4	
28-Jan-09	278		62	a Written Report	information	P903-08
				Protocol Amendment(s): New		
20-Jan-09	277		62	Investigator	New Investigators, 1572, and CV	P903-09
				Protocol Amendment(s): New		
20-Jan-09	276		62	Investigator	New Investigators, 1572, and CV	P903-08
					Mfr. Report #CRXA2008000236. Patient	
				IND Safety Report(s): Follow-up to	No. 6641-08578 G-Z. 15-day Follow-up #3	
07-Jan-09	275		62	a Written Report	information	P903-08
					Mfr. Report #CRXA2008000236. Patient	
				IND Safety Report(s): Follow-up to	No. 6641-08578 G-Z. 15-day Follow-up #2	
22-Dec-08	274		62	a Written Report	information	P903-08
				Cofot, Board (a)thought State	Mfr. Report #CRXA2008000236. Patient	
18-Dec-08	273		62	a Written Report	NO. 6641-06376 G-Z. 13-day Follow-up #1 information	Pan3-na
						2000

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
				Protocol Amendment(s): New		
18-Dec-08	272		61	Investigator	New Investigators, 1572, and CV	P903-09
				Protocol Amendment(s): New		
18-Dec-08	271		61	Investigator	New Investigators, 1572, and CV	P903-08
25-Nov-08	270		61	Other: Revised Form FDA 1572	P903-19 Principal Investigator's with Revised Form 1572	P903-19
				Protocol Amendment(s): New		
20-Nov-08	269		61	Investigator	New Investigators, 1572, and CV	P903-20
				Mfr. Report #CRXA2008000236.	Mfr. Report #CRXA2008000236. Patient No. 6641-08578 G-Z. 7-day Initial	
20-Nov-08	268		61	Report	information	P-903-08
				Protocol Amendment(s): New		
20-Nov-08	267		61	Investigator	New Investigators, 1572, and CV	P-903-08
				IND Safety Report(s): Follow-up to	Mfr. Report #CRXA2008000156. Patient No. 6626-08148 W-L. 15-day Follow-up #4	
07-Nov-08	266		61	a Written Report	information	P-903-08
				ment(s): Change	Amendment 3 of Protocol P903-	
				in Protocol	09/corresponding SOC and Statistical	
28-Oct-08	<u>265</u>		61		Analysis Plan (SAP)	P903-09
				Protocol Amendment(s): Change	Amendment 3 of Protocol P903-	
				in Protocol	08/corresponding SOC and Statistical	
28-Oct-08	264		61		Analysis Plan (SAP)	P903-08
	6			Information Amendment(s):		P0903-M-
22-Oct-08	<u>263</u>		09	Cnemistry/Microbiology	Non-clinical study report P0903-IM-030	030
(	0			Protocol Amendment(s): New		0
20-Oct-08	797		90	Investigator	New Investigators, 15/2, and CV	P903-09
				Protocol Amendment(s): New		
20-Oct-08	261		9	Investigator	New Investigators, 1572, and CV	P903-08
10-Oct-08	260		9	Other: Statistical Analysis Plan for Study P903-05	Provides the Statistical Analysis Plan (SAP)	P903-05
08-0-1-08	259		U9	oort(s): Follow-up to	Mfr. Report #CRXA2008000190. Patient No. 2029-08223/R-T 15-day Follow-up #3 information	P903-08
20 00			3	a valued report		20-202

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		AUL				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
01-Oct-08	258		09	Information Amendment(s): Chemistry/Microbiology	USAN & INN name and structure for Ceftaroline	
				IND Safety Report(s): Follow-up to	Mfr. Report #CRXA2008000156. Patient No. 6626-08148 W-L. 15-day Follow-up #3	
01-Oct-08	257		09	a Written Report	information	P903-08
				Other: Supplement to Statistical	SAP Suppliment: Additional analysis on	P903-06,
01-Oct-08	256		60	Analysis Plan: P903-06/-07	hypersensitivity reaction	P903-07
				of all wollog (a)though years UNI	Mfr. Report #CRXA2008000196. Patient	
24-Sep-08	255		09		information.	P903-08
					Mfr. Report #CRXA2008000190. Patient	
				IND Safety Report(s): Follow-up to	No. 2029-08223/R-T 15-day Follow-up #2	
24-Sep-08	254		60	a Written Report	information.	P903-08
				Protocol Amendment(s): New		
22-Sep-08	253		60	Investigator	New Investigator, 1572, and CV	P903-04
				Protocol Amendment(s): New		
22-Sep-08	<u>252</u>		60	Investigator	New Investigator, 1572, and CV	P903-09
				Protocol Amendment(s): Change	P903-15 Protocol Amendment 2 and	
16-Sep-08	251		60	in Protocol	corresponding SOC	P903-15
				Protocol Amendment(s): Change	Amendment 3 version 2 of Protocol P903-	
				in Protocol	09 and corresponding SOC. (Changes to	
12-Sep-08	250		60		format only).	P903-09
					Mfr. Report #CRXA2008000196. Patient	
				ot(s): Follow-up to	No. 2029-08266/NMA 15-day Follow-up #4	
11-Sep-08	249		59	a Written Report	information.	P903-08
					Mfr. Report #CRXA2008000172. Patient	
				IND Safety Report(s): Follow-up to	No. 6608-09527 W-G 15-day Follow-up #1	
04-Sep-08	248	:	59	a Written Report	information	P903-09
				Protocol Amendment(s): Change	Amendment 3 version 1 of Protocol P903-	
28-Aug-08	247		59	in Protocol	09 and corresponding SOC	P903-09
1	(		Ç	Other: Statistical Analysis Plan for		0
Z/-Aug-08	240		59	Study P903-09	Provides the Statistical Analysis Plan (SAP) P903-09	P903-09

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
	!			IND Safety Report(s): Follow-up to	Mfr Report#: CRXA2007000075, Patient #: 3005-09131/SCC 15-day Follow-up #4	
27-Aug-08	245		59	a Written Report	information.	P903-09
22-Aug-08	244		29	Other: Revised Form FDA-1572	Revised Form FDA-1572	P903-06
				Protocol Amendment(s): New		
20-Aug-08	243		59	Investigator	New Investigator, 1572, and CV	P903-04
						P0903-M- 019 &
00 2114 00	24.0		Ċ.	Information Amendment(s):	Nonclinical study report P0903-M-019,	P0903-M-
00-guv-02	747		SC	Crieffilstry/iviicrobiology	P0903-M-028	028
	;		(	Protocol Amendment(s): New		
20-Aug-08	241		59	Investigator	New Investigator, 1572, and CV	P903-08
				Protocol Amendment(s): New		
20-Aug-08	240		59	Investigator	New Investigator, 1572, and CV	P903-09
					Mfr. Report #CRXA2008000179. Patient	
,	1			IND Safety Report(s): Follow-up to	No. 2034-08238/AJC 15-day Follow-up #4	
19-Aug-08	239		59	a Written Report	information	P903-08
					Mfr. Report #CRXA2008000177. Patient	
,			,	irt(s): Follow-up to	No. 8203-08218 / OBE. 15-day Follow-up	
19-Aug-08	238		59	a Written Report	#2 information.	P903-08
					Mfr. Report #CRXA2008000196. Patient	
				IND Safety Report(s): Follow-up to	No. 2029-08266/NMA 15-day Follow-up #3	
14-Aug-08	23/		59	a Written Report	information.	P903-08
					Mfr. Report #CRXA2008000196. Patient	
0	0			ort(s): Follow-up to	No. 2029-08266/NMA 15-day Follow-up #2	
06-Aug-08	236		59	a Written Report	information.	P903-08
		_			Mfr. Report #CRXA2008000172. Patient	
4 00	(			IND Safety Report(s): Initial Written No. 6608-09527 W-G 15-day Initial	No. 6608-09527 W-G 15-day Initial	
06-Aug-08	235		29	Report	information	P903-09
	•				Mfr. Report #CRXA2008000179. Patient	
06-Aug-08	234		- 69	IND Salety Report(s): Follow-up to a Written Report	No. 2034-08238/AJC 15-day Follow-up #3	90 6000
						r 303-00

Cerexa, Inc. Ceftaroline for Injection

	Cinco	FDA		S. thmicrochange of the condense		
Date	No.	No.	Book No.		Content	Protocol
28-Jul-08	233		69	IND Safety Report(s): Follow-up to a Written Report	Mfr. Report #CRXA2008000196. Patient No. 2029-08266/NMA 15-day Follow-up #1	P903-08
28-Jul-08	232		59	IND Safety Report(s): Follow-up to a Written Report	Mfr. Report #CRXA2008000182. Patient No. 5230-08228 / GKD. 15-day Follow-up #2 information	P903-08
23-Jul-08	231		59	ııt(s): Initial Written	Mfr. Report #CRXA2008000196. Patient No. 2029-08266/NMA 7-day Initial information	P903-08
23-Jul-08	230		59	IND Safety Report(s): Follow-up to a Written Report	Mfr. Report #CRXA2008000190. Patient No. 2029-08223/R-T 15-day Follow-up #1 information.	P903-08
23-Jul-08	229		69	IND Safety Report(s): Follow-up to a Written Report	Mfr. Report #CRXA2008000177. Patient No. 8203-08218 / OBE. 15-day Follow-up #1 information.	P903-08
21-Jul-08	228		69	Protocol Amendment(s): New Investigator	New Investigator, 1572, and CV	P903-08
18-Jul-08	227		58	IND Safety Report(s): Follow-up to a Written Report	Mfr. Report #CRXA2008000182. Patient No. 5230-08228 / GKD. 15-day Follow-up #1 information	P903-08
18-Jul-08	<u>226</u>		95	IND Safety Report(s): Follow-up to a Written Report	Mfr. Report #CRXA2008000179. Patient No. 2034-08238 / AJC. 15-day Follow-up #2 information	P903-08
16-Jul-08	<u>225</u>			Mfr. Report #CRXA200800018 No. 5230-08228/GKD. 15-day information. Intoxication anael IND Safety Report(s): Initial Written [anaemia], Hyperbilirubinemia Report	Mfr. Report #CRXA2008000182. Patient No. 5230-08228/GKD. 15-day Initial information. Intoxication anaemia [anaemia], Hyperbilirubinemia [hyperbilirubinaemia]	P903-08
16-Jul-08	<u>224</u>		58	IND Safety Report(s): Initial Written Report	Mfr. Report #CRXA2008000190. Patient No. 2029-08223/R-T 15-day Initial No. 2029-08223/R-T 15-day Initial Information, Acute chlecystitis [cholecystitis acute]	P903-08

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		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No. Type	Type	Content	Protocol
11-Jul-08	223		89	safety Report(s): Initial Written rt	Mfr. Report #CRXA2008000177. Patient No. 8203-08218 / OBE. 15-day Initial information.	P903-08
11-Jul-08	222		58	IND Safety Report(s): Follow-up to a Written Report	Mfr. Report #CRXA2008000179. Patient No. 2034-08238 / AJC. 15-day Follow-up #1 information	P903-08
03-Jul-08	22.1		58	Mfr. Report #CRXA2008000179. Pa No. 2034-08238 AJC, Unknown Su IND Safety Report(s): Initial Written Death [Sudden Death]. 7-day Initial Report	Mfr. Report #CRXA2008000179. Patient No. 2034-08238 AJC, Unknown Sudden Death [Sudden Death]. 7-day Initial information.	P903-08
26-Jun-08	220		58	Protocol Amendment(s): Change in Protocol	Amendment 2 of Protocol P903-05 and corresponding SOC	P903-05
26-Jun-08	219		28	Protocol Amendment(s): New Investigator	New Investigator, 1572, and CV	P903-19
25-Jun-08	218		28	IND Safety Report: Follow-up To a Written Report	Follow-up on the following Patients/SAE#s: Mfr. Report #CRXA2007000003, Patient No. 0028-07002/TJB; Mfr. Report #CRXA2007000004, Pt. No. 2012-06611/S- S; Mfr. Report #CRXA2007000028, Pt. No. 0026-07208/WLM; Mfr. Report #CRXA20070000039, Pt. No. 2006- 0644/AJB; Mfr. Report #CRXA2007000040, Pt. No. 6511-07312/D- K; Mfr. Report #CRXA2007000043, Pt. No. 6515-07368/IBA; Mfr. Report #CRXA20070000053, Pt. No. 5014- 07467/NAR; Mfr. Report #CRXA2007000063, Pt. No. 3004- 06671/MAB; Mfr. Report	P903-06 and P903-07

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		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
				IND Safety Report: Follow-up To a	Mfr. Report #CRXA2008000156. Patient No. 6626-08148 W-I. 15-day Follow-up #2	
20-Jun-08	217		58		information	P903-08
				Protocol Amendment(s): New		
20-Jun-08	216		58	Investigator	New Investigator, 1572, and CV	P903-09
				Protocol Amendment(s): New		
20-Jun-08	215		28	Investigator	New Investigator, 1572, and CV	P903-08
					Mfr. Report #CRXA2008000156. Patient	
				IND Safety Report: Follow-up To a	No. 6626-08148. 15-day Follow-up #1	
06-Jun-08	214		25	Written Report	information	P903-08
					Update to SAP originally submitted on 08	P903-06
					Oct 2007. Submission included updated	and
03-Jun-08	213		22	Other: Statistical Plan P903-06/07	SAP and summary of changes.	P903-07
				Protocol Amendment(s): New		
03-Jun-08	212		22	Investigator	New Investigator 1572 and CV	P903-15
				IND Safety Report: Follow-up To a	Mfr. Report #CRXA2008000150. Patient	
30-May-08	211		22	Written Report	No. 6613-09497. 15-day Follow-up #2	P903-09
				IND Safety Report(s): Initial Written	IND Safety Report(s): Initial Written Mfr. Report #CRXA2008000156. Patient	
28-May-08	210		22	Report	No. 6626-08148. 7-day Initial information.	P903-08
				IND Safety Report(s): Initial Written	IND Safety Report(s): Initial Written Mfr. Report #CRXA2008000150. Patient	
23-May-08	209		24	Report	#6613-09497/M-Z 15-day Follow-up#1	P903-09
				Protocol Amendment(s): New		
21-May-08	208		25	Investigator	New Investigator, 1572, and CV	P903-19
					P903-05, Protocol Amendment 1 and	
					corresponding SOC, New Investigator 1572	
				Protocol Amendment(s): Change in	Protocol Amendment(s): Change in and CV, TOO, Medical Monitor CV: Ed	
21-May-08	<u>207</u>		22	Protocol and New Investigator	Fang, MD	P903-05
					P903-09 New Investigator 1572 and CV and	
				Protocol Amendment(s): New	Principal Investigator's with Revised Form	
20-May-08	<u>206</u>		26		1572	P903-09
	300		ú	Protocol Amendment(s): New		
ZU-IVIAY-UO	202		20	Investigator	New Investigator 15/2 and CV	P903-08

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		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
					Mfr Report# CRXA2008000150, Patient #6613-09497/M-Z, Lower limb ischemia/arterial thrombosis [Peripheral	
				IND Safety Report: Initial Written	ischaemia] Arterial thrombosis (Arterial thrombosis	
16-May-08	204		99		limb], 7-day	P903-09
				Information Amendment:	Letter of Authorization from ABL with the	
12-May-08	<u>203</u>		96	Chemistry/Microbiology	UMF file number.	
				Information Amondment	Phase 1, 2 and 3 Ceftaroline for Injection	·
08-May-08	202		56		A	
				Protocol Amendment(s): New		
02-May-08	201		56	Investigator	New Investigator 1572 and CV	P903-19
				Protocol Amendment(s): New		
				Investigator; Other: Transfer of		
02-May-08	200		56	Obligation	New Investigator 1572 and CV, TOO CRO	P903-09
				Protocol Amendment(s): New		
				or; Other: Transfer of		
02-May-08	199		99	Obligation	New Investigator 1572 and CV, TOO CRO	P903-08
23-Apr-08	198		99	IND Safety Report: Follow-up To a	Mfr Report#: CRXA2008000097, Patient #:	P903-09
				Written Report	6509-09273, / JUI, Seizures [Convulsion],	
					C#0.L	
23-Apr-08	197		99	Information Amendment(s):	Nonclinical study report P0903-M-025,	
				Chemistry/Microbiology	F0903-M-029, F0903-M-039, F0903-M-034	
				port: Follow-up To a	Mfr Report#: CRXA2008000097, Patient #:	P903-09
				Written Report	6509-09273, / JUI, Seizures [Convulsion],	
09-Apr-08	196		99		FU#4	
				port: Follow-up To a	Mfr Report#: CRXA2008000097, Patient #:	P903-09
,	1		1	Written Report	6509-09273, / JUI, Seizures [Convulsion],	
28-Mar-08	195		26		FU#3	

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		FDA				
OteO	Serial	Serial	BOOK	Submisssion/Correspondence	Content	Protocol
				247		
						P903-06, P903-07,
				Information Amendment(s):	Ceftaroline carton label and vial label for	P903-08,
25-Mar-08	194		26	Chemistry/Microbiology	P903-06, P903-07, P903-08, P903-09	P903-09
				Protocol Amendment(s): Change	P903-19, Protocol Amendment 1 and	
25-Mar-08	<u> 193</u>		99	in Protocol	corresponding SOC	P903-19
				Information Amendment(s):	Ceftaroline carton label and vial label for	!
25-Mar-08	192		99	Chemistry/Microbiology	P903-19	P903-19
					Third Annual Report (Report Period:	
21-Mar-08	191		55	Annual Report	13Jan07 through 12Jan08)	
				Protocol Amendment(s): New	P903-15 New Investigator 1572 and CV and	
				Investigator; Other: Revised Form	Principal Investigator's with Revised Form	
21-Mar-08	<u>190</u>		55	FDA-1572	1572	P903-15
				Protocol Amendment(s): New		
21-Mar-08	189		55	Investigator	New Investigator 1572 and CV	P903-08
				Protocol Amendment(s): New	P903-09 New Investigator 1572 and CV and	
				Investigator, Other: Revised Form	Principal Investigator's with Revised Form	
20-Mar-08	188		55	FDA-1572	1572	P903-09
				Protocol Amendment(s): New		
20-Mar-08			55	Investigator	New Investigator 1572 and CV	P903-19
19-Mar-08	186			IND Safety Report: Follow-up To a	Mfr Report#: CRXA2008000097, Patient #:	P903-09
					6509-09273, / JUI, Seizures [Convulsion],	
			55		FU#2	
04-Mar-08	185		į,	Protocol Amendment(s): Change	P903-04, Protocol Amendment 2 and	P903-04
			သို	in Protocol	corresponding SOC	
04-Mar-08	184			Information Amendment(s):	Nonclinical Study Report P0903-M-021 and P0903-M-	P0903-M-
				Chemistry/Microbiology	P0903-M-022	021
						P0903-M-
			55			022
22-Feb-08	183		ų	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2008000097, Patient #: 6509-09273, / JUI, Seizures [Convulsion],	P903-09
			22		FU#1	

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		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
22-Feb-08	182			Protocol Amendment: New	P903-07 New Investigator 1572 and CV and P903-07	P903-07
			55	Investigator Other: Revised Form FDA-1572	Principal Investigator's with Revised Form 1572	
15-Feb-08	181			IND Safety Report(s): Initial Written	IND Safety Report(s): Initial Written Mfr Report#: CRXA200800097, Patient #:	P903-09
				Report	6509-09273, / JUI, Seizures [Convulsion], 7-	
			55		day (FDA called and emailed 02Feb08)	
14-Feb-08	180			Protocol Amendment(s): Change in	Protocol Amendment(s): Change in P903-15 Protocol Amendment 1 and	P903-15
			55	Protocol	corresponding SOC	
08-Feb-08	179			Amendment(s): New	P903-11 Elderly protocol Phase 1: New	P903-11
				Protocol	Investigator 1572 and CV, TOO of safety to	
			54		Covance, Medical Monitor CV: Doug Rank, MD	
07-Feb-08	178			Protocol Amendment(s): New	P903-19 IM protocol Phase 2: New	P903-19
				Protocol	Investigator 1572 and CV, TOO of safety to	
					Covance, Medical Monitor CV: Ed Fang,	
			54		MD	
05-Feb-08	177			General Correspondence	The regulatory contact has been changed to	
					Carmen Betancourt, MBA, Acting Head of	
					Regulatory and Steffany Gaffagan, Manager	
			54	_	of Regulatory Affairs.	
31-Jan-08	<u>176</u>			port: Follow-up To a	Mfr. Report No. CRXA2007000053, Patient	P903-06
-				Written Report	# 2012-06611 / MAB, Blinded	
			5.4		Hypersensitivity Reaction [Hypersensitivity],	
31-Jan-08	175			IND Safety Report: Follow-up To a	Mfr. Report No. CRXA2007000085, Patient	P903-09
	!				# 7005-09055 / SMK, Blinded Interlobular	
			54		pleurisy [Pleurisy], FU#3	
24-Jan-08	174		į	Other: Revised Form FDA-1572	P903-13 Principal Investigator's with	P903-13
			54		Revised Form 1572	
24-Jan-08	173		54	Other: Revised Form FDA-1572	P903-09 Principal Investigator's with Revised Form 1572	P903-09
24-Jan-08	172		54	Protocol Amendment: New Investigator	New Investigator 1572 and CV	P903-09

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		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
24-Jan-08	171		54	Other: Revised Form FDA-1572	P903-04 Principal Investigator's with Revised Form 1572	P903-04
17-Jan-08	170		54	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000085, Patient #: 7005-09055/SMK, Interlobular pleurisy [Pleurisy], follow-up #2	P903-09
17-Jan-08	<u>169</u>		54	IND Safety Report: Follow-up to Written Report	Mfr Report#: CRXA2007000048, Patient #: 5014-07467/ NAR, Acute renal failure Renal failure acutel, Follow- up #4	P903-07
15-Jan-08	168		54	Information Amendment: Chemistry/Microbiology	CMC information for [14C] ceftaroline fosamil and [14C] ceftaroline drug product solution, info. to support P903-13 Mass Balance study	P903-13
15-Jan-08	<u>167</u>		54	Protocol Amendment: New Protocol	P903-13 Mass Balance protocol Phase 1: Change in Protocol - Amendment 1 and SOC, New Investigator 1572 and CV, TOO of safety to Covance, Medical Monitor CV: Doug Rank, MD	P903-13
14-Jan-08	<u>166</u>		54	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000075, Patient #: 3005-09131/SCC, Liver enzymes elevations [Hepatic enzyme abnormal], Follow up # 3, study closed	P903-09
07-Jan-08	<u>165</u>		54	IND Safety Report: Follow-up to Written Report	CRXA2007000054, Subject No. 2010- 09032/ AVR, Pleural Effusion [pleural effusion], Follow- up #4	P903-09
07-Jan-08	164		54	IND Safety Report: Follow-up to Written Report	Mfr Report#: CRXA2007000048, Patient #: 5014-07467/ NAR, Acute renal failure [Renal failure acute], Follow- up #3	P903-07
03-Jan-08	<u>163</u>		54	IND Safety Report: Initial Written Report and Follow Up To a Written Report	Mfr Report#: CRXA2007000085, Patient #: 7005-09055/SMK, Interlobular pleurisy [Pleurisy], initial and follow-up #1	P903-09
03-Jan-08	<u>162</u>		54	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000075, Patient #: 3005-09131/SCC, Liver enzymes elevations [Hepatic enzyme abnormal], Follow up # 2	P903-09

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		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
21-Dec-07	161		53	Protocol Amendment: New Investigator	New Investigator 1572 and CV	P903-09
20-Dec-07	<u>160</u>		53	IND Safety Report: Follow-up To a Written Report	CRXA2007000054, Subject No. 2010- 09032/ AVR, Pleural Effusion [pleural effusion], Follow- up #3	P903-09
19-Dec-07	159		53	Protocol Amendment: New Protocol	P903-08 CAP protocol Phase 3: Change in Protocol - Amendment 1 and 2, Protocol including New Investigator 1572 and CV, Medical Monitor CV: Paul Eckburg, MD	P903-08
19-Dec-07	<u>158</u>		53	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000075, Patient #: 3005-09131/SCC, Hepatitis [Hepatitis], Follow up # 1	P903-09
19-Dec-07	<u>157</u>		53	IND Safety Report. Follow-up To a Written Report	Mfr Report#: CRXA2007000053, Patient #: 2012-06611/MAB, Hypersensitivity [Hypersensitivity], Follow up # 5, case closed	P903-06
06-Dec-07	<u>156</u>		53	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000053, Patient #: 2012-06611/MAB, Hypersensitivity [Hypersensitivity], Follow up # 4	P903-06
04-Dec-07	<u>155</u>		53	IND Safety Report. Follow-up To a Written Report	Mfr Report#: CRXA2007000053, Patient #: 2012-06611/MAB, Hypersensitivity [Hypersensitivity], Follow up # 3	P903-06
29-Nov-07	154		53	IND Safety Report: Initial Written Report	Mfr Report#: CRXA2007000075, Patient #: 3005-09131/SCC, Hepatitis [Hepatitis]	P903-06
10-Dec-07	153			l Amendment: New	P903-15 Pediatric protocol Phase 1: Protocol including New Investigator 1572 and CV, TOO of safety to Covance, Medical Monitor CV: Ed Fang, MD	P903-15
28-Nov-07	152		53	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000043, Patient #: 6515-07368/IBA, Anaphylactic shock, Follow up # 3	P903-06

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		FDA				
·	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
26-Nov-07	151		53	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000004, Patient #: 0003-07006/SS, Anaphylactoid reaction, Follow up # 4, case closed	P903-06
26-Nov-07	150		53	Protocol Amendment: New Investigator	New Investigator 1572 and CV Transfer of Obligation to Covance Safety	P903-04
20-Nov-07	149		53	Report: Follow-up To a ort	Mfr Report#: CRXA2007000060, Patient #: 3004-06679/JJB, Diarrhea due to Clostridium difficile. Follow up # 2	P903-06
26-Nov-07	148		53	Protocol Amendment: New Investigator	New Investigator 1572 and CV	P903-09
16-Nov-07	147		53	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000039, Patient #: 2006-06444/AJB, Hypersensitivity Reaction, Follow up # 2	P903-06
16-Nov-07	146		53	IND Safety Report. Follow-up To a Written Report	Mfr Report#: CRXA2007000040, Patient #: 6511-07312/D-K, Clinical Worsening of General Condiation, Follow up # 5	P903-07
13-Nov-07	145		53	IND Safety Report: Follow-up To a Written Report	Mfr Report#: CRXA2007000053, Patient #: 2012-06611/MAB, Hypersensitivity Reaction, Follow up # 2	P903-07
09-Nov-07	144		53	Information Amendment: Chemistry/Microbiology	Phase 1 domestic study label text: carton and vial	
06-Nov-07	143		52	Other: Protocol P903-05: Response to Division Comments dated 31 July 2007	Response to Division Comments on TET protocol dated 31 July 2007 received via email.	P903-05
07-Nov-07	142		52	Follow-Up to a 3-07	Mfr Report #: CRXA2007000028, Patient #: 0026-07208/WLM, Hypocoagulation, Follow up # 4, case closed	P903-07
05-Nov-07	141		52	Protocol Amendment: New Investigator	New Investigator 1572 and CV	P903-09
30-Oct-07	140		52	Other. Background and Questions for Telephone Call Scheduled on 02 November 2007	Background and Questions for 02 Nov 07 telecon regarding P903-08 and P903-09 Port Score and NI margin.	P903-08 P903-09
02-Nov-07	139		52	Protocol Amendment: New Investigators	New Investigator 1572 and CV	P903-06 P903-07

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
30-Oct-07	138			IND Safety Report: Follow-up to a	CRXA2007000040 Subject No. 6511-	P903-07
				Written Report	07312 / D-K Clinical worsening of general	
			52		conditions [condition aggravated] Follow-up #4	
24-Oct-07	137			IND Safety Report: Follow-up to	CRXA2007000060 Subject No. 3004-	P903-06
			52	Written Report	06679/ JJB Follow- up #1	
23-Oct-07	<u>136</u>			Other: Revised Form FDA-1572	P903-06 & P903-07 Principal Investigator's	
	┙		52		with Revised Form 1572	P903-07
22-Oct-07	135			Protocol Amendment: New Protocol	P903-20 Protocol including New Investigator 1572 and CV & Medical Monitor	P903-20
					CV: Doug Rank, MD, TOO to Covance	
			52		safety	
19-Oct-07	134			IND Safety Report: Follow-up to	CRXA2007000028 Subject No. 0026-	P903-07
				Written Report	07208/ WLM Follow- up #3:	
17-Oct-07	133			IND Safety Report: Initial Written	CRXA2007000060 Subject No. 3004-	P903-06
				Report	06679/ JJB Event: Diarrhea due to	
			52		Clostridium difficile [Clostridial infection]	
15-Oct-07	132			Protocol Amendment: Change in	Amendment 2: Based on the 11 Sept 07	P903-09
				Protocol	Communication from the Division the	
					protocol was revised to exclude subjects	
					with PORT Risk Score II. This change in	
					the protocol may be reversed pending the	
					outcome of the Anti-infective Drugs	
			52		Advisory Committee meeting planned for 1st	
15-Oct-07	131			IND Safety Report: Follow-up to	CRXA200700054 Subject No. 2010-	P903-09
				Written Report	09032/ AVR Follow- up #2:	
15-Oct-07	130			IND Safety Report: Follow-up to	CRXA2007000053 Subject No. 2012-	P903-06
				Written Report	06611/ MAB Follow- up #1: Additional	
					information provided regarding treatment	
					and event resolution.	
08-Oct-07	129			Other: Request for Division	Statistical Analysis Plan (SAP) Tables,	P903-06
			5.	Confinent Statistical Analysis Plan: P903-06/ -07	rigures, Listing Shells	P903-07

Cerexa, Inc. Ceftaroline for Injection

Date N 05-Oct-07 1		Serial		G.:hmicocion/Corrochondono		_
Oct-07			7	Submission/Correspondence		
	$\dashv$	ဍ	BOOK NO.	No. Iype	Content	Protocol
	128			IND Safety Report: Follow-up to	CRXA2007000054 Subject No. 2010-	P903-09
				Written Report	09032/ AVR Follow- up #1: Additional	
	-				information regarding corrective treatment,	
					relevant tests, hospital discharge date and	
_					study start and stop date added.	
			51			
05-Oct-07	<u>127</u>			Protocol Amendment: New	P903-18 Protocol including New	P903-18
				Protocol	Investigator 1572 and CV & Medical Monitor	
					CV: Doug Rank, MD, TOO safety to	· · · · ·
			51		Covance	
05-Oct-07 12	<u>126</u>			IND Safety Report: Follow-up to	CRXA2007000048 Subject No. 5014-	P903-07
				Written Report	07467/ NAR, Acute renal failure [Renal	
_			51		failure acute], Follow- up #2:	
05-Oct-07 1	125			Other: Closed IND Safety Report	CRXA200700003 Event: Hypertension,	P903-07
					Pre Renal azotemia Investigator Causality:	
					Possibly related Case Outcome:	
					Recovered Date of Initial Receipt/ Case	
					Closure: 12 March 2007/ 18 Sept. 2007	
					Related Submissions: 04Apr07 #0072 &	
			51		24Apr07 #0079	
05-Oct-07 12	124			IND Safety Report: Follow-up to	CRXA2007000043 Subject No. 6515-	P903-07
				Written Report	07368/ IBA Follow- up #2: Additional	
					information regarding addition of hospital	
					discharge date, blood pressure and heart	
					rate results and clindamycin stop date and	
		_			amended event description and treatment	
	-		20		details.	
28-Sep-07 1	123			Protocol Amendment: New	P903-06 and P903-07 New Investigator	P903-06
	-		20	Investigator	1572 and CV	P903-07
27-Sep-07 1	122			Protocol Amendment: New	P903-09 New Investigator 1572 and CV	P903-09
	$\frac{1}{1}$		20	Investigator		
27-Sep-07  1 <u>2</u>	121			IND Safety Report: Initial Written	CRXA2007000053 Subject No. 2012-	P903-07
			Ç	Report	06611/ MAB Event: Hypersensitivity	
			200		Keaction [Hypersensitivity]	

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	,	FDA				
	Serial	Serial		Submisssion/Correspondence	ı	
Date	No.	No.	Book No.	No. Type	Content	Protocol
27-Sep-07	120		20	IND Safety Report: Follow-up to Written Report	CRXA2007000048 Subject No. 5014- 07467/ NAR Follow- up #1:	P903-07
26-Sep-07	119		20	IND Safety Report: Initial Written Report	CRXA2007000054 Subject No. 2010- 09032/ AVR Event: Pleural Effusion [Pleural effusion]	P903-09
25-Sep-07	118		20	Other: Revised Form FDA-1572	P903-04 Principal Investigator's with Revised Form 1572	P903-04
25-Sep-07	117		90	IND Safety Report: Follow-up to Written Report	CRXA2007000004 Subject No. 0003- 07006/ S-S Follow- up #3: Additional information provided regarding addition of analysis of similar events and correction of date of event in Box B3.	P903-07
25-Sep-07	<u>116</u>		50	IND Safety Report: Follow-up to Written Report	CRXA2007000028 Subject No. 0026-07208/ WLM Follow- up #2: Additional information regarding the event term, study drug start date, last date of study drug and analysis of similar events.	P903-07
25-Sep-07	<u>115</u>		20	IND Safety Report: Follow-up to Written Report	CRXA2007000044 Subject No. 5105-0922 Follow- up #1. Drug event relationship changed to unrelated, treatment medications added, start date of study drug added, X-ray result added, outcome of the event added.	P903-07
25-Sep-07	114		90	IND Safety Report: Initial Written Report	CRXA2007000048 Subject No. 5014- 07467/ NAR Event: Acute renal failure [Renal failure acute]	P903-07
19-Sep-07	113		20	IND Safety Report: Follow-up to Written Report	CRXA2007000043 Subject No. 6515- 07368/ IBA Follow- up #1: Additional information regarding primary site, culture results, patient's age, medical history, primary site infection treatment details, date of laboratory tests, definition of centralization, concomitant medication added	P903-07

Cerexa, Inc. Ceftaroline for Injection

Date     No.     No.       19-Sep-07     112       13-Sep-07     111       14-Sep-07     110       11-Sep-07     109       31-Aug-07     108	Book	Submisssion/Correspondence No. Type		
Sep-07 112 Sep-07 110 Sep-07 109 Sep-07 108	Book	Type	(	
			Content	Protocol
		safety Report: Follow-up to in Report	CRXA2007000040 Subject No. 6511- 07312/ D-K Follow- up #3: Treatment	P903-07
			details amended, discharge date amended,	
	20		details of 2 <sup>nd</sup> chemotherapy added	_
		IND Safety Report: Initial Written	CRXA2007000044 Subject No. 5105-	P903-09
	90	Report	09022 Event: Pulmonary abscess (lung abscess)	
		IND Safety Report: Follow-up to	CRXA2007000040 Subject No. 6511-	P903-07
		Written Report	07312/.D-K Follow- up #2: Additional	
			information regarding amending event	
			details, addition of CLL description,	
			clarification of aetiology, addition of blood	
			culture results, addition of dates to	
			concomitant medication administration,	
			updated analysis of similar medical events	
	50		and gender correction.	
		fety Report: Initial Written	CRXA2007000043 Subject No. 6515-	P903-07
		Report	07368/ IBA Event: Anaphylactic Shock	
	20		[Anaphylactic Shock]	
		IND Safety Report: Follow-up to	CRXA2007000040 Subject No. 6511-	
		Written Report	07312/ D-K Follow- up #1: Additional	
			information provided regarding medical	
			history, concomitant medication, study drug	
			start date, treatment, test results, and date	
_	50		of hospitalization.	
31-Aug-07 107		IND Safety Report: Follow-up to Written Report	CRXA2007000039 Subject No. 2006- 06444/ A IR Follow- up #1: Additional	P903-06
			information provided regarding study drug	
			dates, blood cultures, concomitant	
			medication, treatment and primary site of	
	20		infection.	

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial	-	Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
24-Aug-07	106			IND Safety Report: Initial Written	CRXA2007000040 Subject No. 6511-	P903-07
				Report	07312/D-K Event: Clinical worsening	
			50		[Condition aggravated]	
24-Aug-07	105			Protocol Amendment: New	P903-09 New Investigator 1572 and CV	P903-09
			50	Investigators		
23-Aug-07	104			IND Safety Report: Initial Written	CRXA2007000039 Subject No. 2006-	P903-06
				Report	06444/ AJB Event: Hypersensitivity	
			50		Reaction	
23-Aug-07	103			Other: Revised Form FDA-1572	P903-06 & P903-07 Principal Investigator's	P903-06
			50		with Revised Form 1572	P903-07
23-Aug-07	102			Protocol Amendment: New	P903-06 and P903-07 New Investigator	P903-06
			50	Investigators	1572 and CV	P903-07
14-Aug-07	101		ì	IND Safety Report: Follow-up to	CRXA2007000028 Subject No. 0026-	P903-07
	_			Written Report	07208/ WLM Follow- up #1: Additional	
					information provided regarding event	
					resolution, resolution date of 01 AUG 07,	
			50		and additional laboratory results	
14-Aug-07	임			OTHER: Request for Division	Request for Division comments on	P903-15
				Comment: Pediatric Development	proposed pediatric plan Ceftaroline for	
			50	Plan	Injection Synopsis for Protocol P903-15	
27-Jul-07	66			IND Safety Report: Initial Written	CRXA2007000028 Subject No. 0026-	P903-07
			i.	Report	07208/ WLM Event: Worsen prolonged	
			20		clotting times	
16-Jul-07	86			Protocol Amendment: New	P903-09 New Investigator 1572 and CV	P903-09
			50	Investigator		
19-Jul-07	76			Protocol Amendment: New	P903-06 and P903-07 New Investigator	P903-06
			20	Investigators	1572 and CV	P903-07
16-Jul-07	81			Protocol Amendment: Change in	P903-09 CAP Protocol Amendment 1	P903-09
			50	Protocol		
70-Jul-60	<u> </u>		20	Information Amendment: Chemistry/ Microbiology	Revised specifications for related substances U-5 U-7 to U-9	

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
19-Jun-07	94			Response to Division Comments	ou	
<del></del>				dated 07 June 2007	P903-08 and P903-09 CAP (reference to Division's comments dated 15 March 2007	P903-09
			49	;	received by email)	
18-Jun-07	93			Protocol Amendment: Change in	P903-17 IM Protocol Amendment 1	P903-17
			70	Protocol	(change: change in PK sampling), Medical	
15_ lim_07	$\perp$		Gr	Protocol Amondment: Now	Boos Oo CAB Brotocal including Now	00 000
70-UNC-CI	76			Protocol Amenament: New	Paga-us CAP Protocol including New	P903-09
				r010c0	Investigator 1572 and CV, Transter of Obligation (TOO) information. Medical	
			49		Monitor CV: Paul Eckburg	
18-Jun-07	91			Protocol Amendment: New	P903-06 and P903-07 New Investigator	P903-06
			49	Investigators	1572 and CV	P903-07
14-Jun-07	8		40	Information Amendment:	nonclinical study report: P0903-T-015	
44 1.12 07	$\perp$			Left maccology/ Toxicology		
	3				summary of changes Edition 8, dated 23 May 2007, supersedes edition 7, dated 20 September 2006	
		3	49			
14-Jun-07	88		49	Protocol Amendment: New Protocol	P903-17 IM Protocol including New Investigator 1572 and CV	P903-17
01-Jun-07	87			Response to FDA Request for	Submission of "highlights of clinical	P903-05
				Information	pharmacology" for P903-05 Thorough ECG trial (TET) protocol requested by FDA on 21	
					May 2007 via email. Reference is made to	
					submission 082 protocol submission and	
			49		request for comments.	
29-May-07	<u>86</u>	-		Response to FDA Request for Information	Mini briefing book for Type A meeting scheduled for 07 June 2007. Related submission 075 and 076 "Request for	
			49		Meeting" for non-inferiority justification	

## Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
18-May-07	<u>58</u>		49	Protocol Amendment: New Investigators	New investigator 1572 and CV for P903-06	P903-06 P903-07
10-May-07	84			Information Amendment:	Nonclinical study report: P0903-M-018	
			49	Chemistry/ Microbiology	•	
10-May-07	83			Information Amendment:	nonclinical study reports: P903-T-014,	
			48	Pharmacology/ Toxicology	P0903-P-006, P0903-P-007, P0903-P-008	
08-May-07	82			Information Amendment: Clinical	P903-05 Thorough ECG trial (TET) protocol P903-05	P903-05
			48		requesting rDA review.comments	
04-May-07	81			IND Safety Reports: Follow-up to	CRXA2007000004 Anaphylactoid	P903-07
			48	Written Report	reaction/anaphylactic reaction, Subject No. 0003-07006 Follow-up 2	
09-May-07	80			Protocol Amendment: Change in	P903-06 and P903-07 Protocol Amendment P903-06	P903-06
-				Protocol	2 (change: increase sample size,	P903-07
			48		modification of Vancomycin dose, addition of PK)	
24-Apr-07	62			IND Safety Reports: Follow-ups to Written Reports	Follow-up safety reports for CRXA200700003-Subject No. 0028-07002	
			48		CRXA2007000004-Subject No. 0003-07006	
20-Apr-07	<u>78</u>			Protocol Amendment: New Investigators	Fourth investigator submission for cSSSI. Twenty three (23) investigators were	P903-06 and P903-07
		,				
			48			

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial	2	Submisssion/Correspondence		
Date	╛	NO.	BOOK NO.	No. Iype	Content	Protocol
17-Apr-07	77			Other: Response to Division	Dated 15 March 2007 Related to the	
			47	Comments	Special Protocol Assessment: Community - acquired Pneumonia	
12-Apr-07	97			Other: Request for Type A Meeting	Request meeting to discuss changes to	P903-08
					protocol P903-08 Mini briefing document	
				-	for discussion	
12-Apr-07	75		47	Other: Request for Type A Meeting	Request meeting to discuss the NI margin for protocol P903-08 and P90-09	P903-08 P903-09
06-Apr-07	74			Response to FDA Request for	Response to microbiology comments –	
			47	Information	Laboratory Manuals included	
06-Apr-07	73			IND Safety Report: Initial Written	CRXA2007000004 Sub: 0003-07006/S-S	P903-07
			47	Report	Anaphalactoid Reaction [Anaphylactic reaction]	
04-Apr-07	72			IND Safety Report: Initial Written	CRXA2007000003 Sub. 0028-07002/TJB	P903-07
				Report	Hypotension [hypotension], Pre-renal	
100					מלחנים מסתוב חובובוומו ומווחוב	
04-Apr-07	71			Protocol Amendment: New Protocol	Original Protocol P903-04 (renal study), investigator 1572 and CV	P903-04
05-Apr-07	02			Other: Response to Division	Ceftaroline fosamil Other: Response to	
				Comments Dated 07 February	Division Comments Dated 07 February	
				2007	2007	
21-Mar-07	69		45	Other: New Form FDA 1571	USAN name change to ceftaroline fosamil	
21-Mar-07	88			Protocol Amendment: New	New Investigator 1572 and CV	P003_06
			45	Investigators		P903-07
15-Mar-07	<del>79</del>			Information Amendment:	Submission of preclinical reports:	
				Pharmacology-Toxicology	Microbiology,: P0903-M-004/011, P0903-M-	
			88		016, P0903-M-020 Toxicology: P0903-T-	
			through		010, P0903-T-011, P0903-T-012, P0903-T-	
	$\perp$		44		013 (9 volumes)	
13-Mar-07	<u>66</u>		37	Annual Report	Second Annual Report (Report period: 13Jan2006-12Jan2007)	
09-Mar-07	<u>65</u>		37	Response to FDA Request for Information	Literature referenced in ser. 062, in response to request of 09-Mar-07	

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	k No. Type	Content	Protocol
21-Feb-07	<u>8</u>		37	Protocol Amendment: New Investigators	New Investigator 1572 and CV	P903-06 P903-07
26-Jan-07	<u>63</u>		37	Other: Request for Special Protocol	Other: Request for Special Protocol Special Protocol Assessment (Protocol Assessment	P903-09
26-Jan-07	62		37	Other: Request for Special Protocol Assessment	Other: Request for Special Protocol Special Protocol Assessment (Protocol Assessment (Protocol Assessment	P903-08
18-Jan-07	61			Response to FDA Request for Information	Submission of references in support of justification of the 10% non-inferiority	
. 0			36		margin	
16-Jan-07	<u></u>			Protocol Amendment: Change in	Submission of Amendment 1 for protocols	P903-06,
			36	Protocol	P903-06, and P903-07.	Am1 P903-07, Am1
16-Jan-07	<u>59</u>		36	Protocol Amendment: New investigator	New Investigator 1572 and CV	P903-06 P903-07
16-Jan-07	28			Information Amendment:	Proposal for Provisional Interpretive Criteria	+
			36	Chemistry/Microbiology	for Ceftaroline	
16-Jan-07	<u>27</u>		36	Other: Response to Comments Dated 15 November 2006	Attachment 1: Response to comments Attachment 2: Microbiology Laboratory Manual	
01-Dec-06	56			Information Amendment	Blend data in CTD format	
	31		(	Chemistry-Microbiology/		
			36	Pharmacology-Toxicology		
22-Nov-06	<u>55</u>		36	Other: Response to Division Comments	Response to division comments dated 20 October 2006, NI justification for cSSSI	
10-Nov-06	54		,	Protocol Amendment: New	Original Protocols P903-06 and P903-07,	P903-06
			36	Protocol	TOO information	P903-07
27-Oct-06	<u>53</u>			Other: Minutes from EOP2	Cerexa's Minutes from EOP2	
			35	releconference nela 24 October 2006	teleconference held 24 October 2006	
24-Oct-06	<del>2</del> 2			Other: Agenda for EOP2	Meeting Agenda for End of Phase 2	
			35	eleconference of 24 October 2006   Teleconference - 24 October 2006	Teleconference - 24 October 2006	

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
17-Oct-06	51			Information Amendment:	Submission of nonclinical report number	
				Chemistry-Microbiology/	P0903-M-010	
			35	Pharmacology-Toxicology		
21-Sep-06	20			Other: Briefing book for EOP2	Briefing book for EOP2 meeting	
			35	meeting		
08-Sep-06	6			Information Amendment:	Submission of nonclinical report numbers	
				Chemistry-Microbiology/	P0903-M-006, P0903-M-012, and P0903-P-	
			34	Pharmacology-Toxicology	004	
21-Aug-06	왕			Other: Reschedule EOP2 Meeting	Request for rescheduling the EOP2 meeting	-
			34		during the week of 16 October.	
17-Aug-06	47			Information Amendment:	Submitting final Pre-Clinical Reports (P0903	m
				Chemistry-Microbiology/	M-007/P0903-M-008; P0903-M-014; P0903	m
				Pharmacology-Toxicology	P-005). P0903-M-007/M-008 was	
					resubmitted because pages were missing in	
					the original submission in Serial #41 due to	·
			34		photocopying error.	
04-Aug-06	9			Other: Revised Form FDA-1572	Submitting revised 1572 w/correct name for P903-03	P903-03
			33		Focus and addition of a ethics committee.	
04-Aug-06	45			Other: Revised Form FDA-1571	Submitting Updated Chemical Name from	
					USAN Adoption Letter that was used in the	
			33		CMC End of Phase 2 Meeting in Serial. 43	
24 1.11 06	, v		3	Other: December 5: - 7	L C	~+
31-Jul-06	44		33	Other: Kequest for Type B Meeting)	Request for Type B Meeting (End of Phase 2)	EOP2
31-Jul-06	43		33	Other: Request for Type C	Request for Type C Meeting (End of Phase	EOP2:
22 May 06	ç			T	COMO 7	CINIC
23-IVIAY-00	77		33	Other Revised Form FDA-15/2	Adding New Subinvestigators to P903-03 site (Vivar Mendoza)	P903-03

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
23-May-06	41			Information Amendment:	Submitting final Pre-Clinical Reports (P0903	
				Chemistry-Microbiology/	T-03; P0903-T-42; P0903-M-005; P0903-M-	
				Pharmacology-Toxicology	007/P0903-M-008; P0903-M-013); In vitro	
					genetic toxicity testing on active metabolite	
					(FDA request in FDA 05Aug05 ltr); P0903-	
					M-005 response to FDA request to assess	
			33	0.00	why c	
23-May-06	위			Other: Revised Form FDA-1571	Submitting Updated Chemical Name from	
			33		USAN Adoption Letter	
27-Apr-06	ଞ୍ଚା		33	Other: Revised Form FDA-1571	Submitting USAN name ceftaroline acetate	
28-Mar-06	38			Information Amendment:	Submitting final Pre-Clinical Reports (P0903	
			33	Pharmacology-Toxicology	P-001; P0903-P-002; P0903-P-003)	
23-Mar-06	37			Protocol Amendment: New	Submitting new investigator for P903-03	P903-03
			33	Investigator		
23-Mar-06	98			Information Amendment:	Submitting Infusion Solution Stability Study	
				Chemistry/ Microbiology	Results; Support for use period in clinical	_
					study of 24 hours refrigerated followed by 6	
			33		hours of room temperature	
07-Mar-06	35		Č	Annual Report	First Annual Report (Report period:	
			35		13Jan2005-12Jan2006)	
22-Feb-06	34			Information Amendment:	New manufacturer information for DMF	
			33	Chemistry/ Microbiology	Type III, N 17506 Sterbag Packaging System ( Facta to ACS Dobfar)	
22-Feb-06	33			Other: Revised Form FDA-1572	o P903-02	P903-02,
					sites (Swan and Marbury); Correcting Focus P903-03	P903-03
			,		Bio-Inova, Inc name on Rodriquez (P903-	
			33			
22-Feb-06	35			Protocol Amendment: New	Submitting new investigator for P903-03	P903-03
			33	Investigator		
22-Feb-06	33			Other: Revised Form FDA-1571	Submitting other research identifier (PPI-	
			33	· .	0903 Injection, TAK-599); Change title of Mary O'Hara Zimmerman to VP	

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Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
13-Jan-06	ଚା		33	Information Amendment: Chemistry/ Microbiology	Submitting drug labels for P903-02, P903-00.	P903-02, P903-03
12-Jan-06	29			Protocol Amendment: New	Submitting new investigator for P903-03	P903-03
			33	Investigator		
30-Dec-05	28		32	Other: Request for Fast Track Destination	Submitted Request for Fast Track Destination	
21-Dec-05	27			Protocol Amendment: Change in	Submitted P903-03 Amendment 2 and	P903-03
			32	Protocol	Summary of Protocol Changes; inclusion of mild renal subjects	
16-Dec-05	<u>26</u>			Other: Revised Form FDA-1572	Submitting Revised 1572 w/ new sub-	P903-03
			32		investigator information	
11-Nov-05	25		32	Other: Revised Form FDA-1572	Submitting Revised 1572 w/ new sub- investigator information	P903-02
11-Nov-05	24		32	Protocol Amendment: New Investigator	Submitting new investigator for P903-03	P903-03
12-Oct-05	<u>23</u>		32	Protocol Amendment: New Investigator	Submitting new investigator for P903-03	P903-03
27-Sep-05	22			Protocol Amendment: Change in	Submitted P903-02 Amendment 5 and	P903-02
			32	Protocol	Summary of Protocol Changes	
12-Sep-05	21		32	Response to FDA request for information	Response to FDA official comments dated	P903-03;
3			3		CONTRACTO	2
12-Sep-05	50		32	Protocol Amendment: New Investigator	Submitting new investigator for P903-03	P903-03
12-Sep-05	19			Protocol Amendment: New	Submitting P903-03 Amendment 1; Original P903-03	P903-03
				Protocol	draft was submitted as #009; Transfer of	
			32		responsibility statement included in cover Jetter	
08-Sep-05	18			Other: Revised Form FDA-1572	Submitting Revised 1572 w/ new sub-	P903-02
			32		investigator information	
11-Jul-05	7			Protocol amendment: Change in	Submitted P903-02 Amendment #4 and	P903-02
			32	Protocol	Summary of Protocol Changes	
11-Jul-05	16		32	Information Amendment: Clinical	Submitted IB Edition 6; Changing PPI to Cerexa.	

Cerexa, Inc. Ceftaroline for Injection

		FDA				
	Serial	Serial		Submisssion/Correspondence		
Date	No.	No.	Book No.	No. Type	Content	Protocol
07-Jul-05	15		32	Other: Revised Form FDA-1571	Submitting Revised 1571 w/ new Sponsor, Medical Monitor, Contact person. Medical Monitor CV enclosed	
30-Jun-05	14		31	Other: Transfer of IND Sponsorship	Other: Transfer of IND Sponsorship Change of Sponsorship to Cerexa and contact person to MOZ.	
03-May-05	13		31	Response to FDA request for information	Response to FDA official comments dated 08Apr2005	Original IND
25-Apr-05	12		31	Information Amendment: Clinical	Submitting final Bioanalytical report referenced in PK report previously submitted w/ original IND and Ser. 003	
25-Mar-05	11		31	Information Amendment: Clinical	Submitting updated IB (edition 5, dated March 18, 2005)	
10-Mar-05	9	:	31	IND Safety Report: Follow Up	Submitting final toxicology report. Previously submitted in Ser. 005 (IND Safety Report).	
09-Mar-05	6		31	Protocol Amendment: New Protocol	Submitting P903-03	P903-03
09-Mar-05	81		30	Information Amendment: Pharmacology-Toxicology	Submitting two final toxicology report. Previous submitted in IND and serial no. 5 (Safety Report).	
28-Feb-05	7		29	Protocol amendment: Change in Protocol	Submitting Amendment 2 of P903-02	P903-02
12-Feb-05	9		29	General Correspondence	Letter to FDA: Requesting acknowledgement of receipt of IND.	
08-Feb-05	2		29	IND Safety Report: Initial	Submitting preliminary safety data from toxicology study in rabbits.	
08-Feb-05	41		29	Protocol amendment: new investigator	Submitting new investigator for P903-02	P903-02
02-Feb-05	င၊		28	Information Amendment: Clinical	Submitting Final PK report for P903-01	P903-01
01-Feb-05	2		28	Protocol amendment: Change in Protocol	Submitting Amendment 1of P903-02	P903-02
19-Jan-05	<u></u>		28	General correspondence	Change in PPI regulatory contact to Sharon Powell	

Cerexa, Inc. Ceftaroline for Injection

	Serial	FDA Serial	Submisssion/Correspondence		
Date	No.		Book No. Type	Content	Protocol
10-Dec-04			Book 1- Original IND submission	Original IND for PPI-0903 (Volumes 1-27). P903-02	P903-02
	0		27		

## NDA CORRESPONDENCE LOG

		NDA CORRESPON		
Date of				
Correspondence	No.	Communication Type	Description	Protocol
			Informing EDA of Aming of American AIDA	
24 Dec 00		C	Informing FDA of timing of upcoming NDA	ŀ
24-Dec-09		General	submission  Notifying FDA and providing the Automatic	
			Receipt that the NDA has been received	
30-Dec-09		General	through the gateway	
30-Dec-03		General	ROC: contacting FDA to get verbal	
			confirmation that they have received the	
30-Dec-09 a		General	NDA in the electronic document room	
30-Dec-09 a		General	Acknowledgement from FDA that the NDA	
04-Jan-10		General	has arrived	
<u> </u>		General	FDA letter acknowledging receipt of NDA.	
11-Jan-10		FDA Letter	60-day review period ends 2/28/10	
11-Jan-10		I DA Lettel	Division of Scientific Investigations (DSI)	
			requested summary level clinical site data	
			for data integrity review and inspection	
12-Jan-10		Response/comments	planning	•
12-3411-10	i	response/comments	Call from FDA requesting location of	
13-Jan-10		Response/comments	Establishment Information in the NDA	
10-0411-10	ļ	Tresponse/comments	FDA request the location of the pediatric	
13-Jan-10 a		Response/comments	assessment in the NDA	
10-0411-10-4		response/comments	FDA request we resubmit the PPSR to	
14-Jan-10		Response/comments	satisfy the pediatric assessment	
14 0011 10		r coponido, commento	Wendy Gill email to esubs on the proper	
14-Jan-10 a		General	way to submit the revised datasets	
7.1.0411.1.04				
			Providing summary of dataset changes for	
14-Jan-10 b	1	Response/comments	Phase 3 studies and requesting a telecon	
	·		Request meeting to talk about dataset	
<u>15-Jan-10</u>		General	changes	
	<u> </u>		Response from CDER eData to Wendy Gill	
			on the proper way to submit the revised	
19-Jan-10		General	datasets	Phase 3
			Cerexa cancels meeting to discuss Phase 3	
			datasets since received notification from	
20-Jan-10		General	CDER eData group	Phase 3
			Carmen Debellas(FDA) emailed asking	
			when they could expect the datasets. SG	
<u>21-Jan-10</u>		General	responded that they would be there on 1/25	
<u>29-Jan-10</u>		General	FDA Reviewer unable to view CMC Module	
			Wendy Gill email to esubs regarding CMC	
	,		dataset review issue. Preston Whitaker	
			GlobalSubmit Product Support response	
<u>01-Feb-10</u>	<u> </u>	Response/comments	attached.	

		IEDA Baviawas unable to view CMC	T
		FDA Reviewer unable to view CMC	
02 Eab 10	Doonooo (oommonto	Module. Cerexa suggest converting to	
02-Feb-10	Response/comments	excel. Excel should be filed to NDA.	
		Agency provides guidance on how to satisfy	
00 5 1 40		pediatric assessment (request from PM	
<u>02-Feb-10 a</u>	Response/comments	13Jan10)	
	İ		ļ
		Question from IRT/QT review team 17-Feb-	
		10 Carmen request for slopes of QTclb and	
		QTclc, 19-Feb-10 Carmen clarifies request,	
		23-Feb-10 Cerexa response to location of	
23-Feb-10	Response/comments	QT dataset in the NDA	P903-05
		Response to IRT/QT review team dated 23-	
24-Feb-10	Response/comments	Feb-10 was sufficient.	P903-05
		Confirming no outstanding items before the	
		60 day review period. Confirm the issue	
25-Feb-10	General	letter will contain priority review status.	·
,		Cerexa request call in with Carmen to	
01-Mar-10	General	discuss NDA's fileability at day 60.	
		Telecon with FDA to discuss the NDA's	
		fileability at day 60, priority review status,	
		120 day safety report, AC meeting, and	
<u>02-Mar-10</u>	General	Carmen's contact during his medical leave.	
02 Wai 10	Ceneral	Emailed Francis LeSane to ensure Cerexa	
04-Mar-10	General	had the right email address.	
04-Wai-10	Gerierai	Called and emailed interim PM Frances	
		LeSane regarding the fileability letter and	
17 Mos 10	General		~ .
<u>17-Mar-10</u>	General	NDA questions.	
		Emailed interim PM Frances LeSane and	
40.34		Jeannie Davis regarding the fileability letter	
<u>19-Mar-10</u>	General	and NDA questions.	
		electronic version - Response to starting	
		material submitted for comments to FDA	
<u>25-Mar-10</u>	Response/comments	Oct. 2, 2009	
		official comments for CMC - starting	
	_	material and NDA comments (received via	
<u>03/25/2010 a</u>	Response/comments	mail Apr. 1, 2010)	
		Official copy of filability letter (does not	
		contain questions on dataset) (received via	
<u>26-Mar-10</u>	FDA Letter	mail 06Apr10)	
	1 .	Request for information: Status of fileability	
	<u> </u>	letter and questions, how to request a	
		informational meeting, topics of the April 29	
<u>30-Mar-10</u>	General	AC meeting, status of Carmen DeBellas	
		Request copy of fileability letter and NDA	
01-Apr-10	General	comments via email	
<u> </u>	Locitoral	Toominorito via cinari	

	T		r
		Cerexa request a meeting to discuss how	
2-Apr-10	Response/comments	we should submitt the PK error summary.	
		email copy of filability letter and request for	
02-Apr-10 a	Response/comments	datasets	
<u> </u>	Tresponder deministra	databoto	
07-Apr-10	Response/comments	DSI request list of Phase 3 CRO monitors	
		Proprietary Name Request unacceptable.	<del></del>
		Resubmit APTARIN (received via mail	
<u>07-Apr-10 a</u>	FDA Letter	13Apr10)	<u> </u>
08-Apr-10	Response/comments	Email of DSI request for Phase 3 CRO	
		Forward email to DSI (8Apr10) to Carmen	
<u>09-Apr-10</u>	General	DeBellas	
	- Ceneral	Pharmacology comments re. thawed	SN 0000,
12-Apr-10	Response/comments	samples from study P903-01	P903-01
12-Api-10	Response/comments	samples from study P903-01	P903-01
		amail Cormon and Instruit AIDA ON 2000	
		email Carmen and Jeannie NDA SN 0008,	
44.4.40		response to starting material submission	
<u>14-Apr-10</u>	Response/comments	and CMC question in fileability letter	SN 0008
		Data and a same	
		Dataset request - phase 3 CABP, request	P903-08,
<u>19-Apr-10</u>	Response/comments	for con meds considered antipyretic	P903-09
<u>19-Apr-10 a</u>	General	Request date for AC meeting	
<u>19-Apr-10 b</u>	General	Telecon agenda for 4/20 call	
		Pharmacology comments re. P903-13.	
		Provide validation data to ensure CPT urine	
		concentration did not exceed the upper	
20-Apr-10	Response/comments	limit of standard curve range	P903-13
		ROC: discussed proprietary name, AC	
		meeting, pediatric plan and deferral,	
		sponsor audit, NDA communication, PM	
20-Apr-10 a	General	retirement plans	
		Provide PM DSI minutes: request for list of	
		CRO (4/7/10) and inquiry of location of files	
21-Apr-10	General	(4/14/10)	
<u> </u>	Jonordi	AC meeting logistics - BB, presentation,	
21-Apr-10 a	General	safety, Q&A	
22-Apr-10	General	AC meeting logistics - safety, location	
<u> </u>	General	CMC comments (received 30Apr10 via	
22 Apr 10	Posnonso/somments	l '	
<u>23-Apr-10</u>	Response/comments	mail)	
20 4 40	Conoral	AC meeting logistics - FDA response to	
28-Apr-10	General	safety presentation and location	
20.4.4.1	0	AC meeting logistics - FDA response to	
<u>30-Apr-10</u>	General	safety presentation	
	1	Inform FDA that Cerexa received the CMC	
		comments. Request that an electronic copy	
		of comments be provided to avoid delay in	
<u>30-Apr-10 a</u>	General	the response.	
		Asked Advisor and Consultant group (Minh	
<u>03-May-10</u>	General	Doan) location of the AC meeting in Sept.	

		Dr. Marisa M. White request information on
10-May-10	Audit/inspections	Russian sites for audit
		Richard Reeve left message with Dr.
<u>11-May-10</u>	Audit/inspections	Marisa White
12-May-10	Audit/inspections	site inspection anouncement: Georgia
12-May-10 a	Audit/inspections	site inspection anouncement: Ukraine
13-May-10	Audit/inspections	inspection fu: Ukraine
<u>13-May-10 a</u>	Audit/inspections	inspection fu: Georgia
		inspection fu: Russia. Letter stating
		inspection ready for sites: Popova,
<u>14-May-10</u>	Audit/inspections	Konychev, Goryunov
<u>20-May-10</u>	Audit/inspections	inspection fu: Russia. Business Visa
		Request for all new datasets with consistant
		patient id numbering (request made
<u>20-May-10 a</u>	Response/comments	18May10 via email)
		inspection fu: Ukraine. Letter stating
		inspection ready for sites: Kraydashenko
21-May-10	Audit/inspections	and Yashyna
		inspection fu: Georgia. Status on
21-May-10 a	Audit/inspections	Tabukashvili
24-May-10	Audit/inspections	inspection fu: Russia hotels
		FDA requested a meeting to present how
j		they plan to analyze the data in the NDA
-		(meeting June 1, 2010)
		Discuss submission timing of datasets (FDA
		request 18May10)
		Discussed proprietary name
<u>26-May-10</u>	Response/comments	Discussed audits in Ex-US
		FDA request CRFs for subjects with missing
		data on Day 4 (received via email
<u>27-May-10</u>	Response/comments	27May10)
<u>28-May-10</u>	FDA Letter	AC meeting July 7, 2010 logistics
		inspection fu Russia: Mr Fleckenstein's
28-May-10 a	Audit/inspections	itinerary and hotel
		Cerexa and FDA attendee list from June 1,
<u>04-Jun-10</u>	General	2010 telecon with FDA
		Comments on analysis population and
		efficacy endpoint for cSSSI and CABP.
		Document discussed on June 1, 2010
04-Jun-10 a	Response/comments	meeting
		Carmen checking if we received comments
		on analysis population and effecacy
<u>07-Jun-10</u>	General	endpoint
		Clinical Pharmacology Reviewer request:
	D	provide files for population PK reports 174-
<u>08-Jun-10</u>	Response/comments	3 and 174-4
		Emailed Minh Doan on where Cerexa
00 1 40		should submit the requested items from the
<u>09-Jun-10</u>	Response/comments	AC letter.

	<del></del>	
		internal meeting minutes: meeting
		regarding additional sensitivity analysis
<u>01-Jun-10</u>	Meerting Minutes	planned by the FDA
	ľ	·
		Response to AC letter: List of Investigators
<u>10-Jun-10</u>	Response/comments	and preliminary Meeting Participants
		AC meeting logistics: copies to be sent to
<u>10-Jun-10 a</u>	General	PM Carmen DeBellas and NDA
<u>10-Jun-10 b</u>	Audit/inspections	Ukraine inspection: request for protocol
		AC logistics: propose AC meeting agenda.
<u>16-Jun-10</u>	Response/comments	FDA response on presentation timing.
		Notifying Jeannie David of CMC
		submission SN 0020 (stability report for 12
<u>23-Jun-10</u>	General	month data)
		Clinical Information request: Request for
		additional information from subjects in P903
1		06, P903-07 and P903-08. FDA clarified
		information needed to assess association of
24-Jun-10	Response/comments	drug to deaths/SAEs leading to death.
		Response to 4Jun10 document from FDA
24-Jun-10 a	Response/comments	on CABP additional analysis
28-Jun-10	Response/comments	Request for P903-06 and P903-07 CRF
20 0411 10	i teepensereeniments	FDA revised request to 4Jun10 document
		on CABP additional analysis. Cerexa's
30-Jun-10	Response/comments	request for clarification.
00 0011 10	response/comments	FDA response to Cerexa's request for
		clarification dated 29Jun10 (see corr. Dated
		30Jun10). Additional request for P903-08
02-Jul-10	Response/comments	and P903-09 CRFs
- <del> </del>	1 Coponscioniments	Location of AC meeting (also available in
06-Jul-10	General	FR Notice website)
00 001 10	General	Request status of 4Jun10 document. Status
<u>07-Jul-10</u>	Response/comments	of cSSSI response
<u> </u>	r caponae/comments	Question about the pediatric plan and NDA,
08-Jul-10	Response/comments	are the documents linked.
00-041-10	r coponacioniments	contact information on Industry
	[	1
<u>13-Jul-10</u>	General	Representative at the Cerexa AC meeting.  Dr. Joe Camardo
13-341-10	Jeneral	DI. JUE Califatuu
		1. Status on the comments of the little of t
	1	1. Status on the comments on analysis
		population and efficacy endpoint for
		cSSSI (clarification on the June 4
		document from FDA)
	1	Status on the request to include H.
	1	Parainfluenzae as a pathogen in CABP
		3. Response to Cerexa email (July 8,
		2010) on the pediatric plan, deferral,
<u>14-Jul-10</u>	General	and PPSR relative to NDA approval

1		Follow-up to discussion on 14-Jul-10.	
44 1.1 40 -	0	Provide cSSSI comments that need a	
14-Jul-10 a	General	response from the Clinical Reviewer	
45 101 40	Camaral	Forward information about the Cerexa site	
<u>15-Jul-10</u>	General	audit	
00 1 140	<b> </b>	Microbiology question regarding	
20-Jul-10	Response/comments	Streptococcus pneumoniae	
		Response to cSSSI and CABP additional	
		endpoints provided June 4 (clarification	
00 1 140	B	request provided NDA SN 0019).	
20-Jul-10 a	Response/comments	Additional request for information	<del> ·                                   </del>
24 1-140	0	Another Microbiology question regarding H.	
<u>21-Jul-10</u>	Response/comments	parainfluenzae and H. influenzae	
20 1.1.40	D	Copy of response to microbiology questions	
<u>26-Jul-10</u>	Response/comments	(from 20Jul and 21Jul).	
20 1.1 40	Deemana /a amana a /a	CMC questions - PI change and USAN	
28-Jul-10	Response/comments	clarification	
29-Jul-10	General	AC logistics - shipment of BB	
201.140 =	Company	AC logistics - BB addendum, separate BB	
29Jul10 a	General	for each indication, CD of BB  Cerexa vs. FDA - differences in CABP	
02 4 10	Doorgood to a marke	1	
<u>02-Aug-10</u>	Response/comments	additional analysis (missmatch)	
00 0 10	D	Response to CMC comment provide	
03-Aug-10	Response/comments	28Jul10	
04.440	0	Minh confirmed she received the breifing	
04-Aug-10	General	books for the AC meeting	
04 4 40 -	0	Contacted Carmen and Jeannie about	
04-Aug-10 a	General	getting a audit waiver for ACS Dobfar	
		Clinical Pharmacology Reviewer request: clarification to ICPD 00174-8 and ICPD	
04 4 40 5	Boones to a manufacture		
04-Aug-10 b	Response/comments	00174-9 AUC value	•
05 4 10	Canada	Confirming Carmen received his copies of	
<u>05-Aug-10</u>	General	the briefing book.	
		Clinical Pharmacolgoy Review request: provide datasets for ICPD 00174-8 and	
05 Aug 10 g	Pernoncelcomments	ICPD 00174-9	
05-Aug-10 a	Response/comments	-Requested timing on getting the cSSSI	
		Reviewers comments on the mix-match	;
1		between Cerexa and FDA additional	
		analysis.	
		-Carmen confirmed reciept of 10 copies of	
05 445 10 5	Conoral	1	
<u>05-Aug-10 b</u>	General	briefing book.  Provide Carmen copy of Cerexa's response	
		• • • • • • • • • • • • • • • • • • • •	
		to missmatch identified by FDA on the	
06 4 40	Boonenso/sammarts	CABP additional analysis (comments	
<u>06-Aug-10</u>	Response/comments	02Aug10)	
12 40- 10	Conoral	ROC: Request clarification on the final list	
<u>12-Aug-10</u>	General	of attendees for the AC meeting	

<u> </u>		-Status of the FDA BB
		1
		-FDA response to Cerexa's comment on the
12 10 10	Deen enge/enmmente	mismatch CABP additional analysis (FDA
<u>13-Aug-10</u>	Response/comments	response to NDA SN 0030)
42 4 40 -	D	Cerexa vs. FDA - differences in cSSSI
<u>13-Aug-10 a</u>	Response/comments	additional analysis (mismatch)
10.4 10.1		Informing Cerexa that the FDA BB will be
<u>13-Aug-10 b</u>	General	sent on CD
		More Cerexa vs. FDA - differences in
<u>16-Aug-10</u>	Response/comments	cSSSI additional analysis (mismatch)
17-Aug-10	FDA Letter	FDA Briefing Book
<u>18-Aug-10</u>	General	Final list of Speakers and Responders
		Request clarification on cSSSI secondary
<u>19-Aug-10</u>	Response/comments	endpoints (received on 16Aug10)
		Heads up - requesting meeting to discuss
<u>19-Aug-10 a</u>	Response/comments	break point information in the FDA BB
		Request clarification on how to provide
<u>19-Aug-10 b</u>	General	errata/corrections to the FDA BB
		FDA response to Cerexa's clarification
		question provided 19Aug10 regarding
		cSSSI secondary endpoint request from
19-Aug-10 c	Response/comments	FDA (16Aug10)
		Cerexa response to the FDA AIDAC
		briefing book - request for meeting to
		discuss proposed in vitro susceptibility test
19-Aug-10 d	Response/comments	interpretation criteria (breakpoint)
		Cerexa submits the request for redaction to
	·	the FDA briefing book. FDA responds that
		they do not plan on redacting the briefing
		book. The breakpoint will be discussed
20-Aug-10	Response/comments	during the AC meeting.
20-74g-10	response/comments	Updated list of AIDAC presenters and
		responders. FDA requesting Dr. Ambrose
22 Aug 10	General	to follow MAPP
<u>23-Aug-10</u>	General	Meeting logistics for 26Aug10 meeting to
24 40~ 40	General	
<u>24-Aug-10</u>	General	discuss FDA briefing book
24 40 - 40 -	Conoral	Provide Cerexa briefing book addendum to FDA PM and AIDAC PM
24-Aug-10 a	General	
25 40- 40	Boonones/somments	Information request - additional analysis for studies P903-06/-07
<u>25-Aug-10</u>	Response/comments	· · · · · · · · · · · · · · · · · · ·
	[	Cerexa briefing book addendum with
20.4	Conord	correct cover page and footer to FDA PM
<u>26-Aug-10</u>	General	and AIDAC PM
		Meeting minutes from 26 Aug 10 informal
		telecon to discuss breakpoint differences in
26-Aug-10 a	Meeting Minutes	AC briefing books
<u>27-Aug-10</u>	General	Request AC member list and questions
	·	
30-Aug-10	General	Attendee list from August 26th meeting

r		JEDANI C. C. C. C. C. C. C. C. C. C. C. C. C.
20 Aug 10 a	Poonanao/eemments	FDA listing of patients as a follow-up to the
<u>30-Aug-10 a</u>	Response/comments	26 Aug 2010 telecon
		Meeting minutes from 30Aug10 telecon on
		clarification to FDA information requeset
30-Aug-10 b	Meeting Minutes	dated 25Aug10
		Provide FDA list of clarification questions
		from 25Aug10 Request for Additional
		Informaiton for P903-06/-07. Includes
<u>31-Aug-10</u>	Response/comments	attendee list.
		FDA letter on final logistics for the 07Sep10
31-Aug-10 a	FDA Letter	AIDAC meeting
		Submission of Cerexa action item from
	•	25Aug10 information request and 30Aug10
31-Aug-10 b	Response/comments	telecon
317/ug-10 b	r (caponac/comments	
		Dr. Ambrose received Director approval to
04.0- 40	Camarst	participate in AIDAC as a sponsor
01-Sep-10	General	representative
00.0 40	Decrees	Errata to FDA briefing book was provided
02-Sep-10	Response/comments	and email stating FDA not issuing errata
02-Sep-10 a	General	AC logistics - monitors
02-Sep-10 b	General	Attendee list clarification
		Cerexa tables as an action item from
02-Sep-10 c	Posponso/sommonts	
02-3ep-10 C	Response/comments	25Aug10 information request and 30Aug10  Data from Dr. Bhattacharya site and all
		India sites form P903-09 will be excluded
03-Sep-10	Response/comments	from the FDA analysis at the AC meeting
03-3ep-10	Tresponse/comments	Request whe PeRC meeting will occur and
<u> </u>		inform that the protocol will be submitted to
13-Sep-10	General	IND.
10 000 10	Gerier <b>a</b>	FDA requesting analysis that was requested
		on 25Aug10. Cerexa requested clarification
14-Sep-10	Response/comments	on FDA's request
14-Sep-10 a	General	Request status of label negotiations
		Informing FDA tables that will be provided
		to respond to 25Aug10 FDA information
16-Sep-10	Response/comments	request
		Cerexa informed/request the following:
		1) status of the proposed proprietary name
		approval. PM said it was approved but
		official letter of approval will be provided
		12Oct
		2) informed the FDA that we have a new
<u>20-Sep-10</u>	Response/comment	updated label for submission
		Provide updated package insert with
<u>20-Sep-10 a</u>	Response/comment	changes highlighted
		Respond to FDA question about Module 1
21-Sep-10	Response/comment	Sec. 1.3.4 Financial Disclosure information

23-Sep-10	Response/comment	Provide FDA Pediatric timeline for PREA studies	
30-Sep-10	FDA Letter	Inspection is a part of FDA's Bioresearch Monitoring Program, which includes inspections designed to evaluate the conduct of research and to ensure that the rights, safety, and welfare of the human subjects of those studies have been protected.	P903-06, P903-07, P903-08, P903-09
08-Oct-10	FDA Letter	Proprietary Name Request Conditionally Acceptable	
29-Oct-10	FDA Letter	Approval letter	

## **NDA SUBMISSION LOG**

Date	Serial No.	Submission Type	Content
30-Dec-09	0000	New Drug Application	Original signature and shadow copy for Module  1. All other Modules located in J drive
08-Jan-10	<u>0001</u>	Request for Proprietary Name Review	Proprietary Name - Emercef and Aptarin
20-Jan-10	0002	Response to FDA Request for Additional Information	Establishment Information
26-Jan-10	0003	Response to FDA Request for Additional Information	Division of Scientific Investigational Request Data (DSI) dataset
01-Feb-10	0004	Amendment to a Pending Application	Phase 3 datasets (CSR, SDTM, ISS, ISE) replaced in orignal NDA
29-Jan-10	<u>0005</u>	Response to FDA Request for Additional Information	Resubmission of DSI datasets. Includes number of subjects screened per site.
04-Feb-10	0006	Amendment to a Pending Application	Pediatric plan and deferral request to address missing Pediatric Assessment
09-Apr-10	0007	Response to FDA Request for Additional Information	Request for CRO Information
13-Apr-10	<u>NA</u>		DMF #23167 Dobfar (submitted by Dobfar to DMF)
14-Apr-10	<u>0008</u>	Response to FDA Information Request	1.11.1 Quality Information Amendment
23-Apr-10	0009	Amendment to a Pending Application	Correction to an Error in the Population Pharmacokinetic Analyses
23-Apr-10	<u>0010</u>	Response to FDA Request for Additional Information	Dataset Request and Statistical Questions
28-Apr-10	<u>0011</u>	Amendment to a Pending Application	120-Day Safety Update
29-Apr-10	0012	Response to FDA Information Request	Study P903-13 Clinical Pharmacology Comment
30-Apr-10	<u>0013</u>	Response to FDA Information Request	Study P903-01 Clinical Pharmacology Comment
03-May-10	0014	Response to FDA Information Request	Antipyretic Dataset Request
14-May-10	<u>0015</u>	Response to FDA Information Request	CMC comments
07-Jun-10	<u>0016</u>	Response to FDA Information Request	CRF for subjects missing data at day 4
02-Jun-10	0017	Response to FDA Information Request	Dataset request: unique patient identifier for each patient, that can be used across all data sets
18-Jun-10	0018	Response to FDA Information Request	Clinical Pharmacology Request: PK report 00174 3 and 00174-4 new dataset
21-Jun-10	<u>0019</u>	Response to FDA Information Request	Statistical Analyses: Requests for further clarification to the FDA's correspondence dated 04 June 2010 - comments on analysis population and efficacy endpoint for cSSI and CABP.
23-Jun-10	0020	Response to FDA Information Request: CMC - Additional Stability Data	Stability report submission for twelve (12) months data for the 400 mg strength. Follow-up to 14 April 2010 (SN 0008)

Last Update: 11/12/10 Page 1 of 3

Date	Serial No.	Submission Type	Content
02-Jul-10	<u>0021</u>	Response to FDA Information	Clinical Information Request: Provide CRFs for
	:	Request: Additional Case Report	subjects in Study P903-06 and P903-07
09-Jul-10	0022	Forms Response to FDA Information	Clinical Request for Additional Information
05-541-10	0022	Request	Leading to Subject Death
13-Jul-10	0023	Response to FDA Information	Request for Additional Case Report Forms
	<u>3323</u>	Request: Request for Additional	Trequest for Additional Gase Report Forms
		Case Report Forms	
14-Jul-10	0024	Regust for Proprietary Name	Proprietary Name Analysis for TEFLARO for
		Review: Primary Name: TEFLARO	review by the Div. of Medication Error Prevention
		·	and Analysis (DMEPA), OSE.
20-Jul-10	<u>0025</u>	Response to FDA Information	Includes all requested info for CABP inidcation.
	. —	Request: Statistical Analyses	,
26-Jul-10	<u>0026</u>	Response to FDA Information	Form FDA 356h and Attachment 1
		Request: Microbiology	
02-Aug-10	<u>0027</u>	Response to FDA Information	Cerexa results for cSSSI additional analysis.
		Request: Additional Analyses -	Response to FDA's staphylococcus aureus
		csssi	question on subject 00020646.
			Inform FDA of surgical error.
02-Aug-10	<u>0028</u>	Response to FDA Information	<ul> <li>Package Insert changed to exclude "anhydrous"</li> </ul>
		Request: CMC - Additional Stability	acetic acid free"
	2000	Data	USAN name
04-Aug-10	<u>0029</u>	Briefing Book	Form FDA 356h, including 10 hard copies and
00.4 . 40	0000	Daniel A. EDA I (	10 CDs of PDF of Briefing Book.
09-Aug-10	0030	Response to FDA Information	Response to FDA email 02Aug10 regarding
		Request: CABP Statistical	mismatch FDA observed between Cerexa and
06-Aug-10	0031	Analyses Response to FDA Information	FDA data Form FDA 356h and Attachment 1
100-Aug-10	0031	Request: Clinical Pharmacology	I Offit DA 330ff and Attachment 1
10-Aug-10	0032	Response to FDA Information	Flag cSSSI antipyretic and anti-inflammatory
		Request: Antipyretic Dataset	medication use and response at Day 3 for the
		Request	FDA-defined MITT population
18-Aug-10	0033	Response to FDA Information	Reviewer requested that Cerexa provide all
		Request: Clinical Pharmacology	datasets used in simulations (in .csv format), and
			all the codes for simulation, PKPD target
			attainment assessment and exposure
			assessment based on renal function in Reports
			ICPD 00174-8 and ICPD 00174-9 submitted in
			NDA 200-327.
19-Aug-10	<u>0034</u>	Response to FDA Information	FDA requested additional information on seven
		Request: Request for Additional	case report forms (CRFs) for study P903-08:
Ì		Case Report Forms	5426-08064
			5426-08160
	i		5428-08006
			5428-08007
			5428-08010
ŀ			5428-08075 5428-08098
	L	L	

20-Aug-10   0035   Response to FDA Briefing   Cerexa has a number of significant concerns regarding the proposed in vitro susceptibility test interpretive criteria and requests for a teleconference with the FDA	Date	Serial No.	Submission Type	Content
Interpretive criteria and requests for a teleconference with the FDA	20-Aug-10	0035	Response to FDA Briefing	Cerexa has a number of significant concerns
teleconference with the FDA.			Document	
Briefing Book - addendum   Briefing Book addendum - Identify differences in interpretive criteria proposed by the Agency for S. aureus, S. pneumoniae and H. influenzae described in Tables 4.2 and 4.3 on page 10 of the FDA Briefing Book and request an erratum to the briefing book and request an erratum to the briefing book and request an erratum to the briefing package   Response to FDA Information Request: Request for Additional Analyses   Response to FDA Information Request: Request for Additional Analyses   Response to FDA Information Request: Request for Additional subgroups. Analyses is provided per Cerexa's proposed logic.   Response to FDA Information Request: Request for Additional Analyses   Response to FDA Information Request: Request for Additional Analyses   Response to FDA Information Request: Request for Additional Analyses   Response to FDA Information Request: Request for Additional Analyses   Response to FDA request dated 25 August 2010   additional subgroups. Analyses is provided per Cerexa's proposed logic.   Response to FDA request dated 25 August 2010   additional subgroups. Analyses is provided per FDA's logic.   Polational Analyses   Polational A	]			
interpretive criteria proposed by the Agency for S aureus, S pneumoniae and H. influenzae described in Tables 4.2 and 4.3 on page 10 of the FDA Briefing Book.  30-Aug-10 0037 General Correspondence - Comments to FDA Briefing Book.  16-Sep-10 0038 Response to FDA Information Request: Request for Additional Analyses Response to FDA Information Request: Request for Additional Analyses Response to FDA Information Request: Request for Additional Analyses Response to FDA Information Request: Request for Additional Analyses Response to FDA request dated 25 August 2010 Response to FDA request dated 25 Augus	24 4 10	0026	Driefine Dools added to	
S. aureus, S. pneumoniae and H. influenzae described in Tables 4.2 and 4.3 on page 10 of the FDA Briefing Book and request an erratum to the briefing book and request an erratum to the briefing package	24-Aug-10	0036	Briefing Book - addendum	
described in Tables 4.2 and 4.3 on page 10 of the FDA Briefing Book.	1			
Substitution   Subs				
Comments to FDA Briefing Materials   Book and request an erratum to the briefing Materials   Response to FDA Information   Request: Request for Additional   Analyses   Response to FDA Information   Response to FDA request dated 25 August 2010   - additional information for our Phase 3 cSSSI studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per   Cerexa's proposed logic.   Response to FDA Information   Request: Request for Additional   Analyses   Request for Additional   Analyses   Studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per   FDA's logic.   Department of the provided per   PDA's logic.   Department of the provided per   Department of the provided per   Department of the provided per   Department of the provided per   Department of the provided per   Department of the provided per   Department of the provided per   Department of the provided per   Department of the provided per   Department of th				
Materials   Package   Response to FDA Information   Request: Request for Additional   Analyses   Response to FDA Information   Request: Request for Additional   Analyses   Studies (P903-06 and P903-07) regarding the   key sensitivity analysis on Study days and in   additional subgroups. Analyses   Studies (P903-06 and P903-07) regarding the   key sensitivity analysis on Study days and in   additional subgroups. Analyses   Studies (P903-06 and P903-07) regarding the   key sensitivity analysis on Study days and in   additional subgroups. Analyses   Studies (P903-06 and P903-07) regarding the   key sensitivity analysis on Study days and in   additional subgroups. Analyses   Studies (P903-06 and P903-07) regarding the   key sensitivity analysis on Study days and in   additional subgroups. Analyses is provided per   FDA's lodgic.   PDA's lodgic.   Updated draft package insert - includes track   changed PI which includes ad hoc information   and MRSA in CABP   Pediatric timeline/dates for PREA studies   Pediatric timeline/	30-Aug-10	0037	·	Identified some factual errors to FDA breifing
16-Sep-10   0038   Response to FDA Information Request: Request for Additional Analyses   Response to FDA Information additional information for our Phase 3 cSSSI studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per Cerexa's proposed logic.   Response to FDA Information Request: Request for Additional Analyses   Response to FDA request dated 25 August 2010 - additional subgroups. Analyses is provided per Enalysis on Study days and in additional subgroups. Analyses on Study days and in additional subgroups. Analyses is provided per FDA's logic.   Updated draft package insert - includes track changed PI which includes ad hoc information and MRSA in CABP   Pediatric timeline/dates for PREA studies   Pediatric timeline/dat			•	
Request: Request for Additional Analyses    Response to FDA Information   Property			<del></del>	
Analyses  Analyses  Studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per Cerexa's proposed logic.  20-Sep-10  O039  Response to FDA Information Request: Request for Additional Analyses  Response to FDA request dated 25 August 2010 - additional information for our Phase 3 cSSSI studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per FDA's logic.  21-Sep-10  O040  Labeling: Draft labeling  Updated draft package insert - includes track changed PI which includes ad hoc information and MRSA in CABP  Pediatric timeline/dates for PREA studies  Request: Pediatric Timeline  New Drug Application: Draft Labeling Application  13-Oct-10  O043  Amendment to a Pending Application: Draft Labeling Application  14-Oct-10  O044  Amendment to a Pending Application  Post market Requirement and Post Market Commitment  Amendment to a Pending Application  O045  Application  O046  O047  Amendment to a Pending Application  O048  Application  O049  Amendment to a Pending Application  O049  Amendment to a Pending Application  O049  Amendment to a Pending Application  O041  O042  O043  Amendment to a Pending Application  O044  O045  O046  O047  Amendment to a Pending Application  O048  O049  Amendment to a Pending Application  O049  O049  O049  Amendment to a Pending Opated vial Label  O049  O049  O049  O049  Amendment to a Pending Opated vial Label  O049  O049  O049  O049  Amendment to a Pending Opated vial Label  O049  O049  O049  O049  O049  Amendment to a Pending Opated vial Label  O049  O049  O049  O049  Amendment to a Pending Opated vial Label  O049  O04	16-Sep-10	<u>0038</u>		
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20-Sep-10   0039   Response to FDA Information Request: Request for Additional Analyses   Response to FDA Information Request: Request for Additional Analyses   Response to FDA request dated 25 August 2010 - additional information for our Phase 3 cSSSI studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per FDA's logic.  21-Sep-10   0040   Labeling: Draft labeling   Updated draft package insert - includes track changed PI which includes ad hoc information and MRSA in CABP   Pediatric timeline/dates for PREA studies			Analyses	
Cerexa's proposed logic.	1			
Request: Request for Additional Analyses  - additional information for our Phase 3 cSSSI studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per FDA's logic.  21-Sep-10				1
Analyses  Analyses  Studies (P903-06 and P903-07) regarding the key sensitivity analysis on Study days and in additional subgroups. Analyses is provided per FDA's logic.  21-Sep-10  O040  Labeling: Draft labeling  Updated draft package insert - includes track changed PI which includes ad hoc information and MRSA in CABP  24-Sep-10  O041  Response to FDA Information Request: Pediatric Timeline  13-Oct-10  O042  Amendment to a Pending Application  13-Oct-10  O043  Amendment to a Pending Application: Updated Draft Labeling Application  14-Oct-10  O044  Amendment to a Pending Post market Requirement and Post Market Commitment  18-Oct-10  Amendment to a Pending Post market Requirement and Post Market Commitment  18-Oct-10  O045  Amendment to a Pending Draft Labeling  O046  Application  O047  Amendment to a Pending Updated vial Label  Application  O048  Amendment to a Pending Draft Labeling  Application  O049  Amendment to a Pending Draft Labeling  O041  Amendment to a Pending Draft Labeling  O042  O044  Amendment to a Pending Draft Labeling  O045  Amendment to a Pending Opdated vial Label  O046  Amendment to a Pending Opdated vial Label  O047  Amendment to a Pending Opdated vial Label  Amendment to a Pending Opdated vial Label  Amendment to a Pending Opdated vial Label  O048  Amendment to a Pending Opdated vial Label  O049  Amendment to a Pending Opdated vial Label  O049  Amendment to a Pending Opdated vial Label  O049  Amendment to a Pending Opdated vial Label  O049  O049  Amendment to a Pending Opdated vial Label  O049  O049  Amendment to a Pending Opdated vial Label  O049  O049  Amendment to a Pending Opdated vial Label  O049  O049  Amendment to a Pending Opdated vial Label  O049  O0	20-Sep-10	0039	Response to FDA Information	Response to FDA request dated 25 August 2010
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additional subgroups. Analyses is provided per FDA's logic.  21-Sep-10 0040 Labeling: Draft labeling Updated draft package insert - includes track changed PI which includes ad hoc information and MRSA in CABP  24-Sep-10 0041 Response to FDA Information Request: Pediatric Timeline Pediatric timeline/dates for PREA studies  13-Oct-10 0042 Amendment to a Pending Application New Drug Application: Draft Labeling Application  13-Oct-10 0043 Amendment to a Pending Application New Drug Application: Updated Draft Labeling Post market Requirement and Post Market Commitment  14-Oct-10 0044 Amendment to a Pending Post market Requirement and Post Market Commitment  18-Oct-10 0045 Amendment to a Pending Post market Requirement and Post Market Commitment  18-Oct-10 0046 Application Draft Labeling  20-Oct-10 0047 Amendment to a Pending Application Updated vial Label  27-Oct-10 0048 Amendment to a Pending Application  28-Oct-10 0049 Amendment to a Pending Post market Requirement and Post Market  28-Oct-10 0049 Amendment to a Pending Post market Requirement and Post Market		i	Analyses	
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21-Sep-10   O040   Labeling: Draft labeling   Updated draft package insert - includes track changed PI which includes ad hoc information and MRSA in CABP			·	
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and MRSA in CABP	21-Sep-10	0040	Labeling. Drait labeling	_ · ·
Request: Pediatric Timeline  13-Oct-10  0042  Amendment to a Pending Application  New Drug Application: Draft Labeling Application: Updated Draft Labeling Application  New Drug Application: Updated Draft Labeling Application  New Drug Application: Updated Draft Labeling Application  Post market Requirement and Post Market Commitment  Amendment to a Pending Application  New Drug Application: Updated Draft Labeling Post market Requirement and Post Market Commitment  Draft Labeling  Outs Application  Draft Labeling  Outs Application  Draft Labeling  Post market Requirement and Post Market Commitment Draft Labeling  Draft Labeling  Post market Requirement and Post Market Draft Labeling  Application  Post market Requirement and Post Market  Draft Labeling  Post market Requirement and Post Market				
13-Oct-10	24-Sep-10	<u>0041</u>	Response to FDA Information	Pediatric timeline/dates for PREA studies
Application  13-Oct-10  0043  Amendment to a Pending Application  14-Oct-10  0044  Amendment to a Pending Application  Post market Requirement and Post Market Commitment  18-Oct-10  Amendment to a Pending O045  Application  Post market Requirement and Post Market Commitment  Post market Requirement and Post Market Commitment  Draft Labeling  Post market Requirement and Post Market Commitment  Updated vial Label  Application  Post market Requirement and Post Market Commitment  Draft Labeling  Draft Labeling  Post market Requirement and Post Market  Draft Labeling  Post market Requirement and Post Market  Draft Labeling  Post market Requirement and Post Market			Request: Pediatric Timeline	
13-Oct-10	13-Oct-10	0042	•	New Drug Application: Draft Labeling
Application  14-Oct-10  0044  Amendment to a Pending Application  18-Oct-10  18-Oct-10  18-Oct-10  18-Oct-10  20-Oct-10  20-Oct-10  27-Oct-10  28-Oct-10  28-Oct-10  Amendment to a Pending Application  Amendment to a Pending Application  Draft Labeling  Updated vial Label  Draft Labeling  Draft Labeling  Draft Labeling  Draft Labeling  Draft Labeling  Post market Requirement and Post Market  Draft Labeling  Draft Labeling  Draft Labeling  Post market Requirement and Post Market	10.0 + 10	00.40		
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18-Oct-10			,	
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20-Oct-10   0047   Amendment to a Pending   Updated vial Label		<u>0045</u>		
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28-Oct-10 0049 Amendment to a Pending Post market Requirement and Post Market	27-001-10	0046	_	Drait Cabelling
	28-Oct-10	0049		Post market Requirement and Post Market
		0070	Application	Commitment